Authorization

This guide covers concepts, implementation procedures, and customization techniques for working with the authorization features of ForgeRock Access Management.



Authorization

Learn how AM
determines access
according to policies.



Create policies

Define resources, and protect them by creating authorization policies.



What is transactional authorization?

Use transactional
authorization to require
additional
authorization.



<u>Dynamic OAuth 2.0</u> <u>Scopes</u>

Learn how to grant
OAuth 2.0 scopes
dynamically.

ForgeRock® Identity Platform serves as the basis for our simple and comprehensive Identity and Access Management solution. We help our customers deepen their relationships with their customers, and improve the productivity and connectivity of their employees and partners. For more information about ForgeRock and about the platform, see https://www.forgerock.com^[2].

Authorization and policy decisions

AM provides access management, which consists of:

- Authentication: determining who is trying to access a resource
- Authorization: determining whether to grant or deny access to the resource

The decision to grant access depends on a number of factors:

- the policies governing access
- who is trying to gain access
- possible additional conditions, such as whether the access needs to happen over a secure channel or what time of day it is.

AM relies on policies to reach authorization decisions, such as whether to grant or deny access to a resource, or to grant or deny OAuth 2.0 scopes.

Related Information: <u>Dynamic OAuth 2.0 authorization</u>

Protect resources

When you configure policy sets to protect resources, AM acts as the *policy decision point* (PDP), whereas AM web and Java agents act as *policy enforcement points* (PEP). In other words, an agent or other PEP takes responsibility only for enforcing a policy decision rendered by AM. When you configured applications and their policies in AM, you used AM as a *policy administration point* (PAP).

Concretely speaking, when a PEP requests a policy decision from AM, it specifies the target resource(s), the policy set (default: iPlanetAMWebAgentService), and information about the subject and the environment. AM as the PDP retrieves policies within the specified policy set that apply to the target resource(s). AM then evaluates those policies to make a decision based on the conditions matching those of the subject and environment. When multiple policies apply for a particular resource, the default logic for combining decisions is that the first evaluation resulting in a decision to deny access takes precedence over all other evaluations. AM only allows access if all applicable policies evaluate to a decision to allow access.

AM communicates the policy decision to the PEP. The concrete decision, applying policy for a subject under the specified conditions, is called an *entitlement*.

The entitlement indicates the resource(s) it applies to, the actions permitted and denied for each resource, and optionally, response attributes and *advice*.

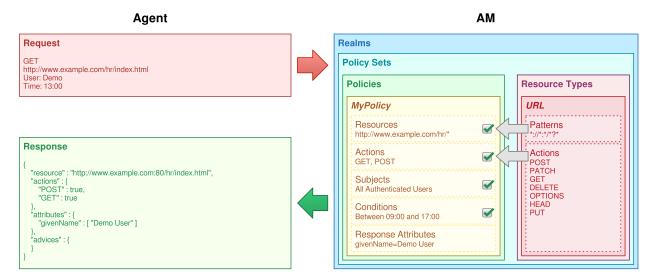


Figure 1. Protecting Pages or Applications

When AM denies a request due to a failed condition, AM can send advice to the PEP, and the PEP can then take remedial action. For instance, suppose a user comes to a website after authenticating with an email address and password, which is configured as authentication level 0. Had the user authenticated using a one-time password, the user would have had authentication level 1 in their session. Yet, because they have authentication level 0, they currently cannot access the desired page, as the policy governing access requires authentication level 1. AM sends advice, prompting the PEP to have the user re-authenticate using a one-time password, gaining authentication level 1, and thus having AM grant access to the protected page.

Policy decisions

AM has to match policies to resources to take policy decisions. For a policy to match, the resource has to match one of the resource patterns defined in the policy. The user making the request has to match a subject. Furthermore, at least one condition for each condition type has to be satisfied.

If more than one policy matches, AM has to reconcile differences. When multiple policies match, the order in which AM uses them to make a policy decision is not deterministic. However, a deny decision overrides an allow decision and so, by default, once AM reaches a deny decision, it stops checking further policies. If you want AM to continue checking despite the deny decision, go to **Configure > Global Services > Policy Configuration**, and enable **Continue Evaluation on Deny Decision**.

▼ Example

Consider the case where AM protects a user profile web page. An AM web agent installed in the web server intercepts client requests to enforce policy. The policy says that only authenticated users can access the page to view and to update their profiles.

When a user browses to the profile page, the AM agent intercepts the request. The web agent notices that the request is to access a protected resource, but the request is coming from a user who has not yet logged in and consequently has no

authorization to visit the page. The web agent therefore redirects the user's browser to AM to authenticate.

AM receives the redirected user, serving a login page that collects the user's email and password. With the email and password credentials, AM authenticates the user, and creates a session for the user. AM then redirects the user to the web agent, which gets the policy decision from AM for the page to access, and grants access to the page.

While the user has a valid session with AM, the user can go away to another page in the browser, come back to the profile page, and gain access without having to enter their email and password again.

Notice how AM and the web agent handle the access in the example. The website developer can offer a profile page, but the website developer never has to manage login, or handle who can access a page. As AM administrator, you can change authentication and authorization independently of updates to the website. You might need to agree with website developers on how AM identifies users, so web developers can identify users by their own names when they log in. By using AM and web or Java agents for authentication and authorization, your organization no longer needs to update web applications when you want to add external access to your Intranet for roaming users, open some of your sites to partners, only let managers access certain pages of your HR website, or allow users already logged in to their desktops to visit protected sites without having to type their credentials again.

Policies

Authorization *policies* let AM determine whether to grant a subject access to a resource.

A policy defines the following:

resources

The resource to which access is restricted, such as a web page, a mobile app, or a boarding area in an airport.

actions

The verbs that describe what users can do to the resource, such as *read* a web page, *submit* a web form, or *access* a boarding area.

subject conditions

Who the policy applies to, such as all authenticated users, only administrators, or only passengers with valid tickets for planes leaving soon.

environment conditions

The circumstances under which the policy applies, such as only during work hours, only when accessing from a specific IP address, or only when the flight is scheduled to leave within the next four hours.

response attributes

Information that AM attaches to a response following a policy decision, such as a name, email address, or frequent flyer status.

Policies in the UI

A policy can only be created as part of a policy set.

- To add a policy using the AM admin UI, go to Realms > Realm Name >
 Authorization > Policy Sets, and select the name of the policy set in which to
 configure the policy.
- 2. To create a new policy, select **Add a Policy**.
- 3. In the **Name** field, enter a descriptive name for the policy.

NOTE -

Do not use special characters in resource type, policy, or policy set names (for example, "my+resource+type"). If you include special characters, AM returns a 400 Bad Request error. This includes the following special characters: double quotes ("), plus sign (+), comma (,), less than (<), equals (=), greater than (>), backslash (\), forward slash (/), semicolon (;), and null (\)u0000).

- 4. To set the *resources* to which the policy applies, follow these steps:
 - Select a <u>resource type</u> from the **Resource Type** drop-down list.

The set of resource patterns within the selected resource type will populate the **Resources** drop-down list.

- Select a resource pattern from the **Resources** drop-down list.
- Replace the asterisks with values to define the resources that the policy applies to.

For details on specifying patterns for matching resources, refer to <u>Specify</u> resource patterns with wildcards.

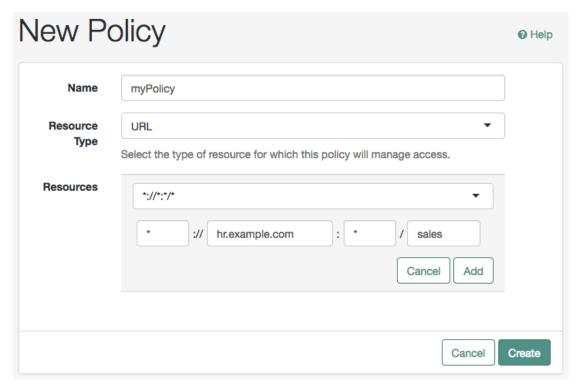


Figure 2. Editing Resource Patterns

The OAuth2 Scope resource type has the same resource patterns as the URL resource type, and also the * pattern. Use the resource patterns that are most relevant for the scopes in your environment.

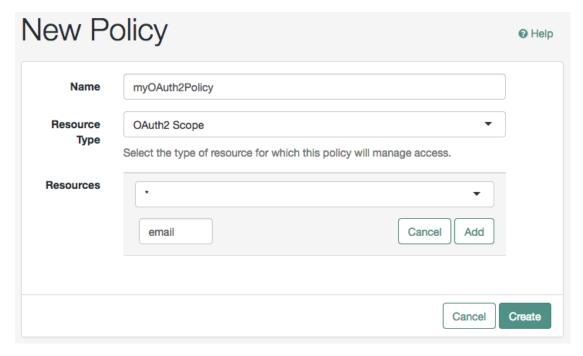


Figure 3. Editing OAuth2 Scope Resource Type Resource Patterns

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Before testing your OAuth 2.0 policies, ensure your OAuth 2.0 service is configured to interact with AM's authorization service. Perform the following steps:

- i. Go to Realms > Realm Name > Services > OAuth2 Provider.
- ii. Ensure that **Use Policy Engine for Scope decisions** is enabled.

For more information about testing OAuth 2.0 policies, see <u>Dynamic OAuth 2.0 authorization</u>.

Select Add to save the resource.

The AM admin UI displays a page for your new policy. The **Tab** pages let you modify the policy's properties.

To remove a resource, click **Delete**.

- 5. Repeat these steps to add all the resources to which your policy applies, and click **Create**.
- 6. To configure the policy's actions, select the **Actions** tab and perform the following:
 - From the **Add an Action** drop-down list, select each action that you want to control with this policy.
 - Select whether to allow or deny the action on the resources specified earlier.

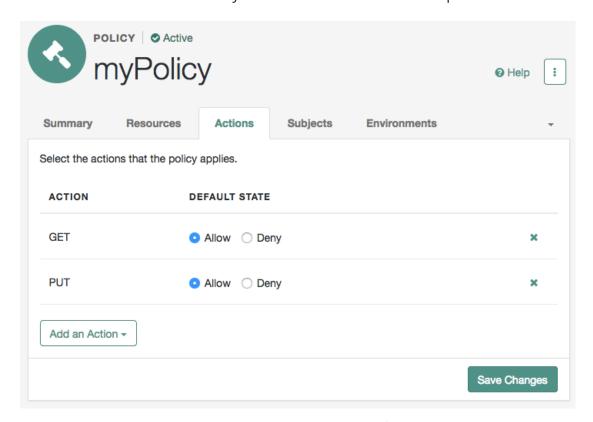


Figure 4. Allowing or Denying the Action for the Resource

• When you have added all required actions, save your work.

7. On the **Subjects** and **Environments** tabs, define conditions by combining logical operators with blocks of configured parameters. Conditions create a set of rules that the policy uses to filter requests for resources.

Use drag and drop to nest logical operators at multiple levels to create complex rule sets.

Valid drop points in which to drop a block are displayed with a grey horizontal bar.

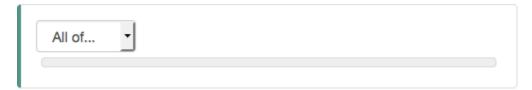


Figure 5. Valid Drop Point

- To define the subjects that the policy applies to, select the **Subjects** tab::
 - Select Add a Subject Condition, choose the type from the drop-down menu, specify any required subject values, select the checkmark to the right when done, and then drag the block into a valid drop point in the rule set above.

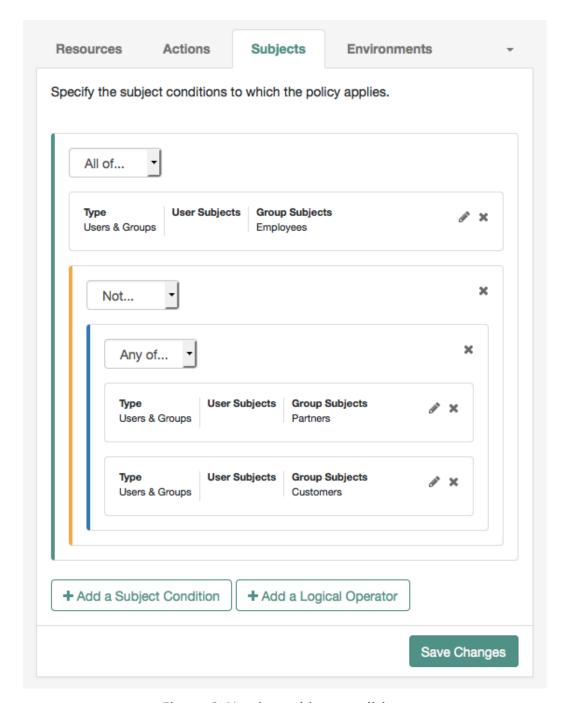


Figure 6. Nesting subject conditions

▼ Subject conditions

Authenticated Users

Any user that has successfully authenticated with AM.

Users & Groups

A user or group as defined in the realm containing the policy. To manage the identities and groups in a realm, go to Realms > *Realm Name* > Identities.

Select one or more users or groups from the Identities or Groups tabs, which display the identities and groups available within the realm.

To remove an entry, select the value, and then press Delete (Windows/GNU/Linux) or Backspace (Mac OS X).

OpenID Connect/Jwt Claim

Validate a claim within a JSON Web Token (JWT).

Type the name of the claim to validate in the Claim Name field, for example, sub, and the required value in the Claim Value field, and then select the checkmark.

Repeat the step to enter additional claims.

The claim(s) will be part of the JWT payload together with the JWT header and signature. The JWT is sent in the authorization header of the bearer token.

This condition type only supports string equality comparisons, and is case-sensitive.

Never Match

Never match any subject. Has the effect of disabling the policy, as it will never match a subject.

If you do not set a subject condition, "Never Match" is the default. In other words, you must set a subject condition for the policy to apply.

To match regardless of the subject, configure a subject condition that is "Never Match" inside a logical Not block.

- To add a logical operator, select the Add a Logical Operator button, choose between All Of, Not, and Any Of from the drop-down list, and then drag the block into a valid drop point in the rule set above.
- Continue combining logical operators and subject conditions. To edit an item, click Edit. To remove an item, click Delete. When complete, click Save Changes.
- To configure environment conditions in the policy, select the **Environments** tab:
 - To add an environment condition, click Environment Condition, choose the type from the drop-down list, specify any required parameters, and drag the block into a drop point in a logical block above.

NOTE -

Script is the only environmental condition available for OAuth 2.0 policies.

▼ Environment conditions

Active Session Time

Make the policy test how long the user's session has been active, as specified in Max Session Time. To terminate the session if it has been active for longer than the specified time, set Terminate Sessions to True. The user will need to reauthenticate.

Authentication Level (greater than or equal to)

Make the policy test the minimum acceptable authentication level specified in Authentication Level.

Authentication Level (less than or equal to)

Make the policy test the maximum acceptable authentication level specified in Authentication Level.

Authentication by Module Instance

Make the policy test the authentication module used to authenticate, specified in Authentication Scheme. Specify a timeout for application authentication in Application Idle Timeout Scheme, and the name of the application in Application Name.

Authentication by Service

Make the policy test the service that was used to authenticate the user.

Authentication to a Realm

Make the policy test the realm to which the user authenticated.

A session can only belong to one realm, and session upgrade between realms is not allowed.

Current Session Properties

Make the policy test property values set in the user's session.

Set Ignore Value Case to True to make the test case-insensitive.

Specify one or more pairs of session properties and values using the format *property:value*. For example, specify clientType:genericHTML to test whether the value of the clientType property is equal to genericHTML.

IPv4 Address/DNS Name

Make the policy test the IP version 4 address that the request originated from.

The IP address is taken from the requestIp value of policy decision requests. If this is not provided, the IP address stored in the SSO token is used instead.

Specify a range of addresses to test against by entering four sets of up to three digits, separated by periods (.) in both Start IP and End

IP.

If only one of these values is provided, it is used as a single IP address to match.

Optionally, specify a DNS name in DNS Name to filter requests to that domain.

IPv6 Address/DNS Name

Make the policy test the IP version 6 address that the request originated from.

The IP address is taken from the requestIp value of policy decision requests. If this is not provided, the IP address stored in the SSO token is used instead.

Specify a range of addresses to test against by entering eight sets of four hexadecimal characters, separated by a colon (:) in both Start IP and End IP.

If only one of these values is provided, it is used as a single IP address to match.

Optionally, specify a DNS name in DNS Name to filter requests to those coming from the specified domain.

Use an asterisk (*) in the DNS name to match multiple subdomains. For example, *.example.com applies to requests coming from www.example.com, secure.example.com, or any other subdomain of example.com.

Identity Membership

Make the policy apply if the UUID of the invocator is a member of at least one of the AMIdentity objects specified in AM Identity Name.

Often used to filter requests on the identity of a Web Service Client (WSC).

NOTE

Java agents and web agents do not support the Identity
Membership environment condition. Instead, use the equivalent
Users & Groups subject condition.

LDAP Filter Condition

Make the policy test whether the user's entry can be found using the LDAP search filter you specify in the directory configured for the policy service. By default, this is the identity repository defined during setup.

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If you define a filter condition that uses LDAP accounts or groups in a different identity repository, you must configure the LDAP settings:

- For global settings, go to Configure > Global Services, or, for realm-based settings, go to Realms > Realm Name > Services.
- 2. Select **Policy Configuration** to view and edit the LDAP configuration.

OAuth2 Scope

Make the policy test whether an authorization request includes all the specified OAuth 2.0 scopes.

Scope names must follow OAuth 2.0 scope syntax described in RFC 6749, Access Token Scope \square . As described in that section, separate multiple scope strings with spaces, such as openid profile.

The scope strings match regardless of order in which they occur, so openid profile is equivalent to profile openid.

The condition is also met when additional scope strings are provided beyond those required to match the specified list. For example, if the condition specifies openid profile, then openid profile email also matches.

Resource/Environment/IP Address

Make the policy apply to a complex condition, such as whether the user is making a request from the localhost, and has also authenticated in a particular way.

Entries must take the form of an IF...ELSE statement. The IF statement can specify either IP to match the user's IP address, or dnsName to match their DNS name.

If the IF statement is true, the THEN statement must also be true for the condition to be fulfilled. If not, relevant advice is returned in the policy evaluation request.

The available parameters for the THEN statement are as follows:

module	The module used to	
	authenticate the user. For	
	example, DataStore.	

service	The service that was used to authenticate the user.	
authlevel	The minimum required authentication level.	
role	The role of the authenticated user.	
user	The name of the authenticated user.	
redirectURL	The URL the user was redirected from.	
realm	The realm that was used to authenticate the user.	

The IP address can be IPv4, IPv6, or a hybrid of the two. Example: IF IP=[127.0.0.1] THEN role=admins.

Script

Make the policy depend on the outcome of a JavaScript or Groovy script executed at the time of the policy evaluation.

For information on scripting policy conditions, see <u>Scripted policy</u> <u>conditions</u>.

Script is the only environmental condition available for OAuth 2.0 policies. Use scripts to capture the ClientId environmental attribute.

Time (day, date, time, and timezone)

Make the policy test when the policy is evaluated.

The values for day, date and time must be set in pairs that comprise a start and an end.

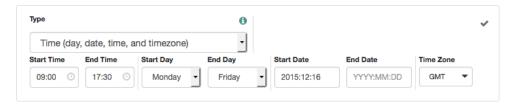


Figure 7. Create conditions that apply between a start and end date and time.

Transaction

Make the policy depend on the successful completion of a transaction performed by the user.

Configure a transaction with an authentication strategy that asks the user to reauthenticate before being allowed access to the resource.

Transactions support the following authentication strategies:

- Authenticate to Chain: Specify the name of an authentication chain the user must successfully complete to access the protected resource.
- Authenticate to Realm: Specify the full path of a realm in which the user must successfully authenticate to access the protected resource.

For example, /sales/internal.

- Authenticate to Tree: Specify the name of an authentication tree the user must successfully traverse to access the protected resource.
- Authenticate to Module: Specify the name of an authentication module the user must successfully authenticate against to access the protected resource.
- Auth Level: Specify the minimum authentication level the user must achieve to access the protected resource.

NOTE -

If you specify a minimum authentication level, ensure there are methods available to users to reach that level. If none are found, the policy will return a 400 Bad request error when attempting to complete the transaction.

For more information on transactional authorization, see <u>Transactional</u> <u>authorization</u>.

- To add a logical operator, click **Logical**, choose between All Of, Not, and Any Of from the drop-down list, and drag the block into a valid drop point in the rule set above.
- Continue combining logical operators and environment conditions, and when finished, select Save Changes.
- 8. You can add response attributes, retrieved from the user entry in the identity repository, into the headers of the request at policy decision time (not available for the OAuth2 Scope resource type). The web or Java agent for the protected resources/applications or the protected resources/applications themselves retrieve the policy response attributes to customize or personalize the application. Policy response attributes come in two formats: subject attributes and static attributes.

To configure response attributes in the policy, complete the following steps on the **Response** attributes tab:

 To add subject attributes, select them from the Subject attributes drop-down list

To remove an entry, select the value, and then press **Delete** (Windows/GNU/Linux) or **Backspace** (Mac OS X).

To add a static attribute, specify the key-value pair for each static attribute.
 Enter the **Property Name** and its corresponding **Property Value** in the fields, and click **Add** (+) icon.

NOTE -

To edit an entry, select the **Edit** icon in the row containing the attribute, or select the row itself. To remove an entry, select the **Delete** icon in the row containing the attribute.

Continue adding subject and static attributes, and when finished, click Save
 Changes.

Policies over REST

Policies are realm-specific, so the URI for the policies API can contain a realm component, such as /json/realms/root/realms/Realm Name/policies. If the realm is not specified in the URI, the top level realm is used.

Policy resources are built from standard JSON objects and values (strings, numbers, objects, arrays, true, false, and null).

▼ Example

```
"name": "mypolicy",
   "active": true,
   "description": "My Policy.",
   "applicationName": "iPlanetAMWebAgentService",
   "actionValues": {
        "POST": true,
        "GET": true
},
   "resources": [
        "http://www.example.com:80/*",
        "http://www.example.com:80/*?*"
],
   "subject": {
```

```
"type": "AuthenticatedUsers"
    },
    "condition": {
        "type": "SimpleTime",
        "startTime": "09:00",
        "endTime": "17:00",
        "startDay": "mon",
        "endDay": "fri",
        "enforcementTimeZone": "GMT"
    "resourceTypeUuid": "76656a38-5f8e-401b-83aa-4ccb74ce88d2",
    "resourceAttributes": [
        {
            "type": "User",
            "propertyName": "givenName",
            "propertyValues": [ ]
        }
    ],
    "lastModifiedBy":
"id=amadmin, ou=user, dc=openam, dc=forgerock, dc=org",
    "lastModifiedDate": "2015-05-11T17:39:09.393Z",
    "createdBy":
"id=amadmin, ou=user, dc=openam, dc=forgerock, dc=org",
    "creationDate": "2015-05-11T17:37:24.556Z"
}
```

The values for the fields shown in the example are explained below:

name

String matching the name in the URL (when creating the policy using HTTP PUT) or in the body (when creating the policy using HTTP POST).

active

Boolean indicating whether AM considers the policy active for evaluation purposes, defaults to false.

description

String describing the policy.

resources

List of the resource name pattern strings to which the policy applies. Must conform to the pattern templates provided by the associated <u>resource type</u>.

applicationName

String containing the policy set name, such as "iPlanetAMWebAgentService", or "mypolicyset".

actionValues

Set of string action names, each set to a boolean indicating whether the action is allowed. Chosen from the available actions provided by the associated <u>resource type</u>.

TIP ·

Action values can also be expressed as numeric values. When using numeric values, use the value 0 for false and use any non-zero numeric value for true.

subject

Specifies the subject conditions to which the policy applies, where subjects can be combined by using the built-in types "AND", "OR", and "NOT", and where subject implementations are pluggable.

Subjects are shown as JSON objects with type set to the name of the implementation (using a short name for all registered subject implementations), and other fields, depending on the implementation. The subject types registered by default include the following:

• "AuthenticatedUsers", meaning any user that has successfully authenticated to AM.

```
{
    "type": "AuthenticatedUsers"
}
```

WARNING

The AuthenticatedUsers subject condition does not take into account the realm to which a user authenticated. Any user that has authenticated successfully to any realm passes this subject condition.

To test whether a user has authenticated successfully to a specific realm, add the AuthenticateToRealm environment condition.

• "Identity" to specify one or more users from an AM identity repository:

```
"type": "Identity",
    "subjectValues": [
            "uid=scarter, ou=People, dc=example, dc=com",
            "uid=ahall, ou=People, dc=example, dc=com"
]
```

You can also use the "Identity" subject type to specify one or more groups from an identity repository:

```
{
    "type": "Identity",
    "subjectValues": [
        "cn=HR Managers,ou=Groups,dc=example,dc=com"
]
}
```

• "JwtClaim" to specify a claim in a user's JSON web token (JWT).

```
{
    "type": "JwtClaim",
    "claimName": "sub",
    "claimValue": "scarter"
}
```

• "NONE", meaning never match any subject. The result is not that access is denied, but rather that the policy itself does not match and therefore cannot be evaluated in order to allow access.

The following example defines the subject either as the user Sam Carter from an AM identity repository, or as a user with a JWT claim with a subject claim with the value scarter:

```
{
    "subject": {
        "type": "OR",
        "subjects": [{
                 "type": "Identity",
                 "subjectValues": [
                     "uid=scarter,ou=People,dc=example,dc=com"
                 ]
            },
                 "type": "JwtClaim",
                 "claimName": "sub",
                 "claimValue": "scarter"
            }
        ]
    }
}
```

To read a single subject type description, or to list all the available subject types, see Manage subject condition types.

condition

Conditions are shown as JSON objects with "type" set to the name of the implementation (using a short name for all registered condition implementations), and other fields depending on the implementation. The condition types registered by default include the following.

• "AMIdentityMembership" to specify a list of AM users and groups.

```
"type": "AMIdentityMembership",
    "amIdentityName": [
         "id=scarter,ou=People,dc=example,dc=com"
]
}
```

NOTE -

Java agents and web agents do not support the AMIdentityMembership environment condition. Instead, use the equivalent Identity subject condition.

• "AuthLevel" to specify the authentication level.

```
{
    "type": "AuthLevel",
    "authLevel": 2
}
```

• "AuthScheme" to specify the authentication module used to authenticate, the policy set name, and a timeout for authentication.

• "AuthenticateToRealm" to specify the realm to which the user authenticated.

```
{
    "type": "AuthenticateToRealm",
    "authenticateToRealm": "MyRealm"
}
```

• "AuthenticateToService" to specify the authentication tree or chain that was used to authenticate.

```
{
    "type": "AuthenticateToService",
    "authenticateToService": "MyAuthnTree"
}
```

• "IPv4" or "IPv6" to specify an IP address range from which the request originated.

```
{
    "type": "IPv4",
    "startIp": "127.0.0.1",
    "endIp": "127.0.0.255"
}
```

You can also use the "IPv4" and "IPv6" conditions with the "dnsName" field to specify domain names from which the request originated. Omit "startIp" and "endIp" when using "dnsName".

```
{
    "type": "IPv4",
    "dnsName": [
        "*.example.com"
    ]
}
```

• "LDAPFilter" to specify an LDAP search filter. The user's entry is tested against the search filter in the directory configured in the Policy Configuration Service.

```
{
    "type": "LDAPFilter",
    "ldapFilter": "(&(c=US)(preferredLanguage=en-us))"
}
```

• "LEAuthLevel" to specify a maximum acceptable authentication level.

```
{
    "type": "LEAuthLevel",
    "authLevel": 2
}
```

• "OAuth2Scope" to specify a list of attributes that must be present in the user profile.

```
{
  "type": "OAuth2Scope",
  "requiredScopes": [
        "name",
        "address",
        "email"
]
```

 "ResourceEnvIP" to specify a complex condition such as whether the user is making a request from a given host and has authenticated with a given authentication level. For example:

```
"type": "ResourceEnvIP",
    "resourceEnvIPConditionValue": [
        "IF IP=[127.168.10.*] THEN authlevel=4"
]
}
```

Entries must take the form of one or more IF...ELSE statements. If the IF statement is true, the THEN statement must also be true for the condition to be fulfilled. The IF statement can specify an IP to match the user's IP address, or a dnsName to match their DNS name. The IP address can be IPv4 or IPv6 format, or a hybrid of the two, and can include wildcard characters.

The available parameters for the THEN statement are as follows:

module	The module used to authenticate the user. For example, DataStore.
service	The service that was used to authenticate the user.
authlevel	The minimum required authentication level.

role	The role of the authenticated user.	
user	The name of the authenticated user.	
redirectURL	The URL the user was redirected from.	
realm	The realm that was used to authenticate the user.	

• "Session" to specify how long the user's session has been active, and to terminate the session if it is too old, forcing the user to reauthenticate.

Note that AM terminates client-side sessions only if session denylisting is in effect. For more information about session denylisting, see <u>Session</u> termination.

```
{
    "type": "Session",
    "maxSessionTime": "10",
    "terminateSession": false
}
```

• "SessionProperty" to specify attributes set in the user's session.

```
"type": "SessionProperty",
"ignoreValueCase": true,
"properties": {
    "CharSet": [
        "UTF-8"
    ],
    "clientType": [
        "genericHTML"
    ]
}
```

• "SimpleTime" to specify a time range, where "type" is the only required field.

```
{
    "type": "SimpleTime",
    "startTime": "07:00",
    "endTime": "19:00",
    "startDay": "mon",
```

```
"endDay": "fri",
    "startDate": "2015:01:01",
    "endDate": "2015:12:31",
    "enforcementTimeZone": "GMT+0:00"
}
```

The following example defines the condition as neither Saturday or Sunday, nor certain client IP addresses.

```
{
    "type": "NOT",
    "condition": {
        "type": "OR",
        "conditions": [
             {
                 "type": "SimpleTime",
                 "startDay": "sat",
                 "endDay": "sun",
                 "enforcementTimeZone": "GMT+8:00"
             },
                 "type": "IPv4",
                 "startIp": "192.168.0.1",
                 "endIp": "192.168.0.255"
             }
        ]
    }
}
```

To read a single condition type description, or to list all the available condition types, see Manage environment condition types.

resourceTypeUuid

The UUIDs of the resource type associated with the policy.

resourceAttributes

List of attributes to return, with decisions. These attributes are known as *response attributes*, and do not apply to OAuth2 Scope resource types.

The response attribute provider is pluggable. The default implementation provides for statically defined attributes and for attributes retrieved from user profiles.

Attributes are shown as JSON objects: type is set to the name of the implementation (by default "Static" for statically defined attributes or "User" for attributes from the user profile) propertyName is set to the attribute names. For static attributes, "propertyValues" holds the attribute

values. For user attributes, "propertyValues" is not used; the property values are determined at evaluation time.

createdBy

A string containing the universal identifier DN of the subject that created the policy.

creationDate

An integer containing the creation date and time, in number of seconds since the Unix epoch (1970-01-01T00:00:00Z).

lastModifiedBy

A string containing the universal identifier DN of the subject that most recently updated the policy.

If the policy has not been modified since it was created, this will be the same value as createdBy .

lastModifiedDate

An integer containing the last modified date and time, in number of seconds since the Unix epoch (1970-01-01T00:00:00Z).

If the policy has not been modified since it was created, this will be the same value as creationDate.

Before making a REST API call to request manage a policy component, make sure that you have:

- Authenticated successfully to AM as a user with sufficient privileges to make the REST API call.
- Obtained the session token returned after successful authentication.

When making a REST API call, specify the realm in the path component of the endpoint. You must specify the entire hierarchy of the realm, starting at the Top Level Realm. Prefix each realm in the hierarchy with the realms/ keyword. For example, /realms/root/realms/customers/realms/europe.

You must also pass the session token in the HTTP header. For more information about the AM session token and its use in REST API calls, see <u>Session token after</u> authentication.

Query policies

Use REST calls to list all the policies in a realm, or to find policies that explicitly apply to a given user or group.

List all policies in a realm

1. To list all the policies in a realm, send an HTTP GET request to the /json/realms/root/realms/Realm Name/policies endpoint, with a _queryFilter parameter set to true.

NOTE -

If the realm is not specified in the URL, AM returns policies in the top level realm.

The iPlanetDirectoryPro header is required and should contain the SSO token of an administrative user, such as amAdmin, who has access to perform the operation.

```
$ curl \
--header "iPlanetDirectoryPro: AQIC5w..." \
--header "Accept-API-Version: resource=1.0, protocol=2.1" \
"https://openam.example.com:8443/openam/json/realms/root/realm
s/alpha/policies?_queryFilter=true"
{
    "result":[
        {
            "name": "example",
            "active":true,
            "description": "Example Policy",
            "applicationName": "iPlanetAMWebAgentService",
            "actionValues":{
                "POST":false,
                "GET":true
            },
            "resources":[
                "http://www.example.com:80/",
                "http://www.example.com:80/?*"
            ],
            "subject":{
                "type": "Identity",
                "subjectValues":[
                    "uid=demo,ou=People,dc=example,dc=com"
                1
            },
            "resourceTypeUuid":"12345a67-8f0b-123c-45de-
6fab78cd01e4",
"lastModifiedBy":"id=amadmin,ou=user,dc=openam,dc=forgerock,dc
=org",
            "lastModifiedDate":"2015-05-11T14:48:08.711Z",
```

Additional query strings can be specified to alter the returned results. For more information, see *Query*.

▼ <u>Supported_queryFilter fields and operators</u>

Field	Supported operators	
name	Equals (eq)	
description	Equals (eq)	
applicationName	Equals (eq)	
createdBy	Equals (eq)	
creationDate ⁽¹⁾	Equals (eq), Greater than or equal to (ge), Greater than (gt), Less than or equal to (le), Less than (lt)	
lastModifiedBy	Equals (eq)	
lastModifiedDate ⁽¹⁾	Equals (eq), Greater than or equal to (ge), Greater than (gt), Less than or equal to (le), Less than (lt)	

⁽¹⁾ The implementation of eq for this date field does not use regular expression pattern matching.

Query policies in a realm by user or group

You can query policies that explicitly reference a given subject by providing the universal ID (UID) of either a user or group. AM returns any policies that explicitly apply to the user or group as part of a subject condition.

You can obtain the universal ID for a user or group by using REST.

See Read an identity.

The following caveats apply to querying policies by user or group:

- Group membership is not considered. For example, querying policies for a specific user will not return policies that only use groups in their subject conditions, even if the user is a member of any of those groups.
- Wildcards are not supported, only exact matches.
- Only policies with a subject condition type of Identity are queried—environment conditions are not queried. The Identity subject condition type is labeled as *Users* & *Groups* in the policy editor in the AM admin UI.
- Policies with subject conditions that only contain the user or group in a logical *NOT* operator are not returned.

To query policies by user or group:

1. Send an HTTP GET request to the /json/realms/root/realms/Realm Name/policies endpoint, with a _queryId parameter set to queryByIdentityUid, and a uid parameter containing the universal ID of the user or group:

```
$ curl \
--get \
--header "iPlanetDirectoryPro: AQIC5w..." \
--header "Accept-API-Version: resource=1.0" \
--data "_queryId=queryByIdentityUid" \
--data
"uid=id=demo,ou=user,o=myrealm,ou=services,dc=openam,dc=forger
ock, dc=org" \
"https://openam.example.com:8443/openam/json/realms/root/realm
s/alpha/policies"
    "result":[
        {
            "name": "mySubRealmPolicy",
            "active":true,
            "description":"",
            "resources":[
                "://:*/?"
                "://:*/*"
            "applicationName":"iPlanetAMWebAgentService",
```

```
"actionValues":{
                 "POST": true,
                "PATCH":true,
                "GET":true,
                 "DELETE":true,
                 "OPTIONS": true,
                 "PUT": true,
                "HEAD":true
            },
            "subject":{
                 "type": "Identity",
                 "subjectValues":[
"id=demo, ou=user, o=myrealm, ou=services, dc=openam, dc=forgerock,
dc=org"
                 ]
            },
            "resourceTypeUuid":"76656a38-5f8e-401b-83aa-
4ccb74ce88d2",
"lastModifiedBy": "id=amAdmin, ou=user, dc=openam, dc=forgerock, dc
=org",
            "lastModifiedDate":"2016-05-05T08:45:35.716Z",
"createdBy":"id=amadmin,ou=user,dc=openam,dc=forgerock,dc=org"
            "creationDate":"2016-05-03T13:45:38.137Z"
        }
    ],
    "resultCount":1,
    "pagedResultsCookie":null,
    "totalPagedResultsPolicy": "NONE",
    "totalPagedResults":-1,
    "remainingPagedResults":0
}
```

NOTE

If the realm is not specified in the URL, AM searches the top level realm.

The iPlanetDirectoryPro header is required and should contain the SSO token of an administrative user, such as amAdmin, who has access to perform the operation.

Read a specific policy

To read an individual policy in a realm, send an HTTP GET request to the /json/realms/root/realms/Realm Name/policies endpoint, and specify the policy name in the URL.

NOTE -

If the realm is not specified in the URL, AM uses the top level realm.

The iPlanetDirectoryPro header is required and should contain the SSO token of an administrative user, such as amAdmin, who has access to perform the operation.

```
$ curl \
--header "iPlanetDirectoryPro: AQIC5..." \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/policies/example"
    "result": [
        {
            "name": "example",
            "active": true,
            "description": "Example Policy",
            "applicationName": "iPlanetAMWebAgentService",
            "actionValues": {
                "POST": false,
                "GET": true
            },
            "resources": [
                "http://www.example.com:80/",
                "http://www.example.com:80/?*"
            ],
            "subject": {
                "type": "Identity",
                "subjectValues": [
                     "uid=demo, ou=People, dc=example, dc=com"
                ]
            },
            "resourceTypeUuid": "12345a67-8f0b-123c-45de-
6fab78cd01e4",
            "lastModifiedBy":
"id=amadmin,ou=user,dc=openam,dc=forgerock,dc=org",
            "lastModifiedDate": "2015-05-11T14:48:08.711Z",
            "createdBy":
"id=amadmin,ou=user,dc=openam,dc=forgerock,dc=org",
            "creationDate": "2015-05-11T14:48:08.711Z"
        }
    ],
```

```
"resultCount": 1,
    "pagedResultsCookie": null,
    "remainingPagedResults": 0
}
```

You can use the query string parameters _prettyPrint=true to make the output easier to read, and _fields=field-name[,field-name...] to limit the fields returned in the output.

Create policies

To create a policy in a realm, send an HTTP POST request to the /json/realms/root/realms/Realm Name/policies endpoint, with an _action parameter set to create. Include a JSON representation of the policy in the POST data.

NOTE -

If the realm is not specified in the URL, AM uses the top level realm.

The iPlanetDirectoryPro header is required and should contain the SSO token of an administrative user, such as amAdmin, who has access to perform the operation.

IMPORTANT -

Before testing your OAuth 2.0 policies, ensure your OAuth 2.0 service is configured to interact with AM's authorization service. Perform the following steps:

- Go to Realms > Realm Name > Services > OAuth2 Provider.
- Ensure that **Use Policy Engine for Scope decisions** is enabled.

For more information about testing OAuth 2.0 policies, see <u>Dynamic OAuth 2.0</u> authorization.

Do not use special characters in resource type, policy, or policy set names (for example, "my+resource+type"). If you include special characters, AM returns a 400 Bad Request error. This includes the following special characters: double quotes ("), plus sign (+), comma (,), less than (<), equals (=), greater than (>), backslash (\setminus), forward slash (/), semicolon (;), and null (\setminus 100000).

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=1.0" \
--data '{
    "name": "mypolicy",
    "active": true,
```

```
"description": "My Policy.",
    "applicationName": "iPlanetAMWebAgentService",
    "actionValues": {
        "POST": false,
        "GET": true
    },
    "resources": [
        "http://www.example.com:80/",
        "http://www.example.com:80/?"
    ],
    "subject": {
        "type": "Identity",
        "subjectValues": [
            "uid=demo, ou=People, dc=example, dc=com"
        1
    },
    "resourceTypeUuid": "12345a67-8f0b-123c-45de-6fab78cd01e4"
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/policies?_action=create"
{
    "name": "mypolicy",
    "active":true,
    "description":"My Policy.",
    "applicationName":"iPlanetAMWebAgentService",
    "actionValues":{
        "POST":false,
        "GET":true
    },
    "resources":
        "http://www.example.com:80/",
        "http://www.example.com:80/?"
    ],
    "subject":{
        "type":"Identity",
        "subjectValues":[
            "uid=demo,ou=People,dc=example,dc=com"
        1
    },
    "resourceTypeUuid":"12345a67-8f0b-123c-45de-6fab78cd01e4",
"lastModifiedBy":"id=amadmin,ou=user,dc=openam,dc=forgerock,dc=org
    "lastModifiedDate":"2015-05-11T14:48:08.711Z",
```

You can use the query string parameters _prettyPrint=true to make the output easier to read, and _fields=field-name[,field-name...] to limit the fields returned in the output.

Update policies

To update an individual policy in a realm, send an HTTP PUT request to the /json/realms/root/realms/Realm Name/policies endpoint, and specify the policy name in the URL. Include a JSON representation of the updated policy in the PUT data.

NOTE

If the realm is not specified in the URL, AM uses the top level realm.

The iPlanetDirectoryPro header is required and should contain the SSO token of an administrative user, such as amAdmin, who has access to perform the operation.

Do not use special characters in resource type, policy, or policy set names (for example, "my+resource+type"). If you include special characters, AM returns a 400 Bad Request error. This includes the following special characters: double quotes ("), plus sign (+), comma (,), less than (<), equals (=), greater than (>), backslash (\setminus), forward slash (/), semicolon (;), and null (\setminus 100000).

```
$ curl \
 --request PUT \
 --header "iPlanetDirectoryPro: AQIC5w..." \
 --header "Content-Type: application/json" \
 --header "Accept-API-Version: resource=1.0" \
 --data '{
    "name": "myupdatedpolicy",
    "active": true,
    "description": "My Updated Policy.",
    "resources": [
        "http://www.example.com:80/",
        "http://www.example.com:80/?*"
    1.
    "actionValues": {
        "POST": true,
        "GET": true
    }.
    "subject": {
        "type": "Identity",
```

You can use the query string parameters _prettyPrint=true to make the output easier to read, and _fields=field-name[,field-name...] to limit the fields returned in the output.

Delete policies

To delete an individual policy in a realm, send an HTTP DELETE request to the /json/realms/root/realms/Realm Name/policies endpoint, and specify the policy name in the URL.

NOTE

If the realm is not specified in the URL, AM uses the top level realm.

The iPlanetDirectoryPro header is required and should contain the SSO token of an administrative user, such as amAdmin, who has access to perform the operation.

```
$ curl \
--header "iPlanetDirectoryPro: AQIC5w..." \
--header "Accept-API-Version: resource=2.1" \
--request DELETE \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/policies/myupdatedpolicy"
```

Copy and move policies

To copy or move an individual policy, send an HTTP POST request to the /json/realms/root/realms/Realm Name/policies/policyName endpoint as follows:

- Specify the _action=copy or _action=move URL parameter.
- Specify the realm in which the input policy resides in the URL. If the realm is not specified in the URL, AM copies or moves a policy from the top level realm.
- Specify the policy to be copied or moved in the URL.

• Specify the SSO token of an administrative user who has access to perform the operation in the iPlanetDirectoryPro header.

▼ JSON input data for copying or moving individual policies

Object	Property	Description
to	to name	The name of the output policy.
		Required unless you are copying or moving a policy to a different realm and you want the output policy to have the same name as the input policy.
to application	application	The policy set in which to place the output policy.
		Required when copying or moving a policy to a different policy set.
to	realm	The realm in which to place the output policy. If not specified, AM copies or moves the policy within the realm identified in the URL.
		Required when copying or moving a policy to a different realm.
to	resourceType	The UUID of the output policy's resource type.
		Required when copying or moving a policy to a different realm.

The follow example copies the policy myPolicy to myNewPolicy. The output policy is placed in the alpha realm, in the same policy set as the input policy:

```
$ curl \
--header "iPlanetDirectoryPro: AQIC5w..." \
```

```
--header "Accept-API-Version: resource=2.1" \
--request DELETE \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/policies/myupdatedpolicy"
{}
```

The following example moves a policy named myPolicy in the myRealm realm to myMovedPolicy in the myOtherRealm realm. The output policy is placed in the iPlanetAMWebAgentService policy set, which is the policy set in which the input policy is located.

The realm myOtherRealm must be configured as follows for the example to run successfully:

- It must have a resource type that has the same resources as the resource type configured for the myPolicy policy.
- It must have a policy set named iPlanetAMWebAgentService.

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "iPlanetDirectoryPro: AQIC5w..." \
--header "Accept-API-Version: resource=2.1" \
--data '{
    "to": {
        "name": "myMovedPolicy",
        "realm": "/myOtherRealm",
        "resourceType: "616b3d02-7a8d-4422-b6a7-174f62afd065"
    }
}' \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/policies/myPolicy?_action=move"
{
    "name":"myMovedPolicy",
    "active":true,
    "description":"",
    "actionValues":{},
    "applicationName":"iPlanetAMWebAgentService",
    "resources":["://:*/*"],
    "subject":{"type":"NONE"},
    "resourceTypeUuid":"616b3d02-7a8d-4422-b6a7-174f62afd065",
    "lastModifiedBy":"id=amadmin,ou=user,dc=example,dc=com",
    "lastModifiedDate":"2015-12-21T19:32:59.502Z",
    "createdBy":"id=amadmin,ou=user,dc=example,dc=com",
```

```
"creationDate":"2015-12-21T19:32:59.502Z"
}
```

To copy and move multiple policies—all the policies in a policy set—in a single operation, send an HTTP POST request to the /json/realms/root/realms/Realm Name/policies endpoint as follows:

- Specify the _action=copy or _action=move URL parameter.
- Specify the realm in which the input policies reside as part of the URL. If no realm is specified in the URL, AM copies or moves policies within the top level realm.
- Specify the SSO token of an administrative user who has access to perform the operation in the iPlanetDirectoryPro header.

▼ JSON input data for copying or moving multiple policies

Object	Property	Description
from	application	The policy set in which the input policies are located. Required.
to	application	The policy set in which to store output policies. Required when copying or moving policies to a different policy set.
to	realm	The realm in which to store output policies. Required when copying or moving policies to a different realm.
to	namePostfix	A value appended to output policy names in order to prevent name clashes. Required.

Object	Property	Description
resourceTypeMapping	Varies	One or more resource types mappings, where the left side of the mapping specifies the UUID of a resource type used by the input policies, and the right side of the mapping specifies the UUID of a resource type used by the output policies. The two resource types should have the same resource patterns. Required when copying or moving policies to a different realm.

The following example copies all the policies in the iPlanetAMWebAgentService policy set in the myRealm realm to the iPlanetAMWebAgentService policy set in the myOtherRealm realm, appending the string -copy to the output policy names.

The realm myOtherRealm must be configured as follows for the example to run successfully:

- It must have a resource type that maps to the ccb50c1a-206d-4946-9106-4164e8f2b35b resource type. The two resource types should have the same resource patterns.
- It must have a policy set named iPlanetAMWebAgentService.

The JSON output shows that a single policy is copied. The policy myNewPolicy is copied to realm myOtherRealm. The copied policy receives the name myOtherRealm-copy:

```
$ url \
--request POST \
--header "Content-Type: application/json" \
--header "iPlanetDirectoryPro: AQIC5w..." \
--header "Accept-API-Version: resource=2.1" \
--data '{
    "from":{
        "application":"iPlanetAMWebAgentService"
    },
    "to":{
```

```
"realm": "/myOtherRealm",
        "namePostfix":"-copv"
    },
    "resourceTypeMapping":{
        "ccb50c1a-206d-4946-9106-4164e8f2b35b": "616b3d02-7a8d-
4422-b6a7-174f62afd065"
    }
}' \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/policies?_action=copy"
    "name": "myNewPolicy-copy",
    "active":true,
    "description":"",
    "actionValues":{},
    "applicationName": "iPlanetAMWebAgentService",
    "resources":["://:*/*"], "subject":{"type":"NONE"},
    "resourceTypeUuid":"616b3d02-7a8d-4422-b6a7-174f62afd065",
    "lastModifiedBy":"id=amadmin,ou=user,dc=example,dc=com",
    "lastModifiedDate":"2015-12-21T20:01:42.410Z",
    "createdBy":"id=amadmin,ou=user,dc=example,dc=com",
    "creationDate": "2015-12-21T20:01:42.410Z"
}
```

Manage environment condition types

Environment condition types describe the JSON representation of environment conditions that you can use in policy definitions.

AM provides the conditiontypes REST endpoint for the following:

- Query environment condition types
- Read a specific environment condition type

Environment condition types are server-wide, and do not differ by realm. Hence the URI for the condition types API does not contain a realm component, but is /json/conditiontypes.

Script is the only environmental condition available for OAuth 2.0 policies. Use scripts to capture the ClientId environmental attribute.

Environment condition types are represented in JSON and take the following form. Environment condition types are built from standard JSON objects and values (strings, numbers, objects, arrays, true, false, and null).

```
{
    "title": "IPv4",
    "logical": false,
    "config": {
        "type": "object",
        "properties": {
             "startIp": {
                 "type": "string"
             },
             "endIp": {
                 "type": "string"
             },
             "dnsName": {
                 "type": "array",
                 "items": {
                     "type": "string"
                 }
             }
        }
    }
}
```

Notice that the environment condition type has a title, a "logical" field that indicates whether the type is a logical operator or takes a predicate, and a configuration specification. The configuration specification in this case indicates that an IPv4 environment condition has two properties, "startlp" and "endlp", that each take a single string value, and a third property, "dnsName," that takes an array of string values. In other words, a concrete IP environment condition specification without a DNS name constraint could be represented in a policy definition as in the following example:

```
{
    "type": "IPv4",
    "startIp": "127.0.0.1",
    "endIp": "127.0.0.255"
}
```

The configuration is what differs the most across environment condition types. The NOT condition, for example, takes a single condition object as the body of its configuration.

```
{
    "title" : "NOT",
    "logical" : true,
    "config" : {
        "type" : "object",
```

The concrete NOT condition therefore takes the following form.

The OR condition takes an array of conditions.

A corresponding concrete OR condition thus takes the following form.

```
{
  "type": "OR",
  "conditions": [
     {
        ...
```

Query environment condition types

To list all environment condition types, send an HTTP GET request to the /json/conditiontypes endpoint, with a _queryFilter parameter set to true.

```
$ curl \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=1.0, protocol=2.1" \
https://openam.example.com:8443/openam/json/realms/root/conditiont
ypes?_queryFilter=true□
{
"result" : [
 {
     "title": "IPv4",
     "logical": false,
     "config": {
         "type": "object",
         "properties": {
             "startIp": {
                  "type": "string"
             },
             "endIp": {
                  "type": "string"
             },
             "dnsName": {
                  "type": "array",
                  "items": {
                      "type": "string"
                  }
             }
         }
     }
```

```
},
     "title": "NOT",
     "logical": true,
     "config": {
          "type": "object",
          "properties": {
              "condition": {
                   "type": "object",
                   "properties": { }
              }
          }
     }
 },
 {...},
 { ...} ,
{ ...}
],
"resultCount" : 18,
"pagedResultsCookie" : null,
"remainingPagedResults" : 0
}
```

Additional query strings can be specified to alter the returned results. For more information, see *Query*.

Read a specific environment condition type

To read an individual environment condition type, send an HTTP GET request to the /json/conditiontypes endpoint, and specify the environment condition type name in the URL.

```
$ curl \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=1.0" \
https://openam.example.com:8443/openam/json/realms/root/conditiont
ypes/IPv4
{
    "title":"IPv4",
    "logical":false,
    "config":{
        "type":"object",
```

```
"properties":{
             "startIp":{
                  "type":"string"
             },
             "endIp":{
                  "type": "string"
             },
             "dnsName":{
                  "type": "array",
                  "items":{
                      "type": "string"
                  }
             }
        }
    }
}
```

Manage subject condition types

Subject condition types describe the JSON representation of subject conditions that you can use in policy definitions.

AM provides the subjecttypes REST endpoint for the following:

- Query subject condition types
- Read a specific subject condition types

Environment condition types are server-wide, and do not differ by realm. Hence the URI for the condition types API does not contain a realm component, but is /json/subjecttypes.

Subject condition types are represented in JSON and take the following form. Subject condition types are built from standard JSON objects and values (strings, numbers, objects, arrays, true, false, and null).

```
}
}
}
}
```

Notice that the subject type has a title, a "logical" field that indicates whether the type is a logical operator or takes a predicate, and a configuration specification. The configuration specification in this case indicates that an Identity subject condition has one property, "subjectValues", which takes an array of string values. In other words, a concrete Identity subject condition specification is represented in a policy definition as in the following example:

```
{
    "type": "Identity",
    "subjectValues": [
        "uid=scarter,ou=People,dc=example,dc=com"
]
}
```

The configuration is what differs the most across subject condition types. The AND condition, for example, takes an array of subject condition objects as the body of its configuration.

The concrete AND subject condition therefore takes the following form.

```
{
    "type": "AND",
```

Query subject condition types

To list all environment condition types, send an HTTP GET request to the /json/subjecttypes endpoint, with a _queryFilter parameter set to true.

```
$ curl \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=1.0" \
https://openam.example.com:8443/openam/json/realms/root/subjecttyp
es?_queryFilter=true□
{
    "result" : [
        {
            "title": "JwtClaim"
            "logical": false,
            "config": {
                 "type": "object",
                 "properties": {
                     "claimName": {
                         "type": "string"
                     "claimValue": {
                         "type": "string"
                     }
                 }
            }
        },
            "title": "NOT",
            "logical": true,
            "config": {
                 "type": "object",
```

```
"properties": {
                      "subject": {
                           "type": "object",
                           "properties": { }
                      }
                  }
             }
         },
         { ...},
         {...},
         {...}
    ],
    "resultCount" : 5,
    "pagedResultsCookie" : null,
    "remainingPagedResults" : 0
}
```

Additional query strings can be specified to alter the returned results. For more information, see <u>Query</u>.

Read a specific subject condition types

To read an individual subject condition type, send an HTTP GET request to the /json/subjecttypes endpoint, and specify the subject condition type name in the URL.

```
$ curl \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=1.0" \
https://openam.example.com:8443/openam/json/realms/root/subjecttyp
es/Identity<sup>□</sup>
{
    "title" : "Identity",
    "logical" : false,
    "config" : {
        "type" : "object",
        "properties" : {
             "subjectValues" : {
                 "type" : "array",
                 "items" : {
                     "type" : "string"
                 }
```

```
}
}
```

Manage subject attributes

When you define a policy subject condition, the condition can depend on values of subject attributes stored in a user's profile. The list of possible subject attributes that you can use depends on the LDAP User Attributes configured for the identity store where AM looks up the user's profile.

AM provides the subjectattributes REST endpoint for Query subject attributes.

Subject attributes derive from the list of LDAP user attributes configured for the identity store. For more information, see <u>Identity stores</u>.

Query subject attributes

To list all subject attributes, send an HTTP GET request to the /json/subjectattributes endpoint, with a _queryFilter parameter set to true.

```
$ curl \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=1.0" \
https://openam.example.com:8443/openam/json/realms/root/subjectatt
ributes/?_queryFilter=true<sup>□</sup>
  "result" : [
      "sunIdentityServerPPInformalName",
      "sunIdentityServerPPFacadeGreetSound",
      "uid",
      "manager",
      "sunIdentityServerPPCommonNameMN",
      "sunIdentityServerPPLegalIdentityGender",
      "preferredLocale",
  ],
  "resultCount": 87,
  "pagedResultsCookie": null,
```

```
"remainingPagedResults": 0
}
```

Note that no pagination cookie is set and the subject attribute names are all returned as part of the "result" array.

Manage decision combiners

Decision combiners describe how to resolve policy decisions when multiple policies apply.

AM provides the decisioncombiners REST endpoint for the following:

- Query decision combiners
- Read a specific decision combiner

Decision combiners are server-wide, and do not differ by realm. Hence the URI for the condition types API does not contain a realm component, but is /json/decisioncombiners.

Query decision combiners

To list all decision combiners, send an HTTP GET request to the /json/decisioncombiners endpoint, with a _queryFilter parameter set to true.

Additional query strings can be specified to alter the returned results. For more information, see <u>Query</u>.

Read a specific decision combiner

To view an individual decision combiner, send an HTTP GET on its resource.

To read an individual decision combiner, send an HTTP GET request to the /json/decisioncombiners endpoint, and specify the decision combiner name in the URL.

The iPlanetDirectoryPro header is required and should contain the SSO token of an administrative user, such as amAdmin, who has access to perform the operation.

```
$ curl \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=1.0" \
https://openam.example.com:8443/openam/json/realms/root/realms/alp
ha/decisioncombiners/DenyOverride□
{
    "title" : "DenyOverride"
}
```

Resource types

Resource types define a template for the resources that policies apply to, and the actions that can be performed on those resources.

AM needs a *policy* to decide whether a user can access a resource. When you configure a policy, you also configure a resource (or a pattern to match several resources) that the policy applies to, and the actions that the policy allows or denies.

Resource types are templates that you can define once and reuse in several policies. For example, you could create a template that always allows PUT and POST operations from your internal network.

Default resource types

AM includes two resource types by default: URL and OAuth2 Scope. These default resource types are sufficient for most environments.

URL resource type

The URL resource type acts as a template for protecting web pages or applications. It contains resource patterns, such as *://*:*/*?*, that can be more specific when

used in the policy.

This resource type supports the following actions:

GET

POST

PUT

HEAD

PATCH

DELETE

OPTIONS

For example, an application for Example.com's HR service might contain resource types that constrain all policies to apply to URL resource types under http*://example.com/hr* and http*://example.com/hr*?*, and only allow HTTP GET and POST actions.

AM also includes a resource type to protect REST endpoints, with patterns including https://*:*/*?* and the CRUDPAQ actions:

CREATE

READ

UPDATE

DELETE

PATCH

ACTION

QUERY

OAuth2 Scope resource type

The OAuth2 Scope resource type acts as a template for granting or denying OAuth 2.0 scopes. It contains a string-based scope pattern, *, and two URL-based scope patterns, such as *://*:*/*?*. The resource supports the GRANT action, which can be allowed or denied.

Resource types in the UI

- In the AM admin UI, go to Realms > Realm Name > Authorization > Resource
 Types.
 - To create a new resource type, select **New Resource Type**.
 - To modify an existing resource type, select the resource type name.
 - To delete an existing resource type, in the row containing the resource type, click **Delete**.

You can only delete resource types that are not being used by policy sets or policies. Trying to delete a resource type that is in use returns an HTTP 409

Conflict status code.

Remove the resource type from any associated policy sets or policies to be able to delete it.

2. Provide a name for the resource type, and optionally, a description.

Do not use special characters in resource type, policy, or policy set names (for example, "my+resource+type"). If you include special characters, AM returns a 400 Bad Request error. This includes the following special characters: double quotes ("), plus sign (+), comma (,), less than (<), equals (=), greater than (>), backslash (\), forward slash (\), semicolon (;), and null (\)u0000).

- 3. To define resource patterns that policies using this resource type can expand upon, follow the steps below:
 - In the **Add a new pattern** box, enter a pattern with optional wildcards that the policies will use as a template.

▼ <u>Specify resource patterns with wildcards</u>

Resource patterns can specify an individual URL or resource name to protect. Alternatively, a resource pattern can match URLs or resource names by using wildcards.

■ The wildcards you can use are * and -*-.

These wildcards can be used throughout resource patterns to match URLs or resource names. For a resource pattern used to match URLs, wildcards can be employed to match the scheme, host, port, path, and query string of a resource.

 When used within the path segment of a resource, the wildcard * matches multiple path segments.

```
For example, http://www.example.com/* matches http://www.example.com/, http://www.example.com/index.html, and also http://www.example.com/company/images/logo.png.
```

When used within the path segment of a resource, the wildcard *- will only match a single path segment.

```
For example, http://www.example.com/-*- matches http://www.example.com/index.html, but does not match http://www.example.com/company/resource.html or http://www.example.com/company/images/logo.png.
```

- Wildcards do not match ? . You must explicitly add patterns to match URLs with query strings.
 - When matching URLs sent from a web or Java agent, an asterisk (*)
 used at the end of a pattern after a ? character matches one or

more characters, not zero or more characters.

For example, http://www.example.com/*?* matches http://www.example.com/users?_action=create, but not http://www.example.com/users?.

To match everything under http://www.example.com/ specify three patterns, one for http://www.example.com/*, one for http://www.example.com/*?, and one for http://www.example.com/*?*.

When matching resources by using the policies?
 _action=evaluate REST endpoint, an asterisk (*) used at the end of a pattern after a ? character matches zero or more characters.

For example, http://www.example.com/*?* matches http://www.example.com/users?_action=create, as well as http://www.example.com/users?.

To match everything under http://www.example.com/ specify two patterns, one for http://www.example.com/*, one for http://www.example.com/*?*.

- When defining patterns to match URLs with query strings, AM sorts the query string field-value pairs alphabetically by field name when normalizing URLs before checking whether a policy matches. Therefore the query string ? subject=SPBnfm+t5PlP+ISyQhVlplE22A8=&action=get is equivalent to the query string ? action=get&subject=SPBnfm+t5PlP+ISyQhVlplE22A8=.
- Duplicate slashes (/) are not considered part of the resource name to match. A trailing slash is considered by AM as part of the resource name.

For example, http://www.example.com//path/, and http://www.example.com/path// are treated in the same way.

http://www.example.com/path, and
http://www.example.com/path/ are considered two distinct
resources.

 Wildcards can be used to match protocols, host names, and port numbers.

For example, *://*:*/* matches
http://www.example.com:80/index.html,
https://www.example.com:443/index.html, and
http://www.example.net:8080/index.html.

When a port number is not explicitly specified, then the default port number is implied. Therefore, http://www.example.com/* is the same as http://www.example.com:80/*, and https://www.example.com/* is the same as https://www.example.com:443/*.

- Wildcards cannot be escaped.
- Do not mix * and -*- in the same pattern.
- To match a resource that uses non-ASCII characters, percent-encode the resource when creating the rule.

For example, to match resources under an Internationalized Resource Identifier (IRI), such as http://www.example.com/forstå, specify the following percent-encoded pattern:

```
http://www.example.com:80/forst%C3%A5/*
```

- By default, comparisons are not case-sensitive. The delimiter, wildcards and case-sensitivity are configurable. To see examples of other configurations, in the AM admin UI, go to Configure > Global Services > Policy Configuration, and scroll to Resource Comparator.
- Select the **Add Pattern...** button to confirm the pattern.

TIP

To remove a pattern, select the **Delete** icon.

- 4. To define the actions that policies using this resource type can allow or deny, follow the steps below:
 - In the **Add a new action...** box, enter an action related to the types of resources being described, and then select **Add Action**.
 - Select either **Allow** or **Deny** as the default state for the action.

To remove an action, select the **Delete** icon.

5. Continue adding the patterns and actions that your resource type requires.

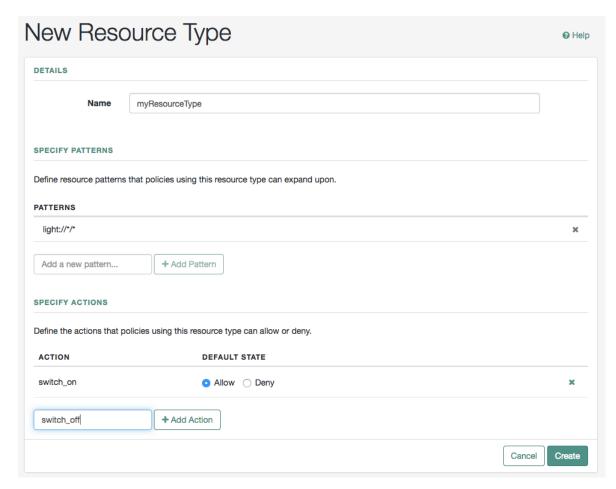


Figure 8. Configuring Resource Types in the UI

6. Select **Create Resource Type** to save a new resource type or Save Changes to save modifications to an existing resource type.

Resource types over REST

You can manage resource types over REST at the resource types endpoint.

Resource types are realm-specific. The URI for the resource types API can therefore contain a realm component, for example,

json/realms/root/realms/alpha/resourcetypes. If the realm is not specified in the URI, the top level realm is used.

Resource types take the form of standard JSON objects and values (strings, numbers, objects, sets, arrays, true, false, and null). Each resource type has a unique, system-generated UUID, which must be used when modifying existing resource types. Renaming a resource type does not affect the UUID.

▼ Example

```
{
    "uuid": "12345a67-8f0b-123c-45de-6fab78cd01e2",
    "name": "URL",
```

```
"description": "The built-in URL Resource Type available to
OpenAM Policies.",
    "patterns": [
        "*://*:*/*?*",
        "*://*:*/*"
    "actions": {
        "POST": true,
        "PATCH": true,
        "GET": true,
        "DELETE": true,
        "OPTIONS": true,
        "HEAD": true,
        "PUT": true
    },
    "createdBy":
"id=dsameuser, ou=user, dc=openam, dc=forgerock, dc=org",
    "creationDate": 1422892465848,
    "lastModifiedBy":
"id=dsameuser, ou=user, dc=openam, dc=forgerock, dc=org",
    "lastModifiedDate": 1422892465848
}
```

A resource type object can include the following fields:

uuid

String matching the unique identifier AM generated for the resource type when created.

name

The name provided for the resource type.

description

An optional text string to help identify the resource type.

patterns

An array of resource patterns specifying individual URLs or resource names to protect.

For more information on patterns in resource types and policies, refer to <u>Specify</u> <u>resource patterns with wildcards</u>.

actions

Set of string action names, each set to a boolean indicating whether the action is allowed.

createdBy

A string containing the universal identifier DN of the subject that created the resource type.

creationDate

An integer containing the creation date and time, in ISO 8601 format.

lastModifiedBy

A string containing the universal identifier DN of the subject that most recently updated the resource type.

If the resource type has not been modified since it was created, this will be the same value as createdBy.

lastModifiedDate

An string containing the last modified date and time, in ISO 8601 format.

If the resource type has not been modified since it was created, this will be the same value as creationDate.

Before making a REST API call to manage a resource type, make sure that you have:

- Authenticated successfully to AM as a user with sufficient privileges to make the REST API call.
- Obtained the session token returned after successful authentication.

When making a REST API call, specify the realm in the path component of the endpoint. You must specify the entire hierarchy of the realm, starting at the Top Level Realm. Prefix each realm in the hierarchy with the realms/ keyword. For example, /realms/root/realms/customers/realms/europe.

You must also pass the session token in the HTTP header. For more information about the AM session token and its use in REST API calls, see <u>Session token after</u> authentication.

Query resource types

To list all the resource types in a realm, send an HTTP GET request to the /json/realms/root/realms/Realm Name/resourcetypes endpoint, with _queryFilter=true.

NOTE -

If the realm is not specified in the URL, AM returns resource types in the top level realm.

```
$ curl \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=1.0" \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/resourcetypes?_queryFilter=true"
{
    "result":[
        {
            "uuid": "12345a67-8f0b-123c-45de-6fab78cd01e3",
            "name":"LIGHTS",
            "description":"",
            "patterns":[
                "light:///"
            ],
            "actions":{
                "switch_off":true,
                "switch_on":true
            },
"createdBy": "id=amadmin, ou=user, dc=openam, dc=forgerock, dc=org",
            "creationDate":1431013059131,
"lastModifiedBy":"id=amadmin,ou=user,dc=openam,dc=forgerock,dc=org
            "lastModifiedDate":1431013069803
        }
    ],
    "resultCount":1,
    "pagedResultsCookie":null,
    "remainingPagedResults":0
}
```

Additional query strings can be specified to alter the returned results. For more information, see *Query*.

▼ <u>Supported gueryFilter fields and operators</u>

Field	Supported operators
uuid	Equals (eq), Contains (co), Starts with (sw)
name	Equals (eq), Contains (co), Starts with (sw)

Field	Supported operators
description	Equals (eq), Contains (co), Starts with (sw)
patterns	Equals (eq), Contains (co), Starts with (sw)
actions	Equals (eq), Contains (co), Starts with (sw)

Read a resource type

To read a specific resource type in a realm, send an HTTP GET request to the /json/realms/root/realms/Realm Name/resourcetypes endpoint, specifying the UUID in the URL.

NOTE -

If the realm is not specified in the URL, AM uses the top level realm.

```
$ curl \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=1.0" \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/resourcetypes/12345a67-8f0b-123c-45de-6fab78cd01e3"
    "uuid": "12345a67-8f0b-123c-45de-6fab78cd01e3",
    "name":"LIGHTS",
    "description":"",
    "patterns":[
        "light:///"
    ],
    "actions":{
        "switch_off":true,
        "switch_on":true
    },
"createdBy": "id=amadmin, ou=user, dc=openam, dc=forgerock, dc=org",
    "creationDate":1431013059131,
"lastModifiedBy":"id=amadmin,ou=user,dc=openam,dc=forgerock,dc=org
```

```
"lastModifiedDate":1431013069803
}
```

Create a resource type

To create a resource type in a realm, send an HTTP POST request to the /json/realms/root/realms/Realm Name/resourcetypes endpoint, with _action=create. Include a JSON representation of the resource type in the POST data.

NOTE

If the realm is not specified in the URL, AM creates the resource type in the top level realm.

The iPlanetDirectoryPro header is required and should contain the SSO token of an administrative user, such as amAdmin, who has access to perform the operation.

Do not use special characters in resource type, policy, or policy set names (for example, "my+resource+type"). If you include special characters, AM returns a 400 Bad Request error. This includes the following special characters: double quotes ("), plus sign (+), comma (,), less than (<), equals (=), greater than (>), backslash (\), forward slash (/), semicolon (;), and null (\u0000).

```
S curl \
--request POST \
--header "Content-Type: application/json" \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=1.0" \
--data '{
    "name": "My Resource Type",
    "actions":{
        "LEFT": true,
        "RIGHT": true,
        "UP":true,
        "DOWN":true
    },
    "patterns":[
        "http://device/location/"
}' \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/resourcetypes/?_action=create"
{
    "uuid": "12345a67-8f0b-123c-45de-6fab78cd01e4",
    "name": "My Resource Type",
```

```
"description":null,
"patterns":[
        "http://device/location/"
],
"actions":{
        "RIGHT":true,
        "DOWN":true,
        "UP":true,
        "LEFT":true
},
"createdBy":"id=amadmin,ou=user,dc=openam,dc=forgerock,dc=org",
        "creationDate":1431099940616,

"lastModifiedBy":"id=amadmin,ou=user,dc=openam,dc=forgerock,dc=org",
        "lastModifiedDate":1431099940616
}
```

Update a resource type

To update a specific resource type in a realm, send an HTTP PUT request to the /json/realms/root/realms/Realm Name/resourcetypes endpoint, specifying the UUID in both the URL and the PUT body. Include a JSON representation of the updated resource type in the PUT data, alongside the UUID.

```
NOTE
```

If the realm is not specified in the URL, AM uses the top level realm.

The iPlanetDirectoryPro header is required and should contain the SSO token of an administrative user, such as amAdmin, who has access to perform the operation.

Do not use special characters in resource type, policy, or policy set names (for example, "my+resource+type"). If you include special characters, AM returns a 400 Bad Request error. This includes the following special characters: double quotes ("), plus sign (+), comma (,), less than (<), equals (=), greater than (>), backslash (\), forward slash (/), semicolon (;), and null (\u0000).

```
$ curl \
--request PUT \
--header "Content-Type: application/json" \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=1.0" \
--data '{
```

```
"name": "My Resource Type",
    "uuid": "12345a67-8f0b-123c-45de-6fab78cd01e4"
    "actions":{
        "LEFT": true,
        "RIGHT":true,
        "UP":false,
        "DOWN":false
    },
    "patterns":[
        "http://device/location/"
    1
}' \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/resourcetypes/12345a67-8f0b-123c-45de-6fab78cd01e4"
{
    "uuid": "12345a67-8f0b-123c-45de-6fab78cd01e4",
    "name": "My Resource Type",
    "description":null,
    "patterns":[
        "http://device/location/"
    ],
    "actions":{
        "RIGHT":true,
        "DOWN":true,
        "UP":false,
        "LEFT": false
    },
"createdBy": "id=amadmin, ou=user, dc=openam, dc=forgerock, dc=org",
    "creationDate":1431099940616,
"lastModifiedBy": "id=amadmin, ou=user, dc=openam, dc=forgerock, dc=org
    "lastModifiedDate":1637667798885
}
```

Delete a resource type

To delete a specific resource type in a realm, send an HTTP DELETE request to the /json/realms/root/realms/Realm Name/resourcetypes endpoint, specifying the UUID in the URL.

NOTE

If the realm is not specified in the URL, AM uses the top level realm.

The iPlanetDirectoryPro header is required and should contain the SSO token of an administrative user, such as amAdmin, who has access to perform the operation.

```
$ curl \
--request DELETE \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=1.0" \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/resourcetypes/12345a67-8f0b-123c-45de-6fab78cd01e4"
{}
```

You can only delete resource types that are not being used by a policy set or policy. If you attempt to delete a resource type that is in use, AM returns an HTTP 409 Conflict status code, with a message such as:

Remove the resource type from any associated policy sets or policies before you delete it.

Policy sets

A *policy set* groups together the policies that protect applications or sites with similar characteristics; for example, applications that use the same <u>resource type</u>. Policy sets prevent you from having to configure the identical parameters in numerous policies.

Essentially, a policy set provides a *template* for a number of similar policies.

By default, AM includes two policy sets: one for web and Java agents and one for dynamic OAuth 2.0 policies.

Policy sets have templates, called application types. There are two application types defined by default, which correspond to the default policy sets. You only configure application types using the <u>REST API</u>. The default application types should work for most use cases.

▼ <u>Default policy sets</u>

AM includes the following default policy sets:

- The **Default Policy Set**, iPlanetAMWebAgentService, for web and Java agents. You can create new policy sets for agents and configure them in the agent profile.
- The **Default OAuth2 Scopes Policy Set**, oauth2Scopes, for the OAuth 2.0 service on the realm.

When you create or edit policy sets, consider the following points:

• By default, web and Java agents request policy decisions in the Top Level Realm from the policy set, iPlanetAMWebAgentService. If the realm and policy set differ for your web or Java agent, specify the realm and policy set in the agent profile. AM directs requests from the agent to the specified realm and policy set. This behavior is backwards compatible with existing web and Java agents.

For information on setting the realm and policy set in the agent profile details, refer to the <u>ForgeRock web agents documentation</u> or the <u>ForgeRock Java agents</u> <u>documentation</u>.

- AM only honors OAuth2 Scope resource type policies. Configure policies for your OAuth 2.0 service in a custom policy set with OAuth2 Scope resource type policies, or use the existing **Default OAuth2 Scopes Policy Set**.
- AM creates a policy set containing a policy representing the resources and identities specified by a resource owner using UMA 2.0 to share their registered resources.

These policies appear in the AM admin UI as read-only, and cannot be edited by administrative users such as amAdmin. They can, however, be viewed and deleted.

Manage policy sets using the AM admin UI or the REST API:

- Policy sets in the UI
- Policy sets over REST

Policy sets in the UI

- 1. In the AM admin UI, go to **Realms** > **Realm Name** > **Authorization** > **Policy Sets**.
 - To create a new policy set, select New Policy Set.
 - To modify an existing policy set, select it from the table.
- 2. If creating a new policy, enter an ID for the policy set. This is a required parameter.

Once a policy set is created, you cannot change its ID.

3. If creating a new policy, enter a name for the policy set. The name is optional and is for display purposes only.

Do not use special characters in resource type, policy, or policy set names (for example, "my+resource+type"). If you include special characters, AM returns a 400 Bad Request error. This includes the following special characters: double quotes ("), plus sign (+), comma (,), less than (<), equals (=), greater than (>), backslash (\), forward slash (\), semicolon (;), and null (\)u0000).

4. From the **Resource Types** drop-down list, select one or more resource types that policies in this policy set will use.

To remove a resource type from the policy set, select the label, and delete.

5. Select **Create** to save the new policy set, or **Save Changes** to save modifications to an existing policy set.

Policy sets over REST

You can manage policy sets over REST at the applications endpoint.

Policy sets are realm-specific. The URI for the policy set API can therefore contain a realm component, for example, /json/realms/root/realms/Realm Name/applications. If the realm is not specified in the URI, the top level realm is used.

Policy sets take the form of standard JSON objects and values (strings, numbers, objects, sets, arrays, true, false, and null).

▼ Example

```
{
    "creationDate": 1431351677264,
    "lastModifiedDate": 1431351677264,
    "conditions": [
        "AuthenticateToService",
        "Script",
        "AuthScheme",
        "IPv6",
        "SimpleTime",
        "OAuth2Scope",
        "IPv4",
        "AuthenticateToRealm",
        "AMIdentityMembership",
        "LDAPFilter",
        "AuthLevel",
        "SessionProperty",
        "LEAuthLevel",
        "Session",
```

```
"NOT",
        "AND",
        "ResourceEnvIP"
    ],
    "applicationType": "iPlanetAMWebAgentService",
    "subjects": [
        "JwtClaim",
        "AuthenticatedUsers",
        "Identity",
        "NOT",
        "AND",
        "NONE",
        "OR"
    "entitlementCombiner": "DenyOverride",
    "saveIndex": null,
    "searchIndex": null,
    "resourceComparator": null,
    "resourceTypeUuids": [
        "12345a67-8f0b-123c-45de-6fab78cd01e4"
    ],
    "attributeNames": [ ],
    "editable": true,
    "createdBy":
"id=dsameuser, ou=user, dc=openam, dc=forgerock, dc=org",
    "lastModifiedBy":
"id=dsameuser, ou=user, dc=openam, dc=forgerock, dc=org",
    "description": "The built-in Application used by {am_abbr}
Policy Agents.",
    "realm": "/",
    "name": "iPlanetAMWebAgentService"
}
```

A policy set object can include the following fields:

conditions

Condition types allowed in the context of this policy set.

For information on condition types, see <u>Policies over REST</u> and <u>Manage</u> <u>environment condition types</u>.

applicationType

Name of the application type used as a template for this policy set.

subjects

Subject types allowed in the context of this policy set.

For information on subject types, see <u>Policies over REST</u> and <u>Manage subject condition types</u>.

entitlementCombiner

Name of the decision combiner, such as "DenyOverride".

For more on decision combiners, see Manage decision combiners.

saveIndex

Class name of the implementation for creating indexes for resource names, such as "com.sun.identity.entitlement.util.ResourceNameIndexGenerator", for URL resource names.

searchIndex

Class name of the implementation for searching indexes for resource names, such as "com.sun.identity.entitlement.util.ResourceNameSplitter", for URL resource names.

resourceComparator

Class name of the resource comparator implementation used in the context of this policy set.

The following implementations are available:

```
"com.sun.identity.entitlement.ExactMatchResourceName"
"com.sun.identity.entitlement.PrefixResourceName"
"com.sun.identity.entitlement.RegExResourceName"
"com.sun.identity.entitlement.URLResourceName"
```

resourceTypeUuids

A list of the UUIDs of the resource types associated with the policy set.

attributeNames

A list of attribute names such as cn. The list is used to aid policy indexing and lookup.

description

String describing the policy set.

realm

Name of the realm in which this policy set is defined. You must specify the realm in the policy set JSON, even though it can be derived from the URL that is used when creating the policy set.

name

String matching the name in the URL used when creating the policy set by HTTP PUT, or in the body when creating the policy set by HTTP POST.

createdBy

A string containing the universal identifier DN of the subject that created the policy set.

creationDate

An integer containing the creation date and time, in number of seconds since the Unix epoch (1970-01-01T00:00:00Z).

lastModifiedBy

A string containing the universal identifier DN of the subject that most recently updated the policy set.

If the policy set has not been modified since it was created, this will be the same value as createdBy.

lastModifiedDate

An integer containing the last modified date and time, in number of seconds since the Unix epoch (1970-01-01T00:00:00Z).

If the policy set has not been modified since it was created, this will be the same value as creationDate.

Before making a REST API call to request manage a policy component, make sure that you have:

- Authenticated successfully to AM as a user with sufficient privileges to make the REST API call.
- Obtained the session token returned after successful authentication.

When making a REST API call, specify the realm in the path component of the endpoint. You must specify the entire hierarchy of the realm, starting at the Top Level Realm. Prefix each realm in the hierarchy with the realms/ keyword. For example, /realms/root/realms/customers/realms/europe.

You must also pass the session token in the HTTP header. For more information about the AM session token and its use in REST API calls, see <u>Session token after</u> authentication.

Query policy sets

To list all the policy sets in a realm, send an HTTP GET request to the /json/realms/root/realms/Realm Name/applications endpoint, with _queryFilter=true.

NOTE -

If the realm is not specified in the URL, AM returns policy sets in the top level realm.

```
$ curl \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=1.0" \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/applications?_queryFilter=true"
{
   "result": [
       "resourceComparator": null,
       "saveIndex": null,
       "searchIndex": null,
       "applicationType": "iPlanetAMWebAgentService",
       "entitlementCombiner": "DenyOverride",
       "subjects": [
         "AuthenticatedUsers",
         "NOT",
         "Identity",
         "OR",
         "AND",
         "NONE",
         "JwtClaim"
       1.
       "attributeNames": [],
       "editable": true,
       "createdBy":
"id=dsameuser, ou=user, dc=openam, dc=forgerock, dc=org",
       "name": "iPlanetAMWebAgentService",
       "description": "The built-in Application used by OpenAM
Policy Agents.",
       "conditions": [
         "Script",
         "AMIdentityMembership",
         "IPv6",
         "IPv4",
         "SimpleTime",
         "LEAuthLevel",
         "LDAPFilter",
         "AuthScheme",
         "Session",
         "AND",
         "AuthenticateToRealm",
         "ResourceEnvIP",
```

```
"OAuth2Scope",
         "SessionProperty",
         "OR",
         "Transaction",
         "NOT",
         "AuthLevel",
         "AuthenticateToService"
       ],
       "creationDate": 1637661939155,
       "lastModifiedBy":
"id=dsameuser, ou=user, dc=openam, dc=forgerock, dc=org",
       "lastModifiedDate": 1637661939155,
       "actions": {
         "HEAD": true,
         "DELETE": true,
         "POST": true,
         "GET": true,
         "OPTIONS": true,
         "PUT": true,
         "PATCH": true
       },
       "resources": [
         "://:*/",
         "://:/?"
       ],
       "realm": "/"
     },
       "resourceComparator": null,
       "saveIndex": null,
       "searchIndex": null,
       "applicationType": "sunAMDelegationService",
       "entitlementCombiner": "DenyOverride",
       "subjects": [
         "OR",
         "AND",
         "AuthenticatedUsers",
         "NOT",
         "Identity"
       ],
       "attributeNames": [],
       "editable": true,
       "createdBy":
"id=dsameuser, ou=user, dc=openam, dc=forgerock, dc=org",
       "name": "sunAMDelegationService",
```

```
"description": null,
       "conditions": [],
       "creationDate": 1637661944233,
       "lastModifiedBy":
"id=dsameuser, ou=user, dc=openam, dc=forgerock, dc=org",
       "lastModifiedDate": 1637661944233,
       "actions": {
         "READ": true,
         "MODIFY": true,
         "DELEGATE": true
       },
       "resources": [
         "sms://:/"
         "sms://:*/?"
       ],
       "realm": "/"
     },
       "resourceComparator": null,
       "saveIndex": null,
       "searchIndex": null,
       "applicationType": "iPlanetAMWebAgentService",
       "entitlementCombiner": "DenyOverride",
       "subjects": [
         "AuthenticatedUsers",
         "NOT",
         "Identity",
         "OR",
         "AND",
         "NONE",
         "JwtClaim"
       ],
       "attributeNames": [],
       "editable": true,
       "createdBy":
"id=dsameuser, ou=user, dc=openam, dc=forgerock, dc=org",
       "name": "oauth2Scopes",
       "description": "The built-in Application used by the OAuth2
scope authorization process.",
       "conditions": [
         "Script",
         "AMIdentityMembership",
         "IPv6",
         "IPv4",
         "SimpleTime",
```

```
"LEAuthLevel",
         "LDAPFilter",
         "AuthScheme",
         "Session"
         "AND",
         "AuthenticateToRealm",
         "ResourceEnvIP",
         "OAuth2Scope",
         "SessionProperty",
         "OR",
         "Transaction",
         "NOT",
         "AuthLevel"
         "AuthenticateToService"
       ],
       "creationDate": 1637661944239,
       "lastModifiedBy":
"id=dsameuser, ou=user, dc=openam, dc=forgerock, dc=org",
       "lastModifiedDate": 1637661944239,
       "actions": {
         "GRANT": true
       },
       "resources": [
         "://:*/",
         "://:/?",
       ],
       "realm": "/"
   ],
   "resultCount": 3,
   "pagedResultsCookie": null,
   "totalPagedResultsPolicy": "NONE",
   "totalPagedResults": -1,
  "remainingPagedResults": 0
}
```

Additional query strings can be specified to alter the returned results. For more information, see <u>Query</u>.

▼ <u>Supported queryFilter fields and operators</u>

Field	Supported operators
name	Equals (eq)

Field	Supported operators
description	Equals (eq)
createdBy	Equals (eq)
creationDate ⁽¹⁾	Equals (eq), Greater than or equal to (ge), Greater than (gt), Less than or equal to (le), Less than (lt)
lastModifiedBy	Equals (eq)
lastModifiedDate ⁽¹⁾	Equals (eq), Greater than or equal to (ge), Greater than (gt), Less than or equal to (le), Less than (lt)

⁽¹⁾ The implementation of eq for this date field does not use regular expression pattern matching.

Read a policy set

To read a specific policy set in a realm, send an HTTP GET request to the /json/realms/root/realms/Realm Name/applications endpoint, specifying the policy set name in the URL.

NOTE -

If the realm is not specified in the URL, AM uses the top level realm.

The iPlanetDirectoryPro header is required and should contain the SSO token of an administrative user, such as amAdmin, who has access to perform the operation.

```
$ curl \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=1.0" \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/applications/mypolicyset"
{
    "creationDate":1431360678810,
    "lastModifiedDate":1431360678810,
    "conditions":[
         "AuthenticateToService",
         "AuthScheme",
         "IPv6",
         "SimpleTime",
         "OAuth2Scope",
```

```
"IPv4",
        "AuthenticateToRealm",
        "OR",
        "AMIdentityMembership",
        "LDAPFilter",
        "SessionProperty",
        "AuthLevel",
        "LEAuthLevel",
        "Session",
        "NOT",
        "AND",
        "ResourceEnvIP"
    ],
    "applicationType":"iPlanetAMWebAgentService",
    "subjects":[
        "JwtClaim",
        "AuthenticatedUsers",
        "Identity",
        "NOT",
        "AND",
        "OR"
    "entitlementCombiner":"DenyOverride",
    "saveIndex":null,
    "searchIndex":null,
"resourceComparator":"com.sun.identity.entitlement.URLResourceName
    "resourceTypeUuids":[
        "12345a67-8f0b-123c-45de-6fab78cd01e2"
    ],
    "attributeNames":[
    ],
    "editable":true,
"createdBy": "id=amadmin, ou=user, dc=openam, dc=forgerock, dc=org",
"lastModifiedBy": "id=amadmin, ou=user, dc=openam, dc=forgerock, dc=org
    "description": "My example policy set.",
    "realm":"/",
    "name": "mypolicyset"
}
```

You can use the query string parameters _prettyPrint=true to make the output easier to read, and _fields=field-name[,field-name...] to limit the fields returned in the output.

Create a policy set

To create a policy set in a realm, send an HTTP POST request to the /json/realms/root/realms/Realm Name/applications endpoint, with _action=create. Include a JSON representation of the policy set in the POST data.

NOTE -

If the realm is not specified in the URL, AM creates the policy set in the top level realm.

The iPlanetDirectoryPro header is required and should contain the SSO token of an administrative user, such as amAdmin, who has access to perform the operation.

Do not use special characters in resource type, policy, or policy set names (for example, "my+resource+type"). If you include special characters, AM returns a 400 Bad Request error. This includes the following special characters: double quotes ("), plus sign (+), comma (,), less than (<), equals (=), greater than (>), backslash (\), forward slash (/), semicolon (;), and null (/0000).

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=2.1" \
--data '{
    "name": "mypolicyset",
    "resourceTypeUuids":[
        "12345a67-8f0b-123c-45de-6fab78cd01e2"
    ],
    "realm":"/",
    "conditions":[
        "AND",
        "OR",
        "NOT",
        "AMIdentityMembership",
        "AuthLevel",
        "AuthScheme",
        "AuthenticateToRealm",
        "AuthenticateToService",
        "IPv4",
        "IPv6",
```

```
"LDAPFilter",
        "LEAuthLevel",
        "OAuth2Scope",
        "ResourceEnvIP",
        "Session",
        "SessionProperty",
        "SimpleTime"
    1,
    "applicationType":"iPlanetAMWebAgentService",
    "description": "My example policy set.",
"resourceComparator":"com.sun.identity.entitlement.URLResourceName
    "subjects":[
        "AND",
        "OR",
        "NOT",
        "AuthenticatedUsers",
        "Identity",
        "JwtClaim"
    ],
    "entitlementCombiner":"DenyOverride",
    "saveIndex":null,
    "searchIndex":null,
    "attributeNames":[
   1
}' \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/applications/?_action=create"
{
    "creationDate":1431360678810,
    "lastModifiedDate":1431360678810,
    "conditions":
        "AuthenticateToService",
        "AuthScheme",
        "IPv6".
        "SimpleTime",
        "OAuth2Scope",
        "IPv4",
        "AuthenticateToRealm",
        "AMIdentityMembership",
        "LDAPFilter",
        "SessionProperty",
```

```
"AuthLevel",
        "LEAuthLevel",
        "Session",
        "NOT",
        "AND",
        "ResourceEnvIP"
    ],
    "applicationType":"iPlanetAMWebAgentService",
    "subjects":[
        "JwtClaim",
        "AuthenticatedUsers",
        "Identity",
        "NOT",
        "AND",
        "OR"
    ],
    "entitlementCombiner":"DenyOverride",
    "saveIndex":null,
    "searchIndex":null,
"resourceComparator": "com.sun.identity.entitlement.URLResourceName
    "resourceTypeUuids":[
        "12345a67-8f0b-123c-45de-6fab78cd01e2"
    ],
    "attributeNames":[],
    "editable":true,
"createdBy": "id=amadmin, ou=user, dc=openam, dc=forgerock, dc=org",
"lastModifiedBy":"id=amadmin,ou=user,dc=openam,dc=forgerock,dc=org
    "description": "My example policy set.",
    "realm":"/",
    "name": "mypolicyset"
}
```

You can use the query string parameters _prettyPrint=true to make the output easier to read, and _fields=field-name[,field-name...] to limit the fields returned in the output.

Update a policy set

To update a specific policy set in a realm, send an HTTP PUT request to the /json/realms/root/realms/*Realm Name*/applications endpoint, specifying the

policy set name in the URL. Include a JSON representation of the updated policy set in the PUT data.

NOTE -

If the realm is not specified in the URL, AM uses the top level realm.

The iPlanetDirectoryPro header is required and should contain the SSO token of an administrative user, such as amAdmin, who has access to perform the operation.

Do not use special characters in resource type, policy, or policy set names (for example, "my+resource+type"). If you include special characters, AM returns a 400 Bad Request error. This includes the following special characters: double quotes ("), plus sign (+), comma (,), less than (<), equals (=), greater than (>), backslash (\), forward slash (/), semicolon (;), and null (\u0000).

```
$ curl \
--request PUT \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Content-Type: application/json" \
--header "Accept-API-Version: resource=2.1" \
--data '{
    "name": "myupdatedpolicyset",
    "description": "My updated policy set - new name and fewer
allowable conditions/subjects.",
    "conditions":[
        "NOT",
        "SimpleTime"
    ],
    "subjects":[
        "AND",
        "OR",
        "NOT",
        "AuthenticatedUsers",
        "Identity"
    1,
    "applicationType":"iPlanetAMWebAgentService",
    "entitlementCombiner":"DenyOverride",
    "resourceTypeUuids":[
        "76656a38-5f8e-401b-83aa-4ccb74ce88d2"
    1
}' \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/applications/mypolicyset"
{
    "creationDate":1431362370739,
```

```
"lastModifiedDate":1431362390817,
    "conditions":[
        "NOT",
        "SimpleTime"
    ],
"resourceComparator":"com.sun.identity.entitlement.URLResourceName
    "resourceTypeUuids":[
        "76656a38-5f8e-401b-83aa-4ccb74ce88d2"
    ],
"createdBy": "id=amadmin, ou=user, dc=openam, dc=forgerock, dc=org",
"lastModifiedBy": "id=amadmin, ou=user, dc=openam, dc=forgerock, dc=org
    "applicationType":"iPlanetAMWebAgentService",
    "subjects":[
        "AuthenticatedUsers",
        "Identity",
        "NOT",
        "AND",
        "OR"
    ],
    "entitlementCombiner":"DenyOverride",
    "saveIndex":null,
    "searchIndex":null,
    "attributeNames":[
    ],
    "editable":true,
    "description": "My updated policy set - new name and fewer
allowable conditions/subjects.",
    "realm":"/"
    "name": "myupdatedpolicyset"
}
```

You can use the query string parameters _prettyPrint=true to make the output easier to read, and _fields=field-name[,field-name...] to limit the fields returned in the output.

Delete a policy set

To delete a specific policy set in a realm, send an HTTP DELETE request to the /json/realms/root/realms/Realm Name/applications endpoint, specifying the

policy set name in the URL.

```
NOTE -
```

If the realm is not specified in the URL, AM uses the top level realm.

The iPlanetDirectoryPro header is required and should contain the SSO token of an administrative user, such as amAdmin, who has access to perform the operation.

```
$ curl \
--request DELETE \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=2.1" \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/applications/myupdatedpolicyset"
```

Policy set application types over REST

Application types define how to compare resources and index policies. The default application type, iPlanetAMWebAgentService, represents web resources. The policy set for web and Java agents (also called iPlanetAMWebAgentService) is based on this default application type.

The applicationtypes REST endpoint lets you do the following:

- Query application types
- Read a specific application type

Application types are configured per *server*, not per realm. Therefore, the URI for the application types API does not include a realm component, and is simply /json/applicationtypes.

Application types are represented in JSON format, for example:

```
"name": "iPlanetAMWebAgentService",
"actions": {
    "POST": true,
    "PATCH": true,
    "GET": true,
    "DELETE": true,
    "OPTIONS": true,
    "PUT": true,
    "HEAD": true
```

```
},
    "resourceComparator":
"com.sun.identity.entitlement.URLResourceName",
        "saveIndex":
"org.forgerock.openam.entitlement.indextree.TreeSaveIndex",
        "searchIndex":
"org.forgerock.openam.entitlement.indextree.TreeSearchIndex",
        "applicationClassName":
"com.sun.identity.entitlement.Application"
}
```

An application type object includes the following information:

name

Name of the application type.

actions

Set of actions for that application type, each with a boolean value indicating whether the action is allowed.

resourceComparator

The class name of the resource comparator implementation used in the context of this application type.

The following implementations are available:

```
"com.sun.identity.entitlement.ExactMatchResourceName"
"com.sun.identity.entitlement.PrefixResourceName"
"com.sun.identity.entitlement.RegExResourceName"
"com.sun.identity.entitlement.URLResourceName"
```

saveIndex

Class name of the implementation for creating indexes for resource names, such as "com.sun.identity.entitlement.util.ResourceNameIndexGenerator", for URL resource names.

searchIndex

Class name of the implementation for searching indexes for resource names, such as "com.sun.identity.entitlement.util.ResourceNameSplitter", for URL resource names.

applicationClassName

Class name of the application type implementation, such as "com.sun.identity.entitlement.Application".

Query application types

To list all application types, send an HTTP GET request to the $\mbox{/json/applicationtypes}$ endpoint, with a $\mbox{_queryFilter}$ parameter set to true .

The iPlanetDirectoryPro header is required and should contain the SSO token of an administrative user, such as amAdmin, who has access to perform the operation.

```
$ curl \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=1.0" \
"https://openam.example.com:8443/openam/json/applicationtypes?
_queryFilter=true"
{
  "result": [
      "_id": "umaApplicationType",
      "applicationClassName":
"com.sun.identity.entitlement.Application",
      "saveIndex": "org.forgerock.openam.uma.UmaPolicySaveIndex",
      "searchIndex":
"org.forgerock.openam.uma.UmaPolicySearchIndex",
      "resourceComparator":
"org.forgerock.openam.uma.UmaPolicyResourceMatcher",
      "name": "umaApplicationType",
      "actions": {}
    },
      "_id": "sunAMDelegationService",
      "applicationClassName":
"com.sun.identity.entitlement.Application",
      "saveIndex":
"com.sun.identity.entitlement.opensso.DelegationResourceNameIndexG
enerator",
      "searchIndex":
"com.sun.identity.entitlement.opensso.DelegationResourceNameSplitt
er",
      "resourceComparator":
"com.sun.identity.entitlement.RegExResourceName",
      "name": "sunAMDelegationService",
      "actions": {
        "READ": true,
        "MODIFY": true,
        "DELEGATE": true
     }
    },
    {
```

```
"_id": "iPlanetAMWebAgentService",
      "applicationClassName":
"com.sun.identity.entitlement.Application",
      "saveIndex":
"org.forgerock.openam.entitlement.indextree.TreeSaveIndex",
      "searchIndex":
"org.forgerock.openam.entitlement.indextree.TreeSearchIndex",
      "resourceComparator":
"com.sun.identity.entitlement.URLResourceName",
      "name": "iPlanetAMWebAgentService",
      "actions": {
        "HEAD": true,
        "DELETE": true,
        "POST": true,
        "GET": true,
        "OPTIONS": true,
        "PUT": true,
        "PATCH": true
      }
    }
  ],
 "resultCount": 3,
 "pagedResultsCookie": null,
 "totalPagedResultsPolicy": "NONE",
 "totalPagedResults": -1,
 "remainingPagedResults": 0
}
```

Use additional guery strings to narrow down the results. For details, refer to Query.

Read a specific application type

To read an specific application type, send an HTTP GET request to the /json/applicationtypes endpoint, specifying the application type name in the URL.

The iPlanetDirectoryPro header is required and should contain the SSO token of an administrative user, such as amAdmin, who has access to perform the operation.

```
$ curl \
--header "iPlanetDirectoryPro: AQIC5..." \
--header "Accept-API-Version: resource=1.0" \
"https://openam.example.com:8443/openam/json/applicationtypes/iPla
netAMWebAgentService"
{
    "_id": "iPlanetAMWebAgentService",
```

```
"_rev": "1664877005610",
 "applicationClassName":
"com.sun.identity.entitlement.Application",
 "saveIndex":
"org.forgerock.openam.entitlement.indextree.TreeSaveIndex",
 "searchIndex":
"org.forgerock.openam.entitlement.indextree.TreeSearchIndex",
 "resourceComparator":
"com.sun.identity.entitlement.URLResourceName",
  "name": "iPlanetAMWebAgentService",
 "actions": {
   "HEAD": true,
   "DELETE": true,
    "POST": true,
    "GET": true,
    "OPTIONS": true,
    "PUT": true,
    "PATCH": true
 }
}
```

Import and export policies

You can import and export policies to and from files.

You can use these files to back up policies, transfer policies between AM instances, or store policy configuration in a version control system such as Git or Subversion.

AM supports exporting policies in JSON and <u>eXtensible Access Control Markup Language</u> (XACML) Version 3.0^{\square} format.

Comparison of Policy Import/Export Formats

Feature	Supported for JSON?	Supported for XACML?
Can be imported/exported from within the AM admin UI?	No	Yes
Can be imported/exported on the command line, using the ssoadm command?	Yes	Yes
Exports policies?	Yes	Yes

Feature	Supported for JSON?	Supported for XACML?
Exports policy sets?	Yes	Partial ⁽¹⁾
Exports resource types?	Yes	Partial
Creates an exact copy of the original policy sets, resource types, and policies upon import?	Yes	Partial ⁽²⁾

⁽¹⁾ Only the details of policy sets and resource types that are actually used within a policy are exported to the XACML format. The full definition is not exported.

(2) Policy sets and resource types will be generated from the details in the XML, but may not match the definitions of the originals. For example, the names are auto-generated.

NOTE

AM can only import XACML 3.0 files that were either created by an AM instance, or that have had minor manual modifications, due to the reuse of some XACML 3.0 parameters for non-standard information.

Importing and exporting JSON:

- Export policies in JSON format (ssoadm)
- Import policies in JSON format (ssoadm)

Importing and exporting XACML:

- Export to XACML
- Import from XACML

Export policies in JSON format (ssoadm)

1. Use the **ssoadm policy-export** command:

```
$ ssoadm \
  policy-export \
  --realm "/" \
  --servername "https://openam.example.com:8443/openam" \
  --jsonfile "myPolicies.json" \
  --adminid
uid=amAdmin,ou=People,dc=openam,dc=forgerock,dc=org \
  --password-file /tmp/pwd.txt
{
```

```
"RESOURCE_TYPE" : 1,
"POLICY" : 1,
"APPLICATION" : 1
}
```

If exporting from a subrealm, include the top level realm (/) in the --realm value. For example, --realm "/myRealm".

For more information on the syntax of this command, see <u>ssoadm policy-export</u>.

Import policies in JSON format (ssoadm)

1. Use the **ssoadm policy-import** command:

```
$ ssoadm \
 policy-import \
 --realm "/myRealm" \
  --servername "https://openam.example.com:8443/openam" \
  --jsonfile "myPolicies.json" \
  --adminid
uid=amAdmin,ou=People,dc=openam,dc=forgerock,dc=org \
  --password-file /tmp/pwd.txt
  "POLICY" : {
    "CREATE_SUCCESS" : {
      "count" : 1
    }
  },
  "RESOURCE_TYPE" : {
    "CREATE_SUCCESS" : {
      "count" : 1
    }
  },
  "APPLICATION" : {
    "CREATE_SUCCESS" : {
      "count" : 1
    }
  }
}
```

If importing to a subrealm, include the top level realm (/) in the --realm value. For example, --realm "/myRealm".

For more information on the syntax of this command, see <u>ssoadm policy-import</u>.

Export to XACML

AM only exports a policy set that contains policy definitions. No other types can be included in the policy set, such as sub-policy sets or rules.

▼ Policy sets to XACML mappings

AM	XACML
Realm: <timestamp> (yyyy.MM.dd.HH.mm.ss.SSS)</timestamp>	PolicySet ID
Current Time (yyyy.MM.dd.HH.mm.ss.SSS)	Version
Deny Overrides	Policy Combining Algorithm ID
No targets defined	Target

When exporting AM policies to XACML 3.0 policy sets, AM maps its policies to XACML 3.0 policy elements.

▼ Policies to XACML mappings

AM Policy	XACML Policy
Policy Name	Policy ID
Description	Description
Current Time (yyyy.MM.dd.HH.mm.ss.SSS)	Version
xacml rule target	entitlement excluded resource names
Rule Deny Overrides	Rule Combining Algorithm ID
 Any of: Entitlement Subject Resource Names Policy Set Names Action Values 	Target

AM Policy	XACML Policy
Any of:	Variable Definitions
Policy Set Name	
Entitlement Name	
Privilege Created By	
Privilege Modified By	
Privilege Creation Date	
Privilege Last Modification Date	
Single Level Permit/Deny Actions converted to Policy Rules	Rules

NOTE

XACML obligation is not supported. Also, only one XACML match is defined for each privilege action, and only one XACML rule for each privilege action value.

Export policies in XACML format (UI)

 In the AM admin UI, go to Realms > Realm Name > Authorization > Policy Sets, and click Export Policy Sets.

All policy sets, and the policies within will be exported in XACML format.

Export policies in XACML format (REST)

The export service is accessible at the /xacml/policies endpoint using an HTTP GET request at the following endpoint for the root realm or a specific realm:

```
https://openam.example.com:8443/openam/xacml/policies
https://openam.example.com:8443/openam/xacml/realm/policies
```

Here, *realm* is the name of a specific realm.

TIP

You can filter your XACML exports using query search filters. See Export policies in XACML format with search filters (REST).

1. Use the /xacml/policies endpoint to export the AM entitlement policies into XACML 3.0 format.

The following curl command exports the policies and returns the XACML response (truncated for display purposes).

```
$ curl \
--request GET \
--header "iPlanetDirectoryPro: AQIC5..." \
"https://openam.example.com:8443/openam/xacml/policies"
<?xml version="1.0" encoding="UTF-8" standalone="yes"?>
<PolicySet xmlns="urn:oasis:names:tc:xacml:3.0:core:schema:wd-
17"
PolicyCombiningAlgId="urn:oasis:names:tc:xacml:3.0:rule-
combining-algorithm:deny-overrides"
Version="2014.10.08.21.59.39.231"
PolicySetId="/:2014.10.08.21.59.39.231">
<Target/>
<Policy
RuleCombiningAlgId="urn:oasis:names:tc:xacml:3.0:rule-
combining-algorithm:deny-overrides"
 Version="2014.10.08.18.01.03.626"
 PolicyId="Rockshop_Checkout_https://forgerock-
rockshop.openrock.org:443/wp-login.php*?*">
```

Export policies in XACML format with search filters (REST)

Note the following points about the search filters:

- LDAP-based searches. The search filters follow the standard guidelines for LDAP searches as they are applied to the entitlements index in the LDAP configuration backend, located at:
 - ou=default,ou=OrganizationalConfig,ou=1.0,ou=sunEntitlementIndexes,ou=services,dc=openam,dc=forgerock,dc=org.
- **Search filter format**. You can specify a single search filter or multiple filters in the HTTP URL parameters. The format for the search filter is as follows:

```
[attribute name][operator][attribute value]
```

If you specify multiple search filters, they are logically ANDed: the search results meet the criteria specified in all the search filters.

▼ XACML export search filter format

Element	Description
Attribute Name	The name of the attribute to be searched for. The only permissible values are: application (keyword for policy set), createdby, lastmodifiedby, creationdate, lastmodifieddate, name, description.
Operator	The type of comparison operation to perform. • = Equals (text)
	< Less Than or Equal To (numerical)
	 > Greater Than or Equal To (numerical)
Attribute Value	The matching value. Asterisk wildcards are supported.

1. Use the /xacml/policies endpoint to export the policies into XACML 3.0 format with a search filter.

This command only exports policies that were created by "amadmin".

```
$ curl \
--request GET \
--header "iPlanetDirectoryPro: AQIC5..." \
"https://openam.example.com:8443/openam/xacml/policies?
filter=createdby=amadmin"
```

2. You can also specify more than one search filter by logically ANDing the filters as follows:

```
$ curl \
--request GET \
--header "iPlanetDirectoryPro: AQIC5..." \
"https://openam.example.com:8443/openam/xacml/policies?
filter=createdby=amadmin&filter=creationdate=135563832"
```

Export policies in XACML format (ssoadm)

1. Use the **ssoadm list-xacml** command:

```
$ ssoadm \
list-xacml \
--realm "/" \
--adminid uid=amAdmin,ou=People,dc=openam,dc=forgerock,dc=org
\
--password-file /tmp/pwd.txt
<?xml version="1.0" encoding="UTF-8"?>
<PolicySet
...
Policy definitions were returned under realm, /.</pre>
```

For more information on the syntax of this command, see <u>ssoadm list-xacml</u>.

Import from XACML

To test an import, AM provides a dry run feature that runs an import without saving the changes to the database. The dry run feature provides a summary of the import so that you can troubleshoot any potential mismatches prior to the actual import.

Import policies in XACML format (UI)

- In the AM admin UI, go to Realms > Realm Name > Authorization > Policy Sets, and click Import Policy Sets.
- 2. Browse to the XACML format file, select it, and click **Open**.

Any policy sets, and the policies within will be imported from the selected XACML format file.

NOTE

Policy sets and resource types will be generated from the details in the XACML format file, but may not match the definitions of the originals, for example the names are auto-generated.

Import policies in XACML format (REST)

You can import a XACML policy using an HTTP POST request for the root realm or a specific realm at the following endpoints:

```
https://openam.example.com:8443/openam/xacml/policies
https://openam.example.com:8443/openam/xacml/realm/policies
```

Here, *realm* is the name of a specific realm.

1. You can do a dry run using the dryrun=true query to test the import. The dry run option outputs in JSON format and displays the status of each import policy, where "U" indicates "Updated"; "A" for "Added". The dry run does not actually update to the database. When you are ready for an actual import, you need to re-run the command without the dryrun=true query.

```
$ curl \
--request POST \
--header "Content-Type: application/xml" \
--header "iPlanetDirectoryPro: AQIC5..." \
--data @xacml-policy.xml \
"https://openam.example.com:8443/openam/xacml/policies?
dryrun=true"
{
        "status": "A",
        "name": "aNewPolicy"
    },
    {
        "status":"U",
        "name": "anExistingPolicy"
    },
    {
        "status":"U",
        "name": "anotherExistingPolicy"
    }
]
```

2. Use the /xacml/policies endpoint to import a XACML policy:

```
$ curl \
--request POST \
--header "Content-Type: application/xml" \
--header "iPlanetDirectoryPro: AQIC5..." \
--data @xacml-policy.xml \
"https://openam.example.com:8443/openam/xacml/policies"
```

You can import a XACML policy into a realm as follows:

\$ curl \
--request POST \
--header "Content-Type: application/xml" \
--header "iPlanetDirectoryPro: AQIC5..." \
--data @xacml-policy.xml \"
"https://openam.example.com:8443/openam/xacml/realm/policies"

Import policies in XACML format (ssoadm)

Use the **ssoadm create-xacml** command:

```
$ ssoadm \
create-xacml \
--realm "/" \
--adminid uid=amAdmin,ou=People,dc=openam,dc=forgerock,dc=org \
--password-file /tmp/pwd.txt \
--xmlfile policy.xml
Policies were created under realm, /.
```

For more information on the syntax of this command, see <u>ssoadm create-xacml</u>.

Request authorization from AM

Once you have configured AM to determine whether to grant or deny access based on the policies you created, you must configure your policy enforcement points (PEP) to use AM.

The ForgeRock Identity Platform provides the following PEPs:

• Web agents and Java agents, which are add-on components installed on the web server or container serving your applications. They are tightly integrated with AM, and serve exclusively as policy enforcement points.

Learn more in the Web Agents documentation or the Java Agents documentation.

• ForgeRock Identity Gateway, which is a high-performance reverse proxy server that can also function as a policy enforcement point.

Learn more in <u>Policy enforcement</u> in the Identity Gateway documentation.

The ForgeRock Identity Platform PEP's intercept inbound client requests to access a resource in your website or application. Then, based on internal rules, they may defer

the request to AM for policy evaluation. Since they are tightly integrated with AM, you do not need to add additional code to request policy evaluation or manage advices.

We recommend that you use the ForgeRock Identity Platform PEP's. However, you can code your own and make REST calls to AM to request policy evaluation.

Related information: Request policy decisions over REST

Request policy decisions over REST

You can request policy decisions from AM over REST. AM evaluates requests based on the context and the configured policies, and returns decisions that indicate what actions are allowed or denied, as well as any attributes or advices for the specified resources.

NOTE

This section does not apply to OAuth 2.0 policies.

Request policy evaluation at the /json/realms/root/realms/*Realm Name*/policies endpoint.

When making a REST API call, specify the realm in the path component of the endpoint. You must specify the entire hierarchy of the realm, starting at the Top Level Realm. Prefix each realm in the hierarchy with the realms/ keyword. For example, /realms/root/realms/customers/realms/europe.

Before making a REST API call to manage a policy, you must have:

- Authenticated successfully to AM as a user with sufficient privileges to make the REST API call.
- Obtained the session token returned after successful authentication.

When making the REST API call, pass the session token in the HTTP header. For more information about the AM session token and its use in REST API calls, see <u>Session token after authentication</u>.

To request decisions for specific resources, see Request policy decisions for a specific resource.

To request decisions for a resource and all resources beneath it, see Request policy decisions for a tree of resources.

Request policy decisions for a specific resource

To request policy decisions for specific resources, send a POST request to the policies endpoint, with the evaluate action. For example:

/json/realms/root/realms/Realm Name/policies?_action=evaluate.

When making a REST API call, specify the realm in the path component of the endpoint. You must specify the entire hierarchy of the realm, starting at the Top Level Realm. Prefix each realm in the hierarchy with the realms/ keyword. For example, /realms/root/realms/customers/realms/europe.

The payload for the HTTP POST is a JSON object that specifies at least the resources, and takes the following form.

```
{
    "resources": [
        "resource1",
        "resource2",
        "resourceN"
    "application": "defaults to iPlanetAMWebAgentService if not
specified",
    "subject": {
        "ssoToken": "SSO token ID string",
        "jwt": "JSON Web Token string",
        "claims": {
             "key": "value",
        }
    },
    "environment": {
        "optional key1": [
             "value",
             "another value",
             . . .
        ],
        "optional key2": [
             "value",
             "another value",
        ],
        . . .
    }
}
```

The input fields are as follows:

resources

(Required) Specifies the list of resources for which to return decisions.

For example, when using the default policy set, "iPlanetAMWebAgentService", you can request decisions for resource URLs.

```
"resources": [
    "http://www.example.com/index.html",
    "http://www.example.com/do?action=run"
]
}
```

application

The name of the policy set. Defaults to "iPlanetAMWebAgentService", if not specified.

For more on policy sets, see Policy sets over REST.

subject

(Optional). Holds an object that represents the subject. If you do not specify the subject, AM uses the SSO token ID of the subject making the request.

Specify one or more of the following keys. If you specify multiple keys, the subject can have multiple associated principals, and you can use subject conditions corresponding to any type in the request:

ssoToken

The value is the SSO token ID string for the subject, returned for example on successful authentication as described in Authenticate over REST.

You can use an OpenID Connect ID token if the client that the token has been issued for is authorized to use ID tokens as session tokens. For more information, see <u>Using ID Tokens as Session Tokens</u>.

jwt

The value is a JWT string.

NOTE -

If you pass the subject details as a JWT, AM does not attempt to validate the JWT signature or the claims in the JWT. It is assumed that you have already validated the JWT before calling the authorization endpoint.

For AM-issued ID Tokens, you can, instead, pass the ID Token as the value of the ssoToken field (after adding your client to the Authorized SSO Clients list). In this case, AM will validate the token. For more information, see ID tokens as subjects in policy evaluation.

claims

The value is an object (map) of JWT claims to their values. Any string is permitted, but you must include the sub claim.

environment

(Optional). Holds a map of keys to lists of values.

If you do not specify the environment, the default is an empty map.

The following example requests policy decisions for two URL resources. The iPlanetDirectoryPro header sets the SSO token for a user who has access to perform the operation.

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "Accept-API-Version: resource=2.1" \
--header "iPlanetDirectoryPro: AQIC5..." \
--data '{
    "resources":[
        "http://www.example.com/index.html",
        "http://www.example.com/do?action=run"
    1.
    "application":"iPlanetAMWebAgentService"
}' \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/policies?_action=evaluate"
{
        "resource": "http://www.example.com/do?action=run",
        "actions":{
        },
        "attributes":{
        },
        "advices":{
            "AuthLevelConditionAdvice":[
                "3"
            1
        }
    },
        "resource": "http://www.example.com/index.html",
        "actions":{
            "POST": false,
            "GET":true
```

In the JSON list of decisions returned for each resource, AM includes these fields.

resource

The resource specified in the request.

The decisions returned are not guaranteed to be in the same order as the requested resources.

actions

A map of action name keys to Boolean values that indicate whether the action is allowed (true) or denied (false) for the specified resource.

In the example, for resource http://www.example.com:80/index.html HTTP GET is allowed, whereas HTTP POST is denied.

attributes

A map of attribute names to their values, if any response attributes are returned, according to applicable policies.

In the example, the policy that applies to

http://www.example.com:80/index.html causes the value of the subject's "cn" profile attribute to be returned.

advices

A map of advice names to their values, if any advice is returned according to applicable policies.

The advices field can provide hints about what AM requires to make an authorization decision.

In the example, the policy that applies to http://www.example.com:80/do? action=run requests that the subject be authenticated at an authentication level of at least 3.

See Policy decision advice for details.

You can use the query string parameters _prettyPrint=true to make the output easier to read, and _fields=field-name[,field-name...] to limit the fields returned in the output.

Request policy decisions for a tree of resources

To request policy decisions for a resource, and all other resources in the subtree, send a POST request to the policies endpoint, with the evaluateTree action. For example:

/json/realms/root/realms/Realm Name/policies?_action=evaluateTree

The payload for the HTTP POST is a JSON object that specifies at least the root resource, and takes the following form.

```
{
    "resource": "resource string",
    "application": "defaults to iPlanetAMWebAgentService if not
specified",
    "subject": {
        "ssoToken": "SSO token ID string",
        "jwt": "JSON Web Token string",
        "claims": {
            "key": "value",
            . . .
        }
    },
    "environment": {
        "optional key1": [
            "value",
            "another value",
        ],
        "optional key2": [
            "value",
            "another value"
```

```
1,
...
}
```

The values for the fields shown above are explained below:

resource

(Required) Specifies the root resource for the decisions to return.

For example, when using the default policy set, "iPlanetAMWebAgentService", you can request decisions for resource URLs.

```
{
    "resource": "http://www.example.com/"
}
```

application

The name of the policy set. Defaults to "iPlanetAMWebAgentService" if not specified.

For more on policy sets, see Policy sets over REST.

subject

(Optional) An object that represents the subject. You can specify one or more of the following keys. If you specify multiple keys, the subject can have multiple associated principals, and you can use subject conditions that correspond to any type in the request.

ssoToken

The SSO token ID string for the subject, returned on successful authentication, as described in <u>Authenticate over REST</u>.

jwt

The value is a JWT string.

NOTE

If you pass the subject details as a JWT, AM does not attempt to validate the JWT signature or the claims in the JWT. It is assumed that you have already validated the JWT before calling the authorization endpoint.

For AM-issued ID Tokens, you can, instead, pass the ID Token as the value of the ssoToken field (after adding your client to the Authorized SSO Clients list). In this case, AM will validate the token. For more information, see <u>Using ID Tokens as Subjects in Policy Decisions</u>.

claims

An object (map) of JWT claims to their values. If you do not specify the subject, AM uses the SSO token ID of the subject making the request.

environment

(Optional) A map of keys to lists of values.

If you do not specify the environment, the default is an empty map.

The following example requests policy decisions for http://www.example.com/. The iPlanetDirectoryPro header sets the SSO token for a user who has access to perform the operation. The subject takes the SSO token of the user who wants to access a resource.

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "iPlanetDirectoryPro: AQIC5...NDU1*" \
--header "Accept-API-Version: resource=1.0" \
--data '{
    "resource": "http://www.example.com/",
    "subject": { "ssoToken": "AQIC5...zE4*" }
}' \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/policies?_action=evaluateTree"
{
        "resource": "http://www.example.com/",
        "actions":{
            "GET":true,
            "OPTIONS": true,
            "HEAD":true
        },
        "attributes":{
        },
        "advices":{
        }
    },
        "resource": "http://www.example.com/",
        "actions":{
            "POST":false,
            "PATCH": false,
            "GET":true,
```

```
"DELETE": true,
             "OPTIONS": true,
             "HEAD": true,
             "PUT": true
        },
        "attributes":{
             "myStaticAttr":[
                 "myStaticValue"
        },
        "advices":{
        }
    },
        "resource":"http://www.example.com/?*",
        "actions":{
             "POST": false,
             "PATCH": false,
             "GET": false,
             "DELETE": false,
             "OPTIONS": true,
             "HEAD": false,
             "PUT":false
        },
        "attributes":{
        },
        "advices":{
             "AuthLevelConditionAdvice":[
        }
    }
]
```

NOTE

AM returns decisions not only for the specified resource, but also for matching resource names in the tree whose root is the specified resource.

In the JSON list of decisions returned for each resource, AM includes these fields:

resource

A resource name whose root is the resource specified in the request.

The decisions returned are not guaranteed to be in the same order as the resources were requested.

actions

A map of action name keys to Boolean values that indicate whether the action is allowed (true) or denied (false) for the specified resource.

In the example, for matching resources with a query string only HTTP OPTIONS is allowed according to the policies configured.

attributes

A map of attribute names to their values, if any response attributes are returned according to applicable policies.

In the example, the policy that applies to http://www.example.com:80/* causes a static attribute to be returned.

advices

A map of advice names to their values, if any advice is returned according to applicable policies.

The advices field can provide hints regarding what AM needs, to make the authorization decision.

In the example, the policy that applies to resources with a query string requests that the subject be authenticated at an authentication level of at least 3.

Notice that with the advices field present, no advices appear in the JSON response.

```
{
    "advices": {
        "AuthLevelConditionAdvice": [ "3" ]
    }
}
```

You can use the query string parameters _prettyPrint=true to make the output easier to read, and _fields=field-name[,field-name...] to limit the fields returned in the output.

Policy decision advice

When AM returns a policy decision, the JSON for the decision can include an advices field. This field contains hints for the policy enforcement point.

The advices returned depend on policy conditions.

This section shows examples of the different types of policy decision advice and the conditions that cause AM to return the advice.

"AuthLevel" and "LEAuthLevel" condition failures can result in an advice showing the expected or maximum possible authentication level. For example, failure against the following condition:

```
{
    "type": "AuthLevel",
    "authLevel": 2
}
```

Leads to this advice:

```
{
    "AuthLevelConditionAdvice": [
        "2"
    ]
}
```

An AuthScheme condition failure can result in an advice showing one or more required authentication modules. For example, failure against the following condition:

```
{
   "type": "AuthScheme",
   "authScheme": [
        "HOTP"
   ],
   "applicationName": "iPlanetAMWebAgentService",
   "applicationIdleTimeout": 10
}
```

Leads to this advice:

```
{
    "AuthSchemeConditionAdvice": [
        "HOTP"
    ]
}
```

An AuthenticateToRealm condition failure can result in an advice showing the name of the realm to which authentication is required. For example, failure against the following condition:

```
{
    "type": "AuthenticateToRealm",
    "authenticateToRealm": "MyRealm"
}
```

Leads to this advice:

```
{
    "AuthenticateToRealmConditionAdvice": [
        "/myRealm"
]
}
```

An AuthenticateToService condition failure can result in an advice showing the name of the required authentication chain or tree. For example, failure against the following condition:

```
{
    "type": "AuthenticateToService",
    "authenticateToService": "MyAuthnChain"
}
```

Leads to this advice:

```
{
    "AuthenticateToServiceConditionAdvice": [
         "MyAuthnChain"
    ]
}
```

A ResourceEnvIP condition failure can result in an advice that indicates corrective action to be taken. The advice varies, depending on what the condition tests. For

example, failure against the following condition:

```
{
   "type": "ResourceEnvIP",
   "resourceEnvIPConditionValue": [
       "IF IP=[127.0.0.12] THEN authlevel=4"
   ]
}
```

Leads to this advice:

```
{
   "AuthLevelConditionAdvice": [
      "4"
   ]
}
```

Failure against a different type of ResourceEnvIP condition such as the following:

```
{
    "type": "ResourceEnvIP",
    "resourceEnvIPConditionValue": [
        "IF IP=[127.0.0.11] THEN service=MyAuthnChain"
]
}
```

Leads to this advice:

```
{
    "AuthenticateToServiceConditionAdvice": [
         "MyAuthnChain"
    ]
}
```

A Session condition failure can result in an advice showing that access has been denied because the user's session has been active longer than allowed by the condition. The advice will also show if the user's session was terminated and reauthentication is required. For example, failure against the following condition:

```
{
    "type": "Session",
    "maxSessionTime": "10",
```

```
"terminateSession": false
}
```

Leads to this advice:

```
{
    "SessionConditionAdvice": [
        "deny"
    ]
}
```

When policy evaluation denials occur against the following conditions, AM does not return any advice:

- IPv4
- IPv6
- LDAPFilter
- OAuth2Scope
- SessionProperty
- SimpleTime

When policy evaluation is requested for a nonexistent or inactive subject, AM returns an HTTP 200 code and a response that contains no actions or advice. Access to the resource is denied.

Transactional authorization

Transactional authorization requires a user to authorize *every* access to a resource. It is part of an AM policy that grants single-use or one-shot access.

For example, a user might approve a financial transaction with a one-time password (OTP) sent to their device, or respond to a push notification to confirm that they have indeed signed on from an unexpected location.

Performing the additional action successfully grants access to the protected resource but only once. Additional attempts to access the resource require the user to perform the configured actions again.

Transactional authorization is implemented as an environment condition type in an authorization policy, and affects the <u>authorization decision</u>.

Transactional authorization is not designed to work with account lockout and does not increment lockout counters. As such, don't use transactional authorization with authentication mechanisms that are susceptible to brute force attacks, such as simple username/password authentication, or OTP authentication. Instead, configure transactional authorization if you are using a strong authentication mechanism, such as MFA: Push authentication, which is not susceptible to brute force attacks. If you *do* use transactional authorization with an authentication mechanism, such as OTP authentication, make sure that you manage rate-limiting in some other way.

▼ Example

The transactional authorization environment condition can be combined in policies with the other conditions. For example, only requiring a push notification response when access is attempted to the employees subrealm but outside usual working hours, as shown below:

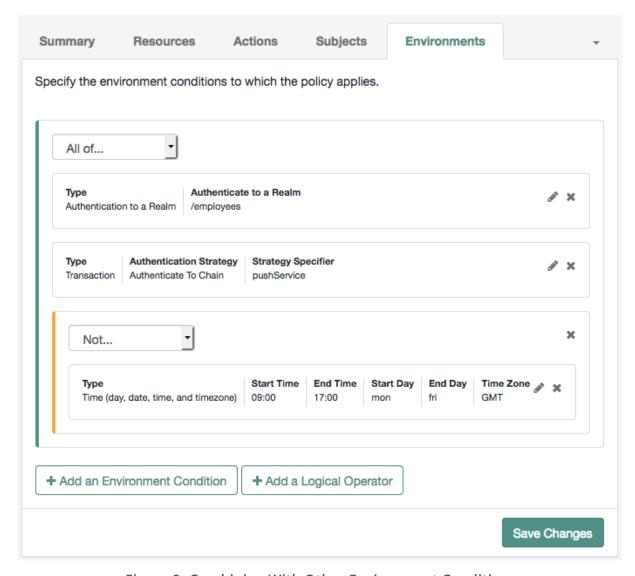


Figure 9. Combining With Other Environment Conditions

Related Information: <u>Authorization and policy decisions</u>

▼ How does transactional authorization work?

The following diagram describes the sequence of events that occur when accessing a resource that is protected by a REST application, and an AM policy containing a transactional environment condition:

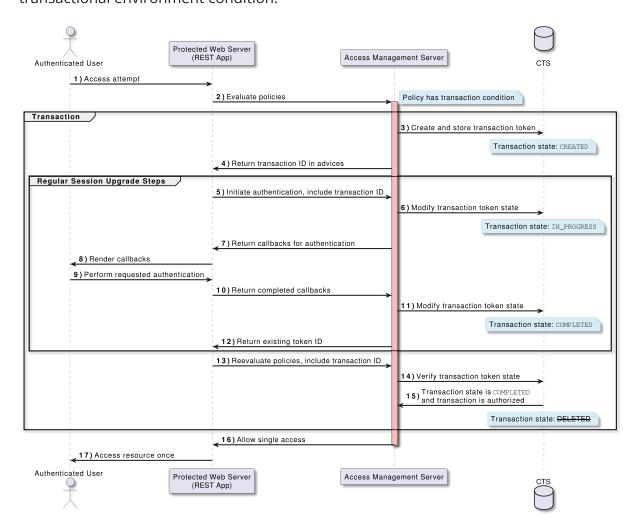


Figure 10. Accessing Resources with Transactional Authorization

The sequence of events for a transaction authorization is as follows:

- 1. An authenticated user attempts to access a resource that is protected by an AM server.
- 2. The resource server contacts AM to evaluate the policies that apply.

The resource server can be protected with ForgeRock's Web or Java Agents, which support transactional authorization natively, or a custom application that uses ForgeRock's REST API as per the diagram to manage the transactional authorization.

3. As the policy contains a transaction environment condition, AM creates a transaction token in the Core Token Service (CTS) store. The initial transaction token state is set to CREATED.

The transaction token contains information about the policy evaluation, including the:

- Realm
- Resource
- Subject
- Audit tracking ID
- Authentication method

To protect against tampering, AM verifies that these details do not change and match those in the incoming requests for the duration of the transaction.

The transaction token has a time-to-live (default 180 seconds) defined in the Transaction Authentication Service. If the transaction is not completed in this time, the token is deleted, and the flow will need to be restarted. Alter the default if the transaction includes authentication actions that take more time to complete. For example, using HOTP authentication for a one-time password over email.

The time-to-live can be configured globally, or per-realm. See <u>Transaction</u> Authentication Service.

1. In the JSON response to the policy evaluation request, AM returns the transaction ID—the unique ID of the newly created transaction token—in the TransactionConditionAdvice array in the advices object:

2. As the JSON response to the evaluation does not grant any actions but does contain advices, the REST application on the resource server extracts the transaction ID and returns it to the authentication service to commence the authentication.

The transaction ID is included in the TransactionConditionAdvice attribute value pair in the composite advice query parameters sent as part of the request for actions.

ForgeRock web and Java agents manage this interaction natively. For information on using the REST API to handle advices elements in policy evaluations, see

Request policy decisions over REST.

3. AM extracts the transaction ID from the composite advice, verifies the corresponding transaction token, and changes the state to IN_PROGRESS.

If the transaction ID is not in the expected state or does not exist, a 401 Unauthorized error is returned. For example:

```
"code": 401,
"reason": "Unauthorized",
"message": "Unable to read transaction.",
"detail": {
    "errorCode": "128"
}
```

4. AM responds with the callbacks necessary to satisfy any environment conditions.

NOTE -

The advices returned by transaction environment conditions have the lowest precedence when compared to the other condition advices. End users will have to complete the non-transactional condition advices before they can complete the transactional condition advices.

- 5. The REST application renders the callbacks and presents them to the user.
- 6. The user completes the required actions.

For example, authenticates to the specified chain, or responds to the push notification on their registered mobile device.

TIP

If the user is unable to complete the actions, AM returns an HTTP 200 message and the user is redirected to the protected resource. Policy evaluation will fail since the transactional authorization process has failed.

To return an HTTP 401 message and redirect the user to the failure URL, configure the

<u>org.forgerock.openam.auth.transactionauth.returnErrorOnAuthFailure</u> advanced server property.

- 7. The REST app completes the callbacks and returns the result to AM.
- 8. AM verifies the transaction token, and changes the state to COMPLETED.
- 9. With the transaction now complete, AM returns the original token.

Note that the authentication performed as part of an authorization flow does not behave exactly the same as a standard authentication. The differences are:

- The user's original session is not upgraded or altered in any way.
- Failing the authentication during the authorization flow does not increment account lockout counters.
- 10. The web or Java agent or custom application on the resource server can reevaluate the policies applying to the protected resources again, but includes the ID of the completed transaction as a value in the TxId array in the environment object:

```
"resources" :
["http://www.example.com:8000/index.html"],
    "application" : "iPlanetAMWebAgentService",
    "subject" : {
        "ssoToken" : "AQIC5w....*AJTMQAA*"
    },
    "environment": {
        "TxId": ["7b8bfd4c-60fe-4271-928d-d09b94496f84"]
    }
}
```

- 11. AM verifies the transaction was authorized and that the transaction token is in the COMPLETED state.
- 12. If the transaction was completed successfully, authorization continues.

The transaction token is marked for deletion, so that it cannot be used to grant more than a single access.

13. As the authentication required to complete the transaction was successful, AM returns the result of the policy reevaluation.

For example, the following response grants the POST and GET actions to the resource http://www.example.com:8000/index.html:

```
"resource": "http://www.example.com:8000/index.html",
"actions": {
     "POST": true,
     "GET": true
},
"attributes": {},
"advices": {},
```

```
"ttl": 0
}
```

IMPORTANT -

Successful transactional authorization responses set the time-to-live (ttl) value to zero to ensure that the policy decision is not cached and cannot be used more than once.

ForgeRock agents prior to version 5 do not support a time-to-live value of zero and cannot be used for transactional authorization.

14. The user is able to access the protected resource once.

Additional attempts to access a resource protected with a policy containing a transactional environment condition require a new transaction to be completed.

To configure transactional authorization, first configure the chains or trees you need for session upgrade, and then configure your policies.

The following procedures demonstrate an example that uses push notifications:

Prerequisites

• Create an authentication chain containing the ForgeRock Authenticator (PUSH) Registration authentication module. Log in to that chain as the demo user and register a mobile device using the ForgeRock Authenticator application.

See <u>Create a chain for push registration and passwordless authentication</u> and <u>Registering the ForgeRock Authenticator for multi-factor authentication</u>.

• Set up the Push Notification service in AM with valid credentials.

For information on provisioning the credentials required by the Push Notification Service, see How To Configure Service Credentials (Push Auth, Docker) in Backstage in the ForgeRock Knowledge Base.

For detailed information about Push Notification Service properties, see <u>Push</u> Notification Service.

- Perform at least one of the following steps:
 - To use the AM admin UI for the demonstration, set up a web agent to protect web resources. See the <u>ForgeRock Web Agents documentation</u>.
 - To use the AM REST API for the demonstration, create a user account that has read access to the policy endpoints. By default, users do not have permissions to access the policy evaluation endpoints directly. To allow access to the policy REST endpoints, follow the steps in <u>Add a user who can evaluate policies</u>.

Prepare AM for transactional authorization with push notifications

Perform the following steps to set up an authorization policy with a transaction environment condition, which requires users to respond to a push notification message on their registered mobile device to authorize access to a protected resource.

- 1. Add a ForgeRock Authenticator (Push) authentication module:
 - Log in as an AM administrator. For example, amAdmin.
 - Go to Realms > Top Level Realm > Authentication > Modules, and click Add
 Module.
 - On the New Module page, name the module pushAuth, select ForgeRock
 Authenticator (Push) as the module type, and click Create.
 - Alter the Login Message.

For example:

```
Authorize {{user}} at {{issuer}}?
```

- Select Save Changes.
- 2. Add the module to an authentication chain:
 - Go to Realms > Top Level Realm > Authentication > Chains, and click Add
 Chain.
 - On the **Add Chain** page, name the chain pushAuthChain, and click **Create**.
 - On the Edit Chain tab, click Add a Module.
 - On the New Module dialog box, from the Select Module drop-down list, select the push module you created in the earlier step; for example, pushAuth. From the Select Criteria drop-down list, select Required, and click OK.
 - On the Edit Chain tab, click Save Changes.
- 3. Create an authorization policy as described in Policies.

NOTE

Ensure that there are not multiple policies which match the protected resource that is being authorized. If multiple policies have a matching subject or environment conditions with the protected resource, it may be incorrectly authorized.

Make the following changes to the policy:

 Go to Realms > Top Level Realm > Authorization > Policy Sets > Default Policy Set.

- On the **Default Policy Set** page, select Authenticated users can get Apache HTTP home page.
- On the Environments tab, select Add an Environment Condition, and click Transaction.
- From the Authentication Strategy drop-down list, select **Authenticate to**Chain.
- In the **Strategy Specifier** field, enter the name of the push authorization chain created earlier, for example, pushAuthChain.

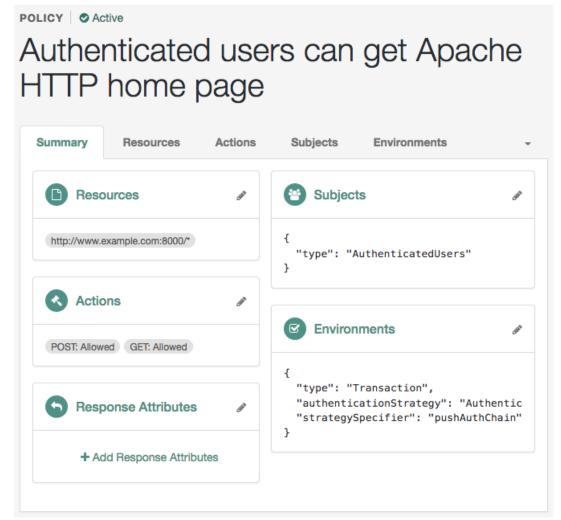
NOTE

The value entered must exactly match the name of the chain. The value is not validated by the UI, and an incorrect value will cause the authorization to fail.

Select the checkmark icon, and click Save Changes.

The resulting policy will resemble the following image:

▼ <u>Transaction Environment Condition in a Policy</u>



4. Choose one of the following options to demo transactional authorization:

- To use the AM admin UI for the demonstration, proceed to the steps outlined in Transactional authorization with a browser.
- To use the AM REST API for the demonstration, proceed to the steps outlined in Transactional authorization over REST.

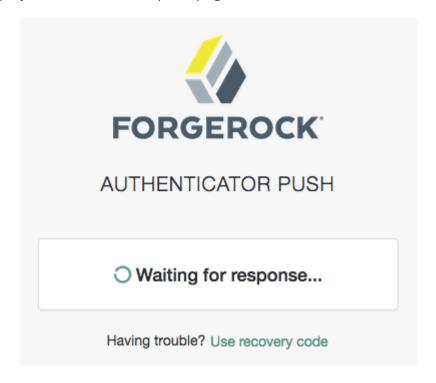
Transactional authorization with a browser

1. In a web browser, go to a URL that is protected by the policy you edited in Prepare AM for transactional authorization with push notifications, such as http://www.example.com:8000/index.html.

The web agent will redirect the browser to the AM login screen.

2. Log in to AM as user demo with password Ch4ng31t.

AM will display the authenticator push page:

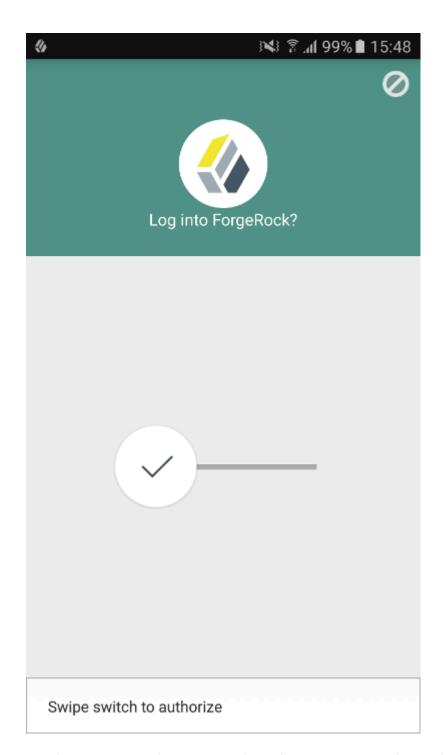


The mobile device that was registered to the demo user will receive a push notification message:



3. On the registered mobile device, tap the notification.

The ForgeRock Authenticator app will open. Swipe the switch to authorize the access attempt.



After authorizing the request in the ForgeRock Authenticator app, the authenticator push page in the web browser redirects to the requested resource, completing the transactional authorization.

Note that refreshing the protected page in the web browser at this point starts a new transactional authorization flow, and send a new push notification.

Transactional authorization over REST

1. Obtain a session token from AM for user demo with password Ch4ng31t:

```
$ curl \
--request POST \
```

```
--header "Content-Type: application/json" \
--header "X-OpenAM-Username: demo" \
--header "X-OpenAM-Password: Ch4ng31t" \
--header "Accept-API-Version: resource=2.0, protocol=1.0" \
'https://openam.example.com:8443/openam/json/realms/root/realm
s/alpha/authenticate'
{
    "tokenId":"AQIC5wM...TU30Q*",
    "successUrl":"/openam/console",
    "realm":"/alpha"
}
```

2. Request a policy evaluation with the tokenId from the previous step as the subject, and a resource URL that is protected by the policy you edited in Prepare AM for transactional authorization with push notifications, such as http://www.example.com:8000/index.html.

NOTE -

The request requires authentication as a user with the privileges to access the policy endpoints, for example by specifying the SSO token ID in the iPlanetDirectoryPro cookie. See <u>Authenticate over REST</u>.

```
$ curl \
--cookie "iPlanetDirectoryPro=AQIC5wM2L...zEAAA.." \" \
--request POST \
--header "Content-Type: application/json" \
--header "Accept-API-Version: resource=2.0" \
--data '{
    "resources" : ["http://www.example.com:8000/index.html"],
    "subject" : {
        "ssoToken" : "AQIC5w...NTcy"
    "application": "iPlanetAMWebAgentService"
}' \
"https://openam.example.com:8443/openam/json/realms/root/realm
s/alpha/policies/?_action=evaluate"
{
   "resource": "http://www.example.com:8000/index.html",
   "actions": {},
   "attributes": {},
   "advices": {
       "TransactionConditionAdvice": [
           "9dae2c80-fe7a-4a36-b57b-4fb1271b0687"
       ]
   },
```

```
"ttl": 0
}
```

TIP

Enter the name of your policy set in the application parameter if you are not creating policies in the default, iPlanetAMWebAgentService.

AM returns an empty actions element, and a transaction ID in the TransactionConditionAdvice property, because a transactional authorization is required to access the resource.

3. Initiate authentication, and include the transaction ID in the composite advice.

Note that the steps used for performing a transactional authorization are identical to performing a session upgrade. See <u>Session upgrade</u>.

The transaction ID returned in the previous step must be returned as composite advice query parameters, wrapped in URL-encoded XML. The XML format is as follows:

Use the SSO token of the demo user for this request.

Note that the following **cur1** command URL-encodes the XML values, and the -G parameter appends them as query string parameters to the URL:

```
s/alpha/authenticate'
    "authId": "eyJ0eXAi0i...WLxJ-1d6ovYKHQ",
    "template": "",
    "stage": "AuthenticatorPush3",
    "header": "Authenticator Push",
    "callbacks": [
        {
            "type": "PollingWaitCallback",
            "output": [
                {
                     "name": "waitTime",
                     "value": "10000"
                 }
            ]
        },
        {
            "type": "ConfirmationCallback",
            "output": [
                {
                     "name": "prompt",
                     "value": ""
                },
                 {
                     "name": "messageType",
                     "value": 0
                },
                 {
                     "name": "options",
                     "value": [
                         "Use Emergency Code"
                     ]
                },
                 {
                     "name": "optionType",
                     "value": -1
                },
                 {
                     "name": "defaultOption",
                     "value": 0
                }
            ],
            "input": [
                 {
                     "name": "IDToken2",
```

At this point, the mobile device that was registered to the demo user will receive a push notification message that they should authorize in the ForgeRock Authenticator app.

4. Ensure that the time specified in the waitTime property in the callbacks has passed, in this case at least 10 seconds, and then complete and return the requested callbacks.

The value of the authId property must also be returned, as well as the URL-encoded transaction ID.

Use the SSO token of the demo user for this request.

NOTE

In this example, the required XML parameters have been URL-encoded and added to the URL. The **curl** command is not able to use the --data-urlencode option for query-string parameters and also send a JSON payload.

```
$ curl \
--cookie "iPlanetDirectoryPro=AQIC5w...NTcy*" \" \
--request POST \
--header "Content-Type: application/json" \
--header "Accept-API-Version: resource=2.0, protocol=1.0" \
--data '{
    "authId":"eyJ0eXAiOi...WLxJ-1d6ovYKHQ",
    "template":"",
    "stage": "AuthenticatorPush3",
    "header": "Authenticator Push",
    "callbacks":[
            "type": "PollingWaitCallback",
            "output":[
                 {
                     "name":"waitTime",
                     "value":"10000"
                 }
            1
        },
```

```
"type": "ConfirmationCallback",
             "output":[
                 {
                     "name":"prompt",
                     "value":""
                 },
                 {
                     "name": "messageType",
                     "value":0
                 },
                     "name":"options",
                     "value":[
                         "Use Emergency Code"
                     1
                 },
                     "name": "optionType",
                     "value":-1
                 },
                 {
                     "name": "defaultOption",
                     "value":0
                 }
            ],
            "input":[
                 {
                     "name":"IDToken2",
                     "value":100
                 }
            1
        }
    ]
}' \
"https://openam.example.com:8443/openam/json/realms/root/realm
s/alpha/authenticate\
?authIndexType=composite_advice\
&authIndexValue=%3CAdvices%3E%0A\
%3CAttributeValuePair%3E%0A%3CAttribute%20name%3D\
%22TransactionConditionAdvice%22%2F%3E%0A\
%3CValue%3E9dae2c80-fe7a-4a36-b57b-4fb1271b0687\
%3C%2FValue%3E%0A%3C%2FAttributeValuePair\
%3E%0A%3C%2FAdvices%3E"
{
    "tokenId": "AQIC5w...NTcy*",
```

```
"successUrl":"http://www.example.com:8000/index.html",
    "realm":"/alpha"
}
```

If the callbacks were correctly completed, and the push notification was responded to in the ForgeRock Authenticator app, AM returns the original tokenId value.

If the push notification has not yet been responded to in the ForgeRock Authenticator app, AM will return the required callbacks again, as in the previous step. Wait until the amount of time specified in the waitTime element has passed and retry the request until the tokenId returns.

5. Reevaluate the policy, including the transaction ID as the value of a TxId property in the environment element:

```
$ curl \
--cookie "iPlanetDirectoryPro=AQIC5wM2L...zEAAA.." \" \
--request POST \
--header "Content-Type: application/json" \
--header "Accept-API-Version: resource=1.0" \
--data '{
    "resources" : ["http://www.example.com:8000/index.html"],
    "subject" : {
        "ssoToken" : "AQIC5w...NTcy"
    },
    "environment": {
        "TxId": ["9dae2c80-fe7a-4a36-b57b-4fb1271b0687"]
    }
}' \
"https://openam.example.com:8443/openam/json/policies/?
_action=evaluate"
{
    "resource": "http://www.example.com:8000/index.html",
    "actions":{
        "POST": true,
        "GET":true
    },
    "attributes":{
    },
    "advices":{
    },
    "ttl":0
}
```

As the authentication required by the transaction was successful, the second policy evaluation returns the POST and GET actions as defined in the policy.

Notice that the time-to-live (ttl) value of the policy evaluation result is set to 0, meaning that the policy must not be cached. The policy only allows a single access to the resource, which must be managed by the policy enforcement point.

Performing the policy evaluation again with the same subject and resource at this point starts a new transactional authorization flow, requiring each of the steps above to be repeated in order to access the protected resource each time.

Dynamic OAuth 2.0 authorization

You can configure AM to grant scopes statically or dynamically:

- Statically (Default). You configure several OAuth 2.0 clients with different subsets of scopes and resource owners are redirected to a specific client depending on the scopes required. As long as the resource owner can authenticate and the client can deliver the same or a subset of the requested scopes, AM issues the token with the scopes requested. Therefore, two different users requesting scopes A and B to the same client will always receive scopes A and B.
- Dynamically. You configure an OAuth 2.0 client with a comprehensive list of scopes and resource owners authenticate against it. When AM receives a request for scopes, AM's Authorization Service grants or denies access scopes dynamically by evaluating authorization policies at runtime. Therefore, two different users requesting scopes A and B to the same client can receive different scopes based on policy conditions.

▼ Example use case

Consider the case of a company deployment that supports custom OAuth 2.0 clients and internal applications. The use of the internal application is bound by the terms and conditions specified by the company; therefore, the user does not need to consent to provide with their user profile information (for example, the profile scope).

To provide the internal application with the user profile automatically, the administrator creates a policy that grants the profile scope to all requests made by authenticated users using a particular OAuth 2.0 client.

▼ How does dynamic OAuth 2.0 authorization work?

OAuth 2.0 Client AM Realms Request **Policy Sets** --request POST --user "myClient:forgerock" \ --data "grant_type-password&username=demo& password=forgerock&sope-profile+email" \ https://openam.example.com:8443/openam/oauth2/access_token **Policies** Resource Types MvPolicy OAuth2 Scope Resources Patterns Actions Actions GRANT Subjects All Authenticated Users Response Conditions "access_token":"bBHPAs6ycNWCbspk-IW6Cnu8uk0" Between 09:00 and 17:00 "scope":"profile" "token_type":"Bearer", "expires_in":3599

Figure 11. Granting or Denying OAuth2 2.0 Scopes Dynamically

When issuing access tokens, AM deduces consent status based on a policy result: an allow policy result means consent is granted, while a deny result means it is denied.

If AM cannot deduce consent using a policy, for example, because none is defined, it is down to the resource owner to decide whether to grant consent. Note that this is only possible in flows where the resource owner interacts with the consent screen. If the resource owner cannot interact with the consent screen, for example, during the ROPC Grant flow, AM denies the scope.

Just like when granting scopes statically, AM only evaluates default scopes configured in the OAuth 2.0 client profile when no scope is requested. AM follows the same rules to deduce consent for both default and requested scopes.

When issuing refresh tokens, AM issues any scope that was previously consented to either by policy or by the resource owner on the consent screen, unless it is explicitly denied by a policy.

To understand which flows are interactive and which ones are not, see the examples in <u>OAuth 2.0 grant flows</u> and <u>OpenID Connect grant flows</u>.

OAuth 2.0 authorization is implemented by using the OAuth2 Scope resource type. Configure policies for your OAuth 2.0 service in a custom policy set with the OAuth2 Scope resource type, or use the default oauth2Scopes policy set.

Related information: Policies.

Writing policies for OAuth 2.0 may not be straightforward if your environment requires complex conditions. The easiest way to test if your OAuth 2.0 policies are granting or denying the scopes you expect before setting them in production is to configure AM as an OAuth 2.0 client and authorization provider and request some tokens.

Configure Access Management for the examples

1. Configure an instance of the OAuth 2.0 provider.

See <u>Authorization server configuration</u>.

Ensure that:

- Use Policy Engine for Scope Decisions is enabled.
- Response Type Plugins is configured for token and id_token.
- **Grant Types** is configured for Resource Owner Password Credentials and Implicit.
- 2. Go to **Realms** > **Realm Name** > **Applications** > **OAuth 2.0** and add a client.

Configure the client properties as follows:

- Client ID: myClient
- Client secret: forgerock
- Redirection URIs: https://www.example.com:443/callback♡
- Scope(s): profile email openid
- o Grant Types: Resource Owner Password Credentials
- 3. Go to Realms > Realm Name > Authorization > Policy Sets, and select Default OAuth2 Scopes Policy Set.
- 4. Create a new policy as follows:
 - Name: myOAuth2Policy
 - Resource Type: OAuth2 Scope
 - Resources: Select the * resource pattern and replace it with email.

Once the policy is created, you will see the **Summary** tab.

- 5. On the **Actions** tab, add the GRANT action, and set the default state to Allow . Save your changes.
- 6. On the **Subjects** tab, specify the subject condition All of... Authenticated Users . Save your changes.
- 7. If the demo user is not present in your environment, create it by performing the following step:
 - Go to Realms > Realm Name > Identities, and add a new user called demo with password Ch4ng31t.

Test OAuth 2.0 policies in a non-interactive flow

This procedure shows how to test OAuth 2.0 policies with the ROPC Grant flow. In this flow, the resource owner does not interact with the consent screen and cannot therefore grant scopes.

1. Request an access token from AM by specifying:

- The client name, myClient, and its password, forgerock.
- The resource owner password credentials grant type, password.
- The user and password to authenticate with, demo and Ch4ng31t.
- The requested scopes, email and profile.

```
$ curl \
--request POST \
--data "grant_type=password" \
--data "username=demo" \
--data "password=Ch4ng31t" \
--data "scope=profile email" \
--data "client_id=myClient" \
--data "client_secret=forgerock" \
"https://openam.example.com:8443/openam/oauth2/realms/root/rea
lms/alpha/access_token"
```

2. Review the JSON response.

It should be similar to the following:

```
{
  "access_token":"B78LPVHaycIr0bh12Qps0n9ynYM",
  "scope":"email",
  "token_type":"Bearer",
  "expires_in":3599
}
```

Note how the requested scopes were email and profile, but the scope granted was email, which matches the GRANT=Allow action defined in the policy.

Test OAuth 2.0 policies in an interactive OpenID Connect flow

This procedure shows how to test OAuth 2.0 policies with the OpenID Connect Implicit Grant flow. In this flow, the end user can interact with the consent screen, and can therefore grant scopes.

- 1. In a web browser, make a call to the oauth2/authorize endpoint with the following parameters:
 - o nonce=123
 - o state=456
 - scope=openid+email+profile
 - ∘ response_type=id_token

- client_id=myClient
- o redirect_uri=https://www.example.com:443/callback

For example: https://openam.example.com:8443/openam/oauth2/authorize? nonce=123&state=456&scope=openid+email+profile&response_type=id_toke n&client_id=myClient&redirect_uri=https://www.example.com:443/callba ck. You will be prompted to log in to AM.

2. Log in as the demo user.

You will be prompted to provide consent for the profile scope:

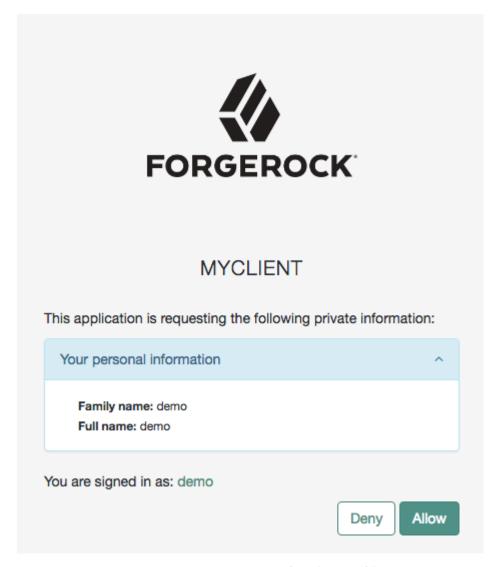


Figure 12. Requesting Consent for the Profile Scope

Notice that you are not prompted to provide consent for the email scope.

- 3. Allow the flow to continue.
- 4. Review the URL of the browser.

It should show something similar to:

Notice that the email scope has been granted automatically.

If the authorization policy had been configured as GRANT=Deny, you still would have not seen the email scope in the consent page, but the scope would not appear in the URL of the browser.

OAuth 2.0 scopes policy script API functionality

In addition to the functionality provided by <u>Accessing HTTP Services</u> and <u>Debug logging</u>, OAuth 2.0 policy condition scripts can access some environment properties relating to the authorization request.

This information can then be returned as needed in the response to an authorization request:

Authorization State Objects

Object	Туре	Description
authorized	Boolean	Return true if the authorization is currently successful, or false if authorization has failed. Server-side scripts must set a value for authorized before completing.
environment	Map <string, set<string="">></string,>	Describe the environment passed from the client making the authorization request. For example, the following shows an environment map with a single entry: "environment": { "clientId": ["MyOAuth2Client"] }

The following JavaScript writes the ID of the OAuth 2.0 client to the debug log, and then authorizes the request:

```
logger.message("Client ID: " + environment.get("clientId"));
authorized=true;
```

Customize policy evaluation with a plug-in

AM policies let you restrict access to resources based both on identity and group membership, and also on a range of conditions including session age, authentication chain or module used, authentication level, realm, session properties, IP address and DNS name, user profile content, resource environment, date, day, time of day, and time zone. Yet, some deployments require further distinctions for policy evaluation. This section explains how to customize policy evaluation for deployments with particular requirements not met by built-in AM functionality.

This page shows how to build and use a custom policy plugin that implements a custom subject condition, a custom environment condition, and a custom resource attribute.

Sample plugin

The AM policy framework lets you build plugins that extend subject conditions, environment conditions, and resource attributes.

For information on downloading and building AM sample source code, see <u>How do I</u> <u>access and build the sample code provided for PingAM?</u> in the *Knowledge Base*.

Get a local clone so that you can try the sample on your system. You will find the relevant files under the /path/to/openam-samples-external/policy-evaluation-plugin directory.

▼ Files in the sample

pom.xml

Apache Maven project file for the module

This file specifies how to build the sample policy evaluation plugin, and also specifies its dependencies on AM components.

src/main/java/org/forgerock/openam/examples/SampleAttributeType.ja va

Extends the com.sun.identity.entitlement.ResourceAttribute interface, and shows an implementation of a resource attribute provider to send an attribute with the response.

src/main/java/org/forgerock/openam/examples/SampleConditionType.ja

Extends the com.sun.identity.entitlement.EntitlementCondition interface, and shows an implementation of a condition that is the length of the user name.

A condition influences whether the policy applies for a given access request. If the condition is fulfilled, then AM includes the policy in the set of policies to evaluate in order to respond to a policy decision request.

src/main/java/org/forgerock/openam/examples/SampleSubjectType.java Extends the com.sun.identity.entitlement.EntitlementSubject interface, and shows an implementation that defines a user to whom the policy applies.

A subject, like a condition, influences whether the policy applies. If the subject matches in the context of a given access request, then the policy applies.

src/main/java/org/forgerock/openam/examples/SampleEntitlementModul e.java

These files serve to register the plugin with AM.

The Java class, SampleEntitlementModule, implements the org.forgerock.openam.entitlement.EntitlementModule interface. In the sample, this class registers SampleAttribute, SampleCondition, and SampleSubject.

The services file, org.forgerock.openam.entitlement.EntitlementModule , holds the fully qualified class name of the EntitlementModule that registers the custom implementations. In this case,

org.forgerock.openam.entitlement.EntitlementModule.

For an explanation of service loading, see the <u>ServiceLoader</u> \square API specification.

Build the sample plugin

1. If you haven't already done so, download and build the samples.

For information on downloading and building AM sample source code, see <u>How do I</u> access and build the sample code provided for PingAM? in the *Knowledge Base*.

2. When the build is complete, copy the policy-evaluation-plugin-7.2.2.jar file to the WEB-INF/lib directory where you deployed AM:

\$ cp target/*.jar /path/to/tomcat/webapps/openam/WEB-INF/lib/

3. Update the user UI to include the custom subject and environment conditions.

For details, refer to **UI customization**:

Locate the line that contains the following text:

```
"subjectTypes": {
```

• Insert the following text after the line you located in the previous step:

```
"SampleSubject": {
    "title": "Sample Subject",
    "props": {
        "name": "Name"
    }
},
```

• Locate the line that contains the following text:

```
"conditionTypes": {
```

• Insert the following text after the line you located in the previous step:

```
"SampleCondition": {
    "title": "Sample Condition",
    "props": {
        "nameLength": "Minimum username length"
    }
},
```

- 4. If your UI supports multiple locales, change the translation.json files for those locales, as needed.
- 5. Restart AM or the container in which it runs.

Add a custom policy to an existing policy set

To use your custom policy in an existing policy set, you must update the policy set.

NOTE -

You can't update a policy set that already has policies configured. If policies are configured for a policy set, you must first delete the policies, then update the policy set.

Update the iPlanetAMWebAgentService policy set in the top level realm of a fresh installation.

1. Authenticate to AM as the amAdmin user:

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "X-OpenAM-Username: amadmin" \
--header "X-OpenAM-Password: password" \
--header "Accept-API-Version: resource=2.0, protocol=1.0" \
'https://openam.example.com:8443/openam/json/realms/root/realm
s/alpha/authenticate'
{
    "tokenId":"AQIC5wM2...",
    "successUrl":"/openam/console",
    "realm":"/alpha"
}
```

2. Update the iPlanetAMWebAgentService policy set by adding the SampleSubject subject condition and the SampleCondition environment condition:

```
$ curl \
--request PUT \
--header "iPlanetDirectoryPro: AQIC5wM2..." \
--header "Content-Type: application/json" \
--header "Accept-API-Version: resource=1.0" \
--data '{
"name": "iPlanetAMWebAgentService",
"conditions": [
    "LEAuthLevel",
    "Script",
    "AuthenticateToService",
    "SimpleTime",
    "AMIdentityMembership",
    "OR",
    "IPv6",
    "IPv4",
    "SessionProperty",
    "AuthScheme",
    "AuthLevel",
    "NOT",
    "AuthenticateToRealm",
    "AND",
    "ResourceEnvIP",
    "LDAPFilter",
    "OAuth2Scope",
    "Session",
    "SampleCondition"
```

```
],
"subjects": [
    "NOT",
    "OR",
    "JwtClaim",
    "AuthenticatedUsers",
    "AND",
    "Identity",
    "NONE",
    "SampleSubject"
],
"applicationType": "iPlanetAMWebAgentService",
"entitlementCombiner": "DenyOverride"
}'
"https://openam.example.com:8443/openam/json/realms/root/realms/alpha/applications/iPlanetAMWebAgentService"
```

Try the sample subject and environment conditions

In the AM admin UI, add a policy to the iPlanetAMWebAgentService policy set in the top level realm that allows HTTP GET access for URLs based on the template http://www.example.com:80/*, and uses the custom subject and environment conditions.

1. Create the policy with the following properties:

Sample Policy Properties

Property	Value
rioperty	value
Name	Sample Policy
Resource Type	URL
Resources	Use the *://*:*/* resource template to specify the resource http://www.example.com:80/*.
Actions	Allow GET
Subject Conditions	Add a subject condition of type Sample Subject and a name of demo so that the demo user is the only user who can access the resource.

Property	Value
Environment Conditions	Add an environment condition of type Sample Condition and a minimum username length of 4 so that only users with a username length of 4 characters or greater can access the resource.

2. With the policy in place, authenticate both as a user who can request policy decisions and also as a user trying to access a resource.

Both of these calls return tokenId values for use in the policy decision request.

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "X-OpenAM-Username: amadmin" \
--header "X-OpenAM-Password: password" \
--header "Accept-API-Version: resource=2.0, protocol=1.0" \
'https://openam.example.com:8443/openam/json/realms/root/realm
s/alpha/authenticate'
    "tokenId":"AQIC5wM2...",
    "successUrl": "/openam/console",
    "realm":"/alpha"
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "X-OpenAM-Username: demo" \
--header "X-OpenAM-Password: Ch4ng31t" \
--header "Accept-API-Version: resource=2.0, protocol=1.0" \
'https://openam.example.com:8443/openam/json/realms/root/realm
s/alpha/authenticate'
{
    "tokenId": "AQIC5wM...TU30Q*",
    "successUrl": "/openam/console",
    "realm":"/alpha"
}
```

3. Use the administrator tokenId as the header of the policy decision request, and the user tokenId as the subject ssoToken value.

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "Accept-API-Version: resource=2.1" \
--header "iPlanetDirectoryPro: AQIC5wM2LY4Sfcw..." \
--data '{
    "subject":{
        "ssoToken": "AQIC5wM2LY4Sfcy..."
    },
    "resources":[
        "http://www.example.com:80/index.html"
    "application":"iPlanetAMWebAgentService"
}' \
"https://openam.example.com:8443/openam/json/realms/root/realm
s/alpha/policies?_action=evaluate"
{
   "resource": "http://www.example.com:80/index.html",
   "actions": {
       "GET": true
   "attributes": {},
   "advices": {}
}
```

Notice that the actions returned from the policy evaluation call are set in accordance with the policy.

Try the sample resource attributes

The sample custom policy plugin can have AM return an attribute with the policy decision. In order to make this work, list the resource type for the URL resource type to obtain its UUID, and then update your policy to return a test attribute:

When you now request the same policy decision as before, AM returns the test attribute that you configured in the policy.

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "Accept-API-Version: resource=2.1" \
--header "iPlanetDirectoryPro: AQIC5wM2LY4Sfcw..." \
--data '{
    "subject":{
        "ssoToken": "AQIC5wM2LY4Sfcy..."
    }.
    "resources":[
        "http://www.example.com:80/index.html"
    ],
    "application":"iPlanetAMWebAgentService"
}' \
"https://openam.example.com:8443/openam/json/realms/root/realms/al
pha/policies?_action=evaluate"
{
    "resource": "http://www.example.com/profile",
    "actions": {
        "GET": true
    },
    "attributes": {
    "test": [
        "sample"
     1
},
```

```
"advices": {}
}
```

Extend the ssoadm classpath

After customizing your AM deployment to use policy evaluation plugins, inform **ssoadm** users to add the jar file containing the plugins to the classpath before running policy management subcommands.

To add a jar file to the **ssoadm** classpath, set the CLASSPATH environment variable before running the **ssoadm** command:

```
$ export CLASSPATH=/path/to/jarfile:$CLASSPATH
$ ssoadm ...
```

Scripted policy conditions

You can use scripts to tailor the actions that AM takes as part of policy evaluation.

AM includes a sample policy condition script that demonstrates how to access a user's profile information, use that information in HTTP calls, and make a policy decision based on the outcome.

To examine the contents of the sample policy condition script in the AM admin UI, go to **Realms > Top Level Realm > Scripts**, and select **Scripted Policy Condition**.

Related information:

- Scripting
- Policy condition script API

Prepare AM to use scripted policy conditions

AM requires a small amount of configuration before you can test the default policy condition script. The default policy condition script requires that the subject of the policy has an address in their profile. The script compares this address to the country in the resource URL and to the country from which the request originated (determined by an external GeoIP web service). The demo user also needs access to evaluate policies.

Add an address for the demo user

Add an address value to the demo user's profile. The default policy condition script uses the address when performing policy evaluation.

- 1. Log in as an AM administrator, for example amAdmin.
- 2. Select Realms > Top Level Realm > Identities.
- 3. On the Identities tab, select the demo user.
- 4. In the **Home Address** field, enter a valid address.

For example:

201 Mission St, Suite 2900, San Francisco, CA 94105

5. Select Save Changes.

Let a user evaluate policies

In this procedure, add a user to a group and assign the privilege required to perform policy evaluation.

- 1. Log in as an AM administrator, for example amAdmin.
- 2. Select Realms > Top Level Realm > Identities.
- 3. Select **Add Identity**, enter an ID for the identity, such as restPolicyUser, complete the required fields, and click **Create**.
- 4. Return to **Realms > Top Level Realm > Identities**.

On the **Groups** tab, select **Add Group**. Enter an ID for the group, such as policyEval, and click **Create**.

- 5. Return to **Realms > Top Level Realm > Identities**.
 - Select the user you created, for example, restPolicyUser.
 - Select the **Groups** tab.
 - In the Name box, select the group created in step 4, for example policyEval.
 - Click **Save Changes**.
- 6. Select Realms > Top Level Realm > Identities > Groups.
- 7. Select the group created in step 4, for example policyEval.
- 8. On the **Privileges** tab, select Policy Admin.
- 9. Click **Save Changes**.

Create a policy that uses the default policy condition script

These steps create a policy that uses the default policy condition script. You can then perform policy evaluation to test the script.

1. Log in as an AM administrator, for example amAdmin.

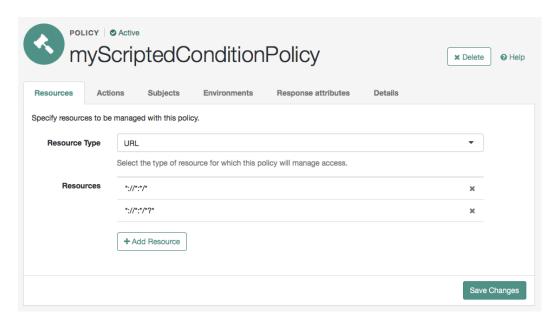
- 2. Select Realms > Top Level Realm > Authorization > Policy Sets.
- 3. On the Policy Sets page, select Default Policy Set.
- 4. On the **Default Policy Set** page, select **Add a Policy**.
- 5. Define the policy as follows:
 - Enter a name for the policy.
 - Define resources to which the policy applies:
 - Select URL from the **Resource Type** drop down list.
 - Select the resource pattern *://*:*/* from the Resources drop down list.
 - Click Add.

The *://*:*/* resource appears in the **Resources** field.

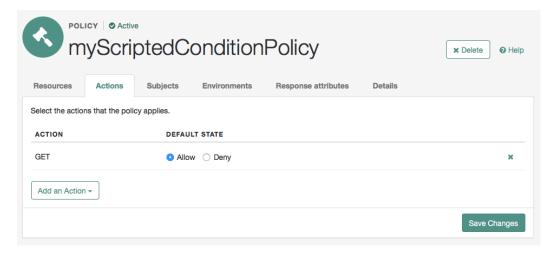
- Select Add Resource to add a second resource to the policy.
- Select the resource pattern *://*:*/*?* from the Resources drop down list.
- Click Add.

The *://*:*/* resource appears along with the *://*:*/* resource in the Resources field.

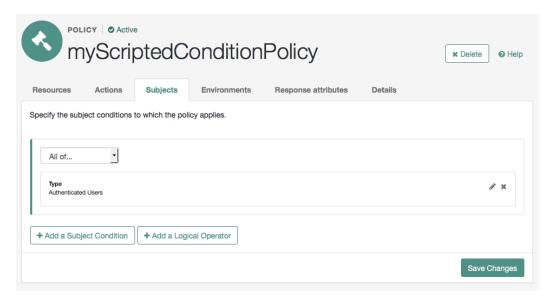
• Click **Create** to create the policy.



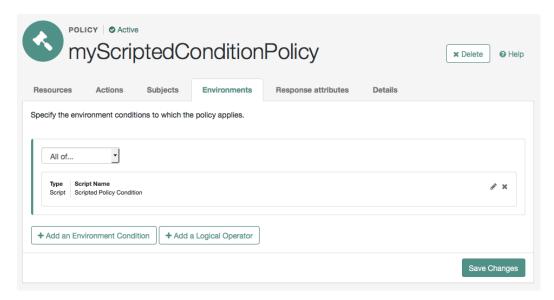
- Specify actions to which the policy applies:
 - Select the **Actions** tab.
 - Select GET from the Add an Action list.
 - The GET action appears in the list of actions. The default state for the GET action is Allow.



- Select Save Changes.
- Configure identities to which the policy applies:
 - Select the **Subjects** tab.
 - Select the edit icon (🎤).
 - Select Authenticated Users from the Type list.
 - Select the OK icon—the check mark.



- Click Save Changes.
- Configure environments in which the policy applies:
 - Select the Environments tab.
 - Select Add an Environment Condition.
 - Select **Script** from the **Type** list.
 - Select Scripted Policy Condition from the Script Name list.
 - Select the OK icon—the check mark.



- Click Save Changes.
- No additional configuration is required in the Response Attributes or Details tabs.

Test the default policy condition script

To evaluate against a policy, you must first obtain an SSO token for the subject performing the evaluation, in this case the demo user. You can then make a call to the policies?_action=evaluate endpoint, including some environment information, which the policy uses to make an authorization decision.

Evaluate a policy

1. Obtain an SSO token for the demo user:

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "X-OpenAM-Username: demo" \
--header "X-OpenAM-Password: Ch4ng31t" \
--header "Accept-API-Version: resource=2.0, protocol=1.0" \
'https://openam.example.com:8443/openam/json/realms/root/realm
s/alpha/authenticate'
{
    "tokenId":"AQIC5wM...TU30Q*",
    "successUrl":"/openam/console",
    "realm":"/alpha"
}
```

Obtain an SSO token for the user who has the privilege required to evaluate policies. For example, restPolicyUser.

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "X-OpenAM-Username: restPolicyUser" \
--header "X-OpenAM-Password: myStrongPassword" \
--header "Accept-API-Version: resource=2.0, protocol=1.0" \
'https://openam.example.com:8443/openam/json/realms/root/realm
s/alpha/authenticate'
{
    "tokenId":"AQIC8aF...TA10Q*",
    "successUrl":"/openam/console",
    "realm":"/alpha"
}
```

3. Send an evaluation request to the policies endpoint, providing the SSO token of the restPolicyUser user as the value of the iPlanetDirectoryPro header.

In the JSON data, set the subject object to the SSO token of the demo user. In the resources object, include a URL that resides on a server in the same country as the address set for the demo user. In the environment object, include an IP address that is also based in the same country as the user and the resource.

The example below uses the URL of a web site and an IP address located in the United States:

```
S curl \
--request POST \
--header "Content-Type: application/json" \
--header "iPlanetDirectoryPro: AQIC8aF...TA10Q*" \
--data '{
    "resources":[
        "https://www.us-site.com:8443/index.html"
    1.
    "application":"iPlanetAMWebAgentService",
    "subject":{
        "ssoToken": "AQIC5wM...TU30Q*"
    "environment":{
        "IP":[
            "38.99.39.210"
        1
}' \
```

```
"https://openam.example.com:8443/openam/json/realms/root/realm
s/alpha/policies?_action=evaluate"
{
    "advices":{},
    "ttl":9223372036854775807,
    "resource":"https://www.us-site.com:8443/index.html",
    "actions":{
        "POST": true,
        "GET":true
    },
    "attributes":{
        "countryOfOrigin":[
            "United States"
        ]
    }
}
```

If the country in the subject's profile matches the country determined from the source IP in the environment and the country determined from the resource URL, then AM returns a list of actions available. The script will also add an attribute to the response called countryOfOrigin with the country as the value.

If the countries do not match, no actions are returned. In the following example, the resource URL is based in France, while the IP and user's address in the profile are based in the United States:

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "iPlanetDirectoryPro: AQIC8aF...TA10Q*" \
--data '{
    "resources":[
        "https://www.france-site.com:8443/index.html"
    1.
    "application":"iPlanetAMWebAgentService",
    "subject":{
        "ssoToken": "AQIC5wM...TU30Q*"
    },
    "environment":{
        "IP":[
            "38.99.39.210"
        1
    }
"https://openam.example.com:8443/openam/json/realms/root/realm
```

```
s/alpha/policies?_action=evaluate"
{
    "advices": {},
    "ttl": 9223372036854775807,
    "resource": "https://www.france-site.com:8443/index.html",
    "actions": {},
    "attributes": {}
}
```

Enable debug logging for scripted policy conditions

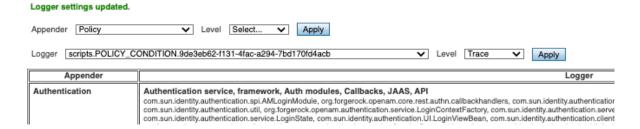
These steps show how to enable trace-level debug logging for scripted policy conditions, so that logger output from the default policy condition script is recorded.

IMPORTANT

The script containing the debug output that you want to capture must have executed at least once to create the logger.

The name of the scripted policy decision logger has the format: scripts.POLICY_CONDITION.script-UUID.

- 1. Log in as the AM administrator, amAdmin.
- 2. Go to the Logback.jsp page, for example: https://openam.example.com:8443/openam/Logback.jsp.
- 3. In the **Logger** list, scroll to select the scripted policy decision logger; for example scripts.POLICY_CONDITION.9de3eb62-f131-4fac-a294-7bd170fd4acb.
- 4. From the Level list, choose the debug level required. In this example, select Trace.
- 5. Click Apply.



Trace-level debug logging is now enabled for scripted policy conditions, with script output appearing in the /path/to/openam/var/debug/Policy debug log file.

Changes made in the Logback.jsp page are not persisted after rebooting AM or the container in which it runs.

For more information on configuring debug logging, see <u>Debug logging</u>.

Policy condition script API

In addition to the functionality provided by <u>Accessing HTTP Services</u> and <u>Debug logging</u>, scripted policy condition scripts can access the authorization state of a request, the information about a session, and the user profile's data.

This information can be returned, in the response to an authorization request.

IMPORTANT

If you use static methods within policy scripts, you must allowlist those scripts. Otherwise, policy evaluation will fail with an exception (logged in the Entitlement debug file) similar to the following:

java.lang.SecurityException: Access to Java class script-name is prohibited.

Access authorization state

Server-side scripts can access the current authorization state through the following objects:

Authorization State Objects

Object	Туре	Description
authorized	Boolean	Return true if the authorization is currently successful, or false if authorization has failed. Server-side scripts must set a value for authorized before completing.

Object	Туре	Description
environment	Map <string, set<string="">></string,>	Describe the environment passed from the client making the authorization request. For example, the following shows a simple environment map with a single entry:
		"environment": { "IP": [
resourceURI	String	Specify the URI of the resource to which authorization is being requested.
username	String	Specify the user ID of the subject that is requesting authorization.

Access profile data

Server-side authorization scripts can access the profile data of the subject of the authorization request, through the methods of the identity object.

NOTE -

To access a subject's profile data, they must be logged in and their SSO token must be available.

Authorization Script Profile Data Methods

Method Parameters Re	eturn Type	Description
----------------------	------------	-------------

Method	Parameters	Return Type	Description
identity.getAtt ribute	Attribute Name (type: String)	Set	Return the values of the named attribute for the subject of the authorization request.
identity.setAtt ribute	Attribute Name (type: String) Attribute Values (type: Array)	Void	Set the named attribute to the values specified by the attribute value for the subject of the authorization request.
identity.addAtt ribute	Attribute Name (type: String) Attribute Value (type: String)	Void	Add an attribute value to the list of attribute values associated with the attribute name for the subject of the authorization request.
identity.store	None	Void	changes to the identity repository. CAUTION You must call store() otherwise changes will be lost when the script completes.

Access session data

Server-side authorization scripts can access session data of the subject of the authorization request through the methods of the session object.

To access the session data of the subject, they must be logged in and their SSO token must be available.

Authorization Script Session Methods

Method	Parameters	Return Type	Description
session.getProp erty	Property Name (type: String)	String	Retrieve properties from the session associated with the subject of the authorization request. See the table below for example properties and their values.

The following table demonstrates some of the session properties available to the session.getProperty() method, and example values:

Get Session Data Example Keys and Values

Key	Sample value
AMCtxId	e370cca2-02d6-41f9-a244- 2b107206bd2a-122934
amlbcookie	01
authInstant	2018-04-04T09:19:05Z
AuthLevel	0
CharSet	UTF-8
clientType	genericHTML
FullLoginURL	/openam/XUI/?realm=alpha#login/
Host	198.51.100.1
HostName	openam.example.com
Locale	en_US
Organization	dc=openam,dc=forgerock,dc=org

Key	Sample value
Principal	uid=amAdmin,ou=People,dc=openam,d c=forgerock,dc=org
Principals	amAdmin
Service	ldapService
successURL	/openam/console
sun.am.UniversalIdentifier	uid=amAdmin,ou=People,dc=openam,d c=forgerock,dc=org
UserId	amAdmin
UserProfile	Required
UserToken	amAdmin
webhooks	myWebHook

Set authorization responses

Server-side authorization scripts can return information in the response to an authorization request.

Authorization Script Response Methods

Method	Parameters	Return Type	Description
responseAttribu tes.put	Attribute Name (type: String) Attribute Values (type: Array)	Void	Add an attribute to the response to the authorization request.
advice.put	Advice Key (type: String) Advice Values (type: Array)	Void	Add advice keyvalue pairs to the response to a failing authorization request.

Method	Parameters	Return Type	Description
ttl	TTL Value (type: Integer)	Void	Add a time-to-live value, which is a timestamp in milliseconds to the response to a successful authorization. After the time-to-live value the decision is no longer valid. If no value is set, TTL Value defaults to Long .MAX_VALUE (9223372036854775807), which means the decision has no timeout, and can live for as long as the calling client holds on to it. In the case of policy enforcement points, they hold onto the decision for their configured cache timeout.

Was this helpful? \circlearrowleft \circlearrowleft

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