

## **OpenID Connect 1.0 Guide**

/ ForgeRock Access Management 7.0.2

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### Abstract

Guide showing you how to use OpenID Connect 1.0 with ForgeRock® Access Management (AM). ForgeRock Access Management provides intelligent authentication, authorization, federation, and single sign-on functionality.



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## **Overview**

This guide covers concepts, configuration, and usage procedures for working with OpenID Connect 1.0 and ForgeRock Access Management.

### **Ouick Start**



## About ForgeRock Identity Platform™ Software

ForgeRock Identity Platform  $^{\text{\tiny M}}$  serves as the basis for our simple and comprehensive Identity and Access Management solution. We help our customers deepen their relationships with their customers, and improve the productivity and connectivity of their employees and partners. For more information about ForgeRock and about the platform, see <a href="https://www.forgerock.com">https://www.forgerock.com</a>.



### Chapter 1

## AM as the OpenID Provider

In its role as OpenID provider, AM returns ID tokens to relying parties. Since OpenID Connect builds on top of OAuth 2.0, when AM is configured as an OpenID provider it can also return access and refresh tokens to the relying parties, if needed.

### Tip

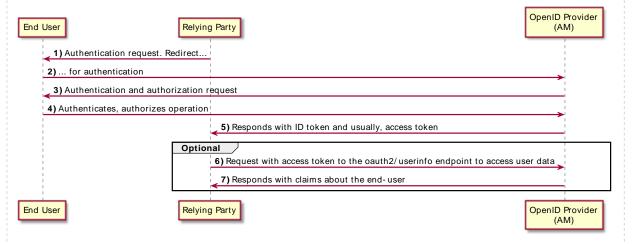
Before configuring OpenID Connect in your environment, ensure you are familiar with the OAuth 2.0 standards and AM's implementation of OAuth 2.0.

### + OpenID Connect Concepts

OpenID Connect 1.0 is an identity layer built on OAuth 2.0. It enables clients to verify the identity of users based on the authentication performed by OAuth 2.0 authorization servers, as well as to obtain profile information about the user using REST.

The following sequence diagram demonstrates the basic OpenID Connect flow:

### OpenID Connect 1.0 Protocol Flow



OpenID Connect clients can register to the provider and manage their client data dynamically.



To let clients discover the end user's OpenID Connect provider, its endpoints, and how to interact with it, AM supports OpenID Connect Discovery 1.0.

### + OAuth 2.0 or OpenID Connect?

Both standards were created under the premise of users having the need to interact with a third party service, but aim to solve different problems:

OAuth 2.0 and OpenID Connect Comparison

	OAuth 2.0	OpenID Connect
Purpose	To provide users with a mechanism to authorize a service to access and use a subset of their data on their behalf, in a secure way.  Users must agree to provide access under the service's term and conditions (for example, for how long the service has access to their data, and the purpose that data would be used for).	To provide users with a mechanism to authenticate to a service by providing it with a subset of their data in a secure way.  Since OpenID Connect builds on top of OAuth 2.0, users authorize a relying party to collect a subset of their data (usually information stored in the end user's profile) from a third party. The service then uses this data to authenticate the user and provide its services.  This way, the user can employ the relying party's services even if they have never created an account on it.
Use Cases	Use-cases are generic and can be tailored to many needs, but an example is a user allowing a photo print service access to a third-party server hosting their pictures, so the photo print service can print them.	The most common scenario is using social media credentials to log in to a third-party service provider.
Tokens	Access and refresh tokens	ID tokens
Regarding Scopes	Concept to limit the information to share with service or the actions the service can do with the data. For example, the print scope may allow a photo print service to access photos, but not to edit them.  Scopes are not data, nor are related to user data in any way.	Concept that can be mapped to specific user data. For example, AM maps the profile scope to a series of user profile attributes. Since different identity managers may present the information in different attributes, the profile attributes are mapped to OpenID Connect claims.  Claims are returned as part of the ID token. In some circumstances, additional claims can be requested in a call to the oauth2/userinfo endpoint.  For more information about how AM maps user profile attributes to claims, see "Claims".



Another difference between the standards is the name of the actors. The names of the actors in OpenID Connect 1.0 relate to those used in OAuth 2.0 as follows:

OAuth 2.0 and OpenID Connect Actors Comparison

OIDC Actor	OAuth 2.0 Actor	Description
End User	Resource Owner (RO)	The owner of the information the application needs to access.  The end user that wants to use an application through existing identity provider account without signing up to and creating credentials for yet another web service.
Relying Party (RP)	Client	The third-party that needs to know the identity of the end user to provide their services. For example, a delivery company or a shopping site.
OpenID Provider (OP)	Authorization Server (AS) Resource Server (RS)	A service that has the end user's consent to provide the RP with access to some of its user information. As OpenID Connect 1.0 defines unique identification for an account (subject identifier + issuer identifier), the RP can use this as a key to its own user profile.  In the case of an online mail application, this key could be used
		to access the mailboxes and related account information. In the case of an online shopping site, this key could be used to access the offerings, account, shopping cart and so forth. The key makes it possible to serve users as if they had local accounts.
		AM can act as the OpenID Connect provider to authenticate end users and provide RPs with information about the users in the form of an OpenID Connect ID token.

AM supports the following OpenID Connect grant types and standards:

### **Grant Types**

- Authorization Code
- Authorization Code with PKCE
- Back Channel Request
- Implicit
- Hybrid
- Hybrid with PKCE

For more information, see "OpenID Connect Grant Flows".



#### **Standards**

Session Management

OpenID Connect lets the relying party track whether the end user is logged in at the provider, and also initiate end user logout at the provider. The specification has the relying party monitor session state using an invisible iframe and communicate status using the HTML 5 postMessage API.

For more information, see "Managing OpenID Connect User Sessions".

• Discovery and Dynamic Client Registration

OpenID Connect defines how a relying party can discover the OpenID Provider and corresponding OpenID Connect configuration for an end user. The discovery mechanism relies on WebFinger to get the information based on the end user's identifier. The server returns the information in JSON Resource Descriptor (JRD) format.

For more information, see "OpenID Connect Discovery" and "Dynamic Client Registration".

Mobile Connect

Mobile Connect builds on top of OpenID Connect to facilitate the use of mobile phones as authentication devices, offering a way for mobile network operators to act as identity providers.

For more information, see "GSMA Mobile Connect".

Tip

See the complete list of supported OpenID Connect in the Reference and OAuth 2.0 in the Reference standards.

### **OpenID Connect Discovery**

In order to let relying parties (or clients) discover the OpenID Connect Provider for an end user, AM supports OpenID Connect Discovery 1.0. In addition to discovering the OpenID Provider for an end user, the relying party can also request the OpenID Provider configuration.

AM exposes the following REST endpoints for discovering the URL of the provider and its configuration:

- "/oauth2/.well-known/openid-configuration"
- "/.well-known/webfinger"

Discovery relies on WebFinger, a protocol to discover information about people and other entities using standard HTTP methods. WebFinger uses Well-Known URIs, which defines the path prefix /. well-known/ for the URLs defined by OpenID Connect Discovery.



Relying parties need to find the right host:port/deployment-uri combination to locate the well-known endpoints. You must manage the redirection to AM using your proxys, load balancers, and others, such that a request to http://www.example.com/.well-known/webfinger reaches, for example, https://openam.example.com:8443/openam/.well-known/webfinger.

Once the relying party has discovered the URL of the provider, it can register with it dynamically. For test purposes, or if it suits your environment better, you can also register them manually in the *OAuth* 2.0 Guide.

The /.well-known/webfinger endpoint is disabled by default. To enable it, perform the following steps:

- 1. Go to Realms > Realm Name > Services > OAuth2 Provider > OpenID Connect.
- 2. Enable OIDC Provider Discovery.
- 3. Save your changes.

The discovery endpoint only allows searches for users within the realm where it is enabled. Repeat this procedure in as many realms as necessary.

## **Security Considerations**

AM provides security mechanisms to ensure that OpenID Connect 1.0 ID tokens are properly protected against malicious attackers: TLS, digital signatures, and token encryption.

While designing a security mechanism, you can also take into account the points developed in the section on *Security Considerations* in the OpenID Connect Core 1.0 incorporating errata set 1 specification.

OpenID Connect 1.0 requires the protection of network messages with Transport Layer Security (TLS). For information about protecting traffic to and from the web container in which AM runs, see "Configuring Secrets, Certificates, and Keys" in the Security Guide.

For additional security considerations related to the use of OAuth 2.0, see "Security Considerations" in the *OAuth 2.0 Guide*.

### **About Token Storage Location**

AM OpenID Connect and OAuth 2.0-related services are stateless unless otherwise indicated; they do not hold any token information local to the AM instances.

Access and refresh tokens can be stored in the CTS token store or presented to the clients as JWTs. However, OpenID Connect tokens and session information are managed in the following way:

- ID tokens are always presented as IWTs.
- OpenID Connect sessions are always stored in the CTS token store.



For more information about how to configure access and refresh token storage, see "About Token Storage Location" in the *OAuth 2.0 Guide* in the *OAuth 2.0 Guide*.



## Chapter 2

## **OpenID Provider Configuration**

You can configure AM's OAuth 2.0 provider service to double as an OpenID provider service.

To configure the OAuth 2.0 provider, follow the steps in "To Configure the OAuth 2.0 Provider Service" in the *OAuth 2.0 Guide*. When you are finished, see "Additional Configuration" for OpenID Connect-specific configuration.

### Additional Configuration

The OpenID provider is highly configurable:

- To access the OAuth 2.0 provider configuration in the AM console, go to Realms > Realm Name > Services, and then select OAuth2 Provider.
- To adjust global defaults, in the AM console, go to Configure > Global Services, and then click OAuth2 Provider.

See the "OAuth2 Provider" in the *Reference* reference section for details on each of the fields in the provider.

### OpenID Connect Configuration Options

Task	Resources
Configure the public keys for the provider	"/oauth2/connect/jwk_uri"
OpenID providers sign ID tokens so that clients can ensure their authenticity. AM exposes the URI where clients can check the signing public keys to verify the ID token signatures.	
By default, AM exposes an internal endpoint with keys, but you can configure the URI of your secrets API instead.	
Enable the OpenID Connect Discovery Endpoint	"OpenID Connect Discovery"
The discovery endpoint is disabled by default when you configure the OAuth 2.0 provider. Enable the endpoint if your clients need to discover the URL of the provider for a given user.	
Configure pairwise subject types for dynamic registration	N/A
To provide different values to the sub claim in the ID token for different clients (see Subject Identifier Types), configure the Subject Types Supported map.	



Task	Resources
Also, ensure that the value of the Subject Identifier Hash Salt field is different from <a href="mailto:changeme">changeme</a> .	
Configure whether AM must return scope-derived claims in the ID token	"Claims"
Scope-derived claims, such as those returned when requesting the <pre>profile</pre> scope, are not returned in the ID token by default.	
Configure how AM maps scopes to claims and user profile attributes	"Claims"
AM lets you map different user profile attributes to claims and scopes by using the AM scripting engine.	
Configure the OpenID provider for discovery and dynamic client registration/management	"OpenID Connect Discovery"
AM supports several methods of dynamic registration that offer different security measures.	
You can also register the clients manually in the <i>OAuth 2.0 Guide</i> .	
Add authentication requirements to the ID Tokens  Require the end users to satisfy different authentication rules or conditions when authenticating to the OpenID provider, such as using a specific authentication tree.	"Adding Authentication Requirements to ID Tokens"
Configure AM as part of a GSMA Mobile Connect deployment Configure the OAuth 2.0 authorization server to double as a Mobile Connect provider.	"To Configure AM for Mobile Connect"
Configure the OpenID provider to encrypt ID tokens	"Encrypting OpenID Connect ID Tokens"
ID tokens are only signed by default. Consider encryption to protect them if they carry sensitive information about your end users.	
Configure the methods and algorithms available for signed or encrypted JWTs in the request parameter	Secret ID Mappings for Decrypting OpenID Connect Request Parameters in
If your clients send request parameters to the authorization endpoint as a JWT instead of as HTTP parameters, configure the Request parameter signing algorithm field in the OpenID provider.	the Security Guide
Note that the alias mapped to the encryption algorithms are defined in the secret stores.	



## **Encrypting OpenID Connect ID Tokens**

AM supports encrypting OpenID Connect ID tokens to protect them against tampering attacks, which is outlined in the JSON Web Encryption specification (RFC 7516).

### To Configure OpenID Connect ID Token Encryption

Perform the following steps to enable and configure ID token encryption:

- 1. Go to Realms > Realm Name > Applications > OAuth 2.0 > Client Name.
- 2. On the Signing and Encryption tab, select Enable ID Token Encryption.
- 3. In the Id Token Encryption Algorithm field, enter the algorithm AM will use to encrypt ID tokens.
  - + Supported Encryption Algorithms
    - A128KW AES Key Wrapping with 128-bit key derived from the client secret.
    - A192KW AES Key Wrapping with 192-bit key derived from the client secret.
    - A256KW AES Key Wrapping with 256-bit key derived from the client secret.
    - RSA-OAEP RSA with Optimal Asymmetric Encryption Padding (OAEP) with SHA-1 and MGF-1.
    - RSA-0AEP-256 RSA with OAEP with SHA-256 and MGF-1.
    - RSA1 5 RSA with PKCS#1 v1.5 padding (not recommended).
    - dir Direct encryption with AES using the hashed client secret.
    - ECDH-ES Elliptic Curve Diffie-Hellman
    - ECDH-ES+A128KW Elliptic Curve Diffie-Hellman + AES Key Wrapping with 128-bit key.
    - ECDH-ES+A192KW Elliptic Curve Diffie-Hellman + AES Key Wrapping with 192-bit key.
    - ECDH-ES+A256KW Elliptic Curve Diffie-Hellman + AES Key Wrapping with 256-bit key.
    - X25519 Elliptic Curve Diffie-Hellman with Curve 25519.
    - X448 Elliptic Curve Diffie-Hellman with Curve448.

Only the P-256, P-384, and P-521 curves are supported.

- 4. In the ID Token Encryption Method field, enter the method AM will use to encrypt ID tokens.
  - + Supported Encryption Methods



- A128CBC-HS256 AES 128-bit in CBC mode using HMAC-SHA-256-128 hash (HS256 truncated to 128 bits)
- A192CBC-HS384 AES 192-bit in CBC mode using HMAC-SHA-384-192 hash (HS384 truncated to 192 bits)
- A256CBC-HS512 AES 256-bit in CBC mode using HMAC-SHA-512-256 hash (HS512 truncated to 256 bits)
- A128GCM AES 128-bit in GCM mode
- A192GCM AES 192-bit in GCM mode
- A256GCM AES 256-bit in GCM mode
- 5. (Optional) If you selected an RSA encryption algorithm, perform one of the following actions:
  - Enter the public key in the Client ID Token Public Encryption Key field.
  - Enter a JWK set in the Json Web Key field.
  - Enter a URI containing the public key in the Json Web Key URI field.
- 6. (Optional) If you selected an ECDH-ES encryption algorithm, perform one of the following actions:
  - Enter a JWK set in the Json Web Key field.
  - Enter a URI containing the public key in the Json Web Key URI field.
- 7. (Optional) If you selected an algorithm different from RSA or ECDH-ES, go to the Core tab and store the private key/secret in the Client Secret field.

### Caution

Several features of OAuth 2.0 use the string stored in the Client Secret field to sign/encrypt tokens or parameters when you configure specific algorithms. For example, signing ID tokens with HMAC algorithms, encrypting ID tokens with AES or direct algorithms, or encrypting OpenID Connect parameters with AES or direct algorithms.

In this case, these features must share the key/secret stored in the Client Secret field, and you must ensure that they are configured with the same algorithm.



# Chapter 3 Claims

OpenID Connect relies on claims to provide information about the end user to the relying parties.

+ What Are Claims?

A claim is a piece of information about the end user that the relying party or client can use to provide them a service.

Consider a page that lets the end user register using their Google account information instead of providing the information themselves. The page requests Google a set of claims about the end user, and uses the information on the claims to set up the account without user interaction.

If the end user agrees to share access to their claims, OpenID providers can return them in two ways: either as key pairs in the ID token, or by making them available at the userinfo endpoint. Part of implementing OpenID Connect in your environment is deciding which claims are safe to travel in the ID token, and which ones require the client to access the endpoint.

ID tokens contain additional claims that are not related to user information directly, but that are relevant to the flow, the relying party, or the authorization server. These are similar to those contained in access tokens; for example, iss, aud, exp, and others.

### Read more:

- Section 2 of the OpenID Connect specification.
- Section 5 of the OpenID Connect specification

### **Note**

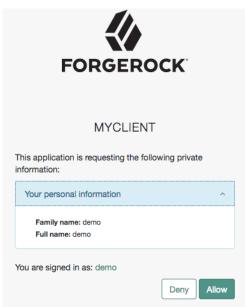
AM supports *Normal Claims*, as specified in section 5.6 of the specification. The optional *Aggregated Claims* and *Distributed Claims* representations are not supported by AM.

When AM is configured as an authorization server, a scope is a concept. For example, Facebook has an OAuth 2.0 scope named read\_stream. AM returns allowed scopes in the access token, but it does not associate any data with them.

When AM is configured as an OpenID provider, scopes can relate to data in a user profile by making use of one or more claims.



As each claim represents a piece of information from the user profile, AM displays the actual data the relying party will receive if the end user consents to sharing it:



### OpenID Connect Consent Page

AM maps scopes and profile data to claims using a script configured in the OAuth2 provider service. By default, the script maps several user profile attributes to the profile scope:

### OpenID Connect Scope Default Claim Mappings

Claim	User profile attribute
given_name	givenname
zoneinfo	preferredtimezone
family_name	sn
locale	preferredlocale
name	cn

### + Scripting OpenID Connect 1.0 Claims

The script is configured in the OAuth 2.0 provider. To configure a different script of the type OIDC Claims, go to Realms > Realm Name > Services > OAuth 2.0 Provider > OpenID Connect, and then select it in the OIDC Claims Script drop-down menu.



To examine the contents of the default OIDC claims script, go to Realms > Realm Name > Scripts, and then select the OIDC Claims Script. Use the following information to understand the example or write your own script:

+ OpenID Connect Request Objects

Server-side scripts can access the OpenID Connect request through the following objects:

Object	Туре	Description
scopes	Set <string></string>	Contains a set of the requested scopes. For example:
		[    "profile",    "openid" ]
identity	Class	Contains a representation of the identity of the resource owner.
		For more details, see the com.sun.identity. idm.AMIdentity class in the ForgeRock Access Management Javadoc.
session	Class	Contains a representation of the user's session object if the request contained a session cookie.
		For more details, see the com.iplanet.sso. SSOToken class in the ForgeRock Access Management Javadoc.
claims	Map <string, object=""></string,>	Contains a map of the claims the server provides by default. For example:
		{     "sub": "248289761001",     "updated_at": "1450368765" }
requestedClaims	<pre>Map<string, set<string="">&gt;</string,></pre>	Contains requested claims if the claims query parameter is used in the request, and <i>Enable</i> "claims_parameter_supported" is checked in the OAuth2 provider service configuration; otherwise is empty.
		For more information see "Requesting Claims using the "claims" Request Parameter" in the <i>OpenID Connect Core 1.0</i> specification.
		Example:



Object	Туре	Description
		<pre>{     "given_name": {         "essential": true,         "values": [             "Demo User",             "D User"         ]     },     "nickname": null,     "email": {         "essential": true     } }</pre>

For more information about scripting in AM, see Getting Started with Scripting.

After a successful flow, the OpenID provider returns an ID token with the relevant claims. However, for security reasons, AM does not return scope-derived claims in the ID token by default.

+ Requesting Claims in ID Tokens

Sometimes you may need the provider to return scope-derived claims in the ID token. For example, when claims are related to authentication conditions or rules the end user needs to satisfy before being redirected to particular resources.

You can configure AM to either return all scope-derived claims in the ID token, or just the ones specified in the request:

• To configure the provider to always return scope-derived claims in the ID token, enable Always Return Claims in ID Tokens (Realms > Realm Name > Services > OAuth2 Provider > Advanced OpenID Connect).

This option is disabled by default because of the security concerns of returning claims that may contain sensitive user information.

- To request that the provider only include certain scope-derived claims in the ID token, enable the property <a href="Enable" claims\_parameter\_supported"">Enable "claims\_parameter\_supported"</a> (Realms > Realm Name > Services > OAuth2 Provider > Advanced OpenID Connect) and request said claims in the <a href="Claims">Claims</a> parameter.
  - + Voluntary and Essential Claims in the claims Parameter

Claims specified using the claims parameter can be voluntary or essential:

• **Essential**. The relying party specifies a number of claims that are necessary to ensure a good experience to the end user.



For example, to provide personalized services, the relying party may require the end user's phone number to send them an SMS.

• **Voluntary**. The relying party specifies a number of claims that are useful but not required to provide services to the end user.

For an example on requesting voluntary and essential claims, see "Requesting acr Claims Example".

Clients can still retrieve additional claims from the /oauth2/userinfo endpoint.

### Tip

The OAuth 2.0 provider's Supported Claims field restricts the claims that can be granted in ID tokens, but not the claims a client can register with during dynamic client registration.

You can also use this field to configure how AM presents the claims in the AM consent screen. By default, scope-derived claims are not configured to display in the consent screen. You can either disable the consent pages in the *OAuth 2.0 Guide*, or manually configure the claims for display.

+ How to Configure Claims in the AM Consent Screen

Configure how claims appear in the consent screen by client or by realm (in the OAuth 2.0 provider service). For examples, see the Supported Claims field in the provider's "Advanced" in the *Reference* reference section or the Claim(s) field in Core Properties in the *OAuth 2.0 Guide*.

Claims may be entered as simple strings or pipe-separated strings representing the internal claim name, locale, and localized description. For example: name|en|Your full name.

If the description is omitted, the claim is not displayed in the consent page. This may be useful when the client requires claims that are not meaningful for the end user.

Client level configuration overrides that at provider level.



### Chapter 4

## **Dynamic Client Registration**

AM supports dynamic registration as defined by RFC 7591, the *OAuth 2.0 Dynamic Client Registration Protocol*, and as defined by the the *OpenID Connect Dynamic Client Registration 1.0* specification. The specifications describe how OAuth 2.0 and OpenID Connect clients can register:

- + Client Registration Methods
  - Without an access token, providing only their client metadata as a JSON resource.

AM generates client\_id and client\_secret values. AM ignores any values provided in the client
metadata for these properties.

- Providing either a self-signed or a CA-signed X.509 certificate as authentication (OAuth 2.0 Mutual TLS Client Authentication and Certificate Bound Access Tokens internet-draft) and its client metadata as JSON.
- By gaining authorization using an OAuth 2.0 access token, and with their client metadata.

The specification does not describe how the client obtains the access token. In AM, you can manually register an initial OAuth 2.0 client that obtains the access token on behalf of the client requesting registration.

You can use this method to provide a client with a specific client ID, and then allow the client to modify its metadata.

#### Note

The logo\_uri, client\_uri, and policy\_uri parameters are only accepted during dynamic client registration if the access token contains the dynamic\_client\_registration special scope.

• With client metadata that includes a *software statement*.

A software statement is a JWT that holds registration claims about the client, such as the issuer and the redirection URIs that it will register.

A software statement is issued by a *software publisher*. The software publisher encrypts and signs the claims in the software statement.

In AM, you store software publisher details as an agent profile. The software publisher profile identifies the issuer included in software statements, and holds information required to decrypt



software statement JWTs and to verify their signatures. When the client presents a software statement as part of the dynamic registration data, AM uses the software publisher profile to determine whether it can trust the software statement.

The protocol specification does not describe how the client obtains the software statement JWT. AM expects the software publisher to construct the JWT according to the settings in its agent profile. Note, however, that AM ignores keys specified in JWT headers, such as jku and jwe.

### To Configure AM for Dynamic Client Registration

Perform the following steps to configure AM for dynamic client registration:

- 1. Configure an authorization service.
  - For details, see "To Configure the OAuth 2.0 Provider Service" in the OAuth 2.0 Guide.
- 2. Navigate to Realms > Realm > Services > OAuth2 Provider.
- 3. On the Client Dynamic Registration tab, consider configuring the following settings:
  - To let clients register without an access token, enable Allow Open Dynamic Client Registration.
    - If you enable this option, consider some form of rate limiting. Also consider requiring a software statement.
  - To require that clients present a software statement upon registration, enable Require Software Statement for Dynamic Client Registration, and edit the Required Software Statement Attested Attributes list to include the claims that must be present in a valid software statement. In addition to the elements listed, the issuer (iss) must be specified in the software statement's claims, and the issuer value must match the Software publisher issuer value for a registered software publisher agent.

As indicated in the protocol specification, AM rejects registration with an invalid software statement.

If the issuer is compressing the JWT, note that by default, AM rejects JWTs that expand to a size larger than 32 KiB (32768 bytes). For more information, see "Controlling the Maximum Size of Compressed JWTs" in the *Security Guide*.

For additional details, see "Client Dynamic Registration" in the Reference.

- 4. (Optional) If the clients will authenticate using mTLS with CA-signed (PKI) certificates, configure AM to hold the certificates belonging to the certificate authorities you want the instance of AM to trust. For more information, see "Mutual TLS Using Public Key Infrastructure" in the *OAuth 2.0 Guide*.
- 5. (Optional) If you enabled Require Software Statement for Dynamic Client Registration, then you must register a software publisher:



- a. In the AM console under *Realm* > Applications > Agents > Software Publisher, add a new software publisher agent.
  - If the publisher uses HMAC (symmetric) encryption for the software statement JWT, then the software publisher's password is also the symmetric key. This is called the Software publisher secret in the profile.
- b. In the software publisher profile, configure the appropriate security settings.

### **Important**

- The Software publisher issuer value must match the **iss** value in claims of software statements issued by this publisher.
- If the publisher uses symmetric encryption, including HS256, HS384, and HS512, then the Software publisher secret must match the k value in the JWK.
- If you provide the JWK by URI rather than by value, AM must be able to access the JWK when processing registration requests.
- 6. (Optional) On the Advanced tab, in the Client Registration Scope Whitelist field, add the scopes clients can register with.
- 7. (Optional) Review the following dynamic client registration examples:
  - + Open Dynamic Client Registration

The following example shows dynamic registration with the Allow Open Dynamic Client Registration option enabled (Realms > Realm Name > Services > OAuth2 Provider > Client Dynamic Registration).

The client registers with its metadata as the JSON body of an HTTP POST to the registration endpoint. When specifying client metadata, be sure to include a client\_name property that holds the human-readable name presented to the resource owner during consent:

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--data '{
    "redirect_uris": ["https://client.example.com:8443/callback"],
    "client_name#en": "My Client",
    "client_name#ja-Jpan-JP": "\u304F\u30E9\u30A4\u30A2\u30F3\u30C8\u540D",
    "client_uri": "https://client.example.com/"
}' \
    "https://openam.example.com:8443/openam/oauth2/register"
{
    "request_object_encryption_alg": "",
    "default_max_age": 1,
    "application_type": "web",
```



```
"client_name#en": "My Client"
"registration_client_uri": "https://openam.example.com:8443/openam/oauth2/register?
client id=2aeff083-83d7-4ba1-ab16-444ced02b535",
"client_type": "Confidential"
"userinfo encrypted response alg": "",
"registration access token": "4637ee46-51df-4901-af39-fec5c3a1054c",
"client id": "2aeff083-83d7-4ba1-ab16-444ced02b535",
"token endpoint auth method": "client secret basic",
"userinfo signed response alg": "",
"public key selector": "x509"
"authorization code lifetime": 0,
"client secret": "6efb5636-6537-4573-b05c-6031cc54af27",
"user_info_response_format_selector": "JSON",
"id token signed_response_alg": "HS256",
"default_max_age_enabled": false,
"subject_type": "public",
"jwt token lifetime": 0,
"id_token_encryption_enabled": false,
"redirect uris": ["https://client.example.com:8443/callback"],
"client_name#ja-jpan-jp": "######",
"id_token_encrypted_response_alg": "RSA1_5",
"id token encrypted response enc": "A128CBC HS256",
"client secret expires at": 0,
"access token lifetime": 0,
"refresh_token_lifetime": 0,
"request_object_signing_alg": "",
"response_types": ["code"]
```

### Tip

OpenID Connect clients must ensure that the following information is present in the JSON:

- The openid scope. For example, "scopes": ["profile", "openid"].
- The id token response type. For example, "response types": ["code", "id token code"].

### + Dynamic Client Registration with Mutual TLS Authentication

The following example shows the different properties required for clients using mTLS for authentication depending on the type of certificate presented:

### Clients using CA-signed X.509 certificates (PKI)

To use CA-signed certificates (PKI), you must configure AM to hold the certificates belonging to the certificate authorities you want the instance of AM to trust. For more information, see "Mutual TLS Using Public Key Infrastructure" in the *OAuth 2.0 Guide*.

Include the following properties as part of the client metadata:

• token endpoint auth method, which must be set to tls client auth.



• tls\_client\_auth\_subject\_dn, which must be set to the distinguished name as it appears in the subject field of the certificate. For example, CN=myOauth2Client.

### Clients using self-signed X.509 certificates

Clients authenticating using self-signed certificates can provide their certificates for validation in one of the following ways:

• As a JWKS.

In this scenario, the client provides as part of its metadata the JWKS containing its certificate(s).

Include the following properties as part of the client metadata:

- token\_endpoint\_auth\_method, which must be set to self\_signed\_tls\_client\_auth.
- A JWKS, configured as per RFC 7517, which includes certificate information.
- As a JWKS URI, which AM will check periodically to retrieve the certificates from.

In this scenario, the client provides as part of its metadata the URI from where AM will retrieve the certificate(s) for validation.

Include the following properties as part of the client metadata:

- token\_endpoint\_auth\_method, which must be set to self\_signed\_tls\_client\_auth.
- **jwks\_uri**, which must be set to the URI from where AM will retrieve the certificates. For example, <a href="https://www.example.com/mysecureapps/certs">https://www.example.com/mysecureapps/certs</a>.
- As an X.509 certificate.

In this scenario, the client provides as part of its metadata a single X.509 certificate in PEM format.

Include the following properties as part of the client metadata:

- token endpoint auth method, which must be set to self signed tls client auth.
- tls\_client\_auth\_x509\_cert, which must be set to an X.509 certificate in PEM format. You can choose to include or exclude the -----BEGIN CERTIFICATE----- and -----END CERTIFICATE----- labels.

The following example shows dynamic registration of a client that will provide their self-signed ECDSA P-256 certificate in a IWKS:

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
```



```
--data '{
    "jwks": {
        "keys": [{
             "kty": "EC",
             "crv": "P-256",
             "x": "9BmRru-6AYQ8U_9tUFhMGVG-BvC4vRthzLJTntfSdBA",
             "y": "MqPzVSeVNzzgcR-zZeLGog3GJ4d-doRE9eiGkCKrB48",
             "kid": "a4:68:90:1c:f6:c1:43:c0",
             "x5c": [
                 "MIIBZTCCAQugAwIB.....xgASSpAQC83FVBawjmbv6k4CN95G8zHsA=="
        }]
    "client_type": "Confidential",
"grant_types": ["authorization_code", "client_credentials"],
    "response_types": ["code", "token"],
    "redirect_uris": ["https://client.example.com:8443/callback"],
    "token_endpoint_auth_method": "self_signed_tls_client_auth", "tls_client_auth_subject_dn": "CN=myOauth2Client",
    "tls_client_certificate_bound_access_tokens": true
}' \
 "https://openam.example.com:8443/openam/oauth2/register"
  "request_object_encryption_alg": "",
  "default_max_age": 1,
  "jwks": {
    "keys": [
      {
         "kty": "EC",
         "crv": "P-256",
         "x": "9BmRru-6AYQ8U_9tUFhMGVG-BvC4vRthzLJTntfSdBA",
         "y": "MqPzVSeVNzzgcR-zZeLGog3GJ4d-doRE9eiGkCKrB48",
         "kid": "a4:68:90:1c:f6:c1:43:c0",
         "x5c": [
           "MIIBZTCCAQugAwIB.....xgASSpAQC83FVBawjmbv6k4CN95G8zHsA=="
      }
    1
  "application type": "web",
  "tls client auth subject dn": "CN=myOauth2Client",
  "reqistration client uri": "https://openam.example.com:8443/openam/oauth2/reqister?
client id=83635999-2794-4fcd-b6b3-67e2d86c1952",
  "client type": "Confidential",
  "userinfo encrypted response alg": ""
  "registration access_token": "tu4KR0j03iGn0ub00Y0YCSfyPmk",
  "client id": "83635999-2794-4fcd-b6b3-67e2d86c1952",
  "token endpoint auth method": "self signed tls client auth",
  "userinfo signed response alg": "",
  "public key selector": "jwks",
}
```

Note that the example sets tls\_client\_certificate\_bound\_access\_tokens to true to let the client obtain certificate-bound access tokens. For more information, see "Certificate-Bound Proof-of-Possession" in the *OAuth 2.0 Guide*.



### Tip

OpenID Connect clients must ensure that the following information is present in the JSON:

- The openid scope. For example, "scopes": ["profile", "openid"].
- The id\_token response type. For example, "response\_types": ["code", "id\_token code"].

### + Dynamic Client Registration With Access Token

The following example shows dynamic registration with default OAuth 2.0 provider service settings, providing an access token issued to a statically registered client.

In this example the statically registered client has the following profile settings:

### Client ID

masterClient

#### Client secret

password

### Scope(s)

```
dynamic client registration
```

Prior to registration, obtain an access token:

```
$ curl \
--request POST \
--user "masterClient:password" \
--data "grant_type=password&username=amadmin&password=password&scope=dynamic_client_registration" \
https://openam.example.com:8443/openam/oauth2/realms/root/access_token
{
    "access_token":"5e7d1019-b752-43f1-af97-0d6fe2753105",
    "scope":"dynamic_client_registration",
    "token_type":"Bearer",
    "expires_in":3599
}
```

The client registers with its metadata, providing the access token. When specifying client metadata, be sure to include a client\_name property that holds the human-readable name presented to the resource owner during consent:

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
```



```
--header "Authorization: Bearer 5e7d1019-b752-43f1-af97-0d6fe2753105" \
--data '{
    "redirect_uris": ["https://client.example.com/callback"],
    "client_name#en": "My Client",
    "client_name#ja-Jpan-JP": "\u30AF\u30E9\u30A4\u30A2\u30F3\u30C8\u540D",
    "client_uri": "https://client.example.com/"
"https://openam.example.com:8443/openam/oauth2/register"
{
     "request object encryption alg": "",
     "default max age": 1,
     "application_type": "web"
     "client_name#en": "My Client",
     "registration_client_uri": "https://openam.example.com:8443/openam/oauth2/register?
client id=d58ba00b-da55-4fa3-9d2a-afe197207be5",
     "client_type": "Confidential"
     "userinfo_encrypted_response_alg": ""
     "registration_access_token": "5e7d1019-b752-43f1-af97-0d6fe2753105",
     "client id": "d58ba00b-da55-4fa3-9d2a-afe197207be5",
     "token endpoint auth method": "client secret basic",
     "userinfo_signed_response_alg": "",
     "public key selector": "x509"
     "authorization_code_lifetime": 0,
     "client secret": "4da529de-3a18-4fb7-a0a9-07e05a394aa4",
     "user info response_format_selector": "JSON",
     "id_token_signed_response_alg": "HS256",
     "default_max_age_enabled": false,
     "subject type": "public",
     "jwt_token_lifetime": 0,
     "id_token_encryption_enabled": false,
     "redirect_uris": ["https://client.example.com:8443/callback"],
     "client_name#ja-jpan-jp": "######",
"id_token_encrypted_response_alg": "RSA1_5",
     "id token encrypted response enc": "A128CBC HS256",
     "client_secret_expires_at": 0,
     "access token lifetime": 0,
     "refresh_token_lifetime": 0,
     "request_object_signing_alg": "",
     "response types": ["code"]
}
```

#### Tip

OpenID Connect clients must ensure that the following information is present in the JSON:

- The openid scope. For example, "scopes": ["profile", "openid"].
- The id\_token response type. For example, "response\_types": ["code", "id\_token code"].

+ Dynamic Client Registration With Software Statement



The following example extends Dynamic Client Registration With Access Token to demonstrate dynamic registration with a software statement.

In this example, the software publisher has the following profile settings:

### Name

My Software Publisher

### Software publisher secret

secret

### Software publisher issuer

https://client.example.com

### **Software statement signing Algorithm**

HS256

### **Public key selector**

**JWKs** 

### Json Web Key

```
{"keys": [{"kty": "oct", "k": "secret", "alg": "HS256"}]}
```

Notice that the value is a key set rather than a single key.

In this example, the software statement JWT is as shown in the following listing, with lines folded for legibility:

```
eyJ0eXAi0iJKV1QiLCJhbGci0iJIUzI1NiJ9.
eyJpc3Mi0iJodHRwczovL2NsaWVudC5leGFtcGxlLmNvbSIsImlhdCI6MTUwNjY3MTg1MSwiZX
hwIjoxNTM4MjA30DUxLCJhdWQi0iJvcGVuYW0uZXhhbXBsZ55jb20iLCJzdWIi0iI0TlJCMS0w
WFpBQlpJ0UU2LTVTTTNSIiwicmVkaXJlY3RfdXJpcyI6WyJodHRwczovL2NsaWVudC5leGFtcG
xlLmNvbS9jYWxsYmFjayJdfQ.
I0xZaWT0zSPkEkrXC9nj8RDrpulzzMuZ-4R7 0l jhw
```

This corresponds to the HS256 encrypted and signed JWT with the following claims payload.:



```
{
    "iss": "https://client.example.com",
    "iat": 1506671851,
    "exp": 1538207851,
    "aud": "openam.example.com",
    "sub": "4NRB1-0XZABZI9E6-5SM3R",
    "redirect_uris": [
        "https://client.example.com/callback"
]
}
```

Prior to registration, obtain an access token:

```
$ curl --request POST \
--data "grant_type=password" \
--data "username=demo" \
--data "password=Ch4ng31t" \
--data "scope=dynamic_client_registration" \
--data "client_id=masterClient" \
--data "client_secret=password" \
"https://openam.example.com:8443/openam/oauth2/realms/root/access_token"
{
    "access_token":"06bfc193-1f7b-49a1-9926-ffe19e2f5f70",
    "scope":"dynamic_client_registration",
    "token_type":"Bearer",
    "expires_in":3599
}
```

The client registers with its metadata that includes the software statement, providing the access token. When specifying client metadata, be sure to include a client\_name property that holds the human-readable name presented to the resource owner during consent:

```
$ curl \
 --request POST \
 --header "Content-Type: application/json" \
 --header "Authorization: Bearer 06bfc193-1f7b-49a1-9926-ffe19e2f5f70" \
 --data '{
 "redirect uris": ["https://client.example.com:8443/callback"],
 "client_name#en": "My Client",
 "client name#ja-Jpan-JP": "\u30AF\u30E9\u30A4\u30A2\u30F3\u30C8\u540D",
 "client uri": "https://client.example.com/",
 "software statement": "eyJ0eXAiOiJKV1QiLCJ6W....9nj8RDrpulzzMuZ-4R7 Ol jhw"
 }' \
 "https://openam.example.com:8443/openam/oauth2/register"
 "request object encryption alg": "",
 "default_max_age": 1,
 "application_type": "web",
 "client name#en": "My Client",
 "registration_client_uri": "https://openam.example.com:8443/openam/oauth2/register?
client_id=086658c1-0517-4667-bc2d-6786224eb126",
 "client type": "Confidential",
 "userinfo encrypted response alg": "",
 "registration access token": "06bfc193-1f7b-49a1-9926-ffe19e2f5f70",
 "client id": "086658c1-0517-4667-bc2d-6786224eb126",
 "token_endpoint_auth_method": "client_secret_basic",
 "userinfo signed response alg": "",
```



```
"software statement": "eyJ0eXAi0iJKV1QiLCJ6W....9nj8RDrpulzzMuZ-4R7 0l jhw",
"public_key_selector": "x509"
"authorization_code_lifetime": 0,
"client_secret": "272e26a4-b4ea-4033-bfd3-8b1be2c9aa22",
"user info response format selector": "JSON",
"id token signed response alg": "HS256",
"default_max_age_enabled": false,
"subject type": "public",
"jwt_token_lifetime": 0,
"id token encryption_enabled": false,
"redirect uris": ["https://client.example.com:8443/callback"],
"client_name#ja-jpan-jp": "######",
"id_token_encrypted_response_alg": "RSA1_5",
"id token encrypted response enc": "A128CBC HS256",
"client_secret_expires_at": 0,
"access token lifetime": 0,
"refresh_token_lifetime": 0,
"request object signing alg": "",
"response types": ["code"]
```

### Tip

OpenID Connect clients must ensure that the following information is present in the JSON:

- The openid scope. For example, "scopes": ["profile", "openid"].
- The id\_token response type. For example, "response\_types": ["code", "id\_token code"].

#### Note

AM returns an error when a client tries to register with an unsupported signing or encryption algorithm as part of its configuration.

For example, it will return an error if there is a typo in an algorithm, or if a public client tries to send a symmetric signing or encryption algorithm as part of its configuration: these algorithms are derived from the client's secret, which public clients do not have.

## Dynamic Client Registration Management

AM lets clients manage their information dynamically, as per RFC 7592 *OAuth 2.0 Dynamic Client Registration Management Protocol* and OpenID Connect Dynamic Client Registration 1.0 incorporating errata set 1. This RFC is an extension of the Dynamic Client Registration Protocol (RFC 7591).

Note that, during dynamic client registration, AM supplies clients with the following information:



- registration\_client\_uri. The FQDN of the client configuration endpoint the client can use to update their data. This endpoint always contains the client ID as a query parameter.
- registration\_access\_token. The token clients must use to authenticate to the client configuration endpoint.

Clients must store this information, since it is mandatory to read, update, and modify their profile information.

### To Configure Client Dynamic Registration Management

1. Configure AM for dynamic client registration.

For more information, see "To Configure AM for Dynamic Client Registration".

- 2. Log in to the AM console as an administrative user, such as amAdmin.
- 3. Go to Realms > Realm Name > Services > OAuth2 Provider > Client Dynamic Registration, and ensure that Generate Registration Access Tokens is enabled.
- 4. Save your changes, if required.
- 5. (Optional) Review the following examples:
  - + Client Read Request

Clients can use the read request to retrieve their current configuration from AM.

In this example, a client dynamically registered using the following command:

```
$ curl \
    --request POST \
    --header "Content-Type: application/json" \
    --data '{
    "redirect_uris": ["https://client.example.com:8443/callback"],
    "client_name#en": "My Client",
    "client_name#ja-Jpan-JP": "\u30AF\u30E9\u30A4\u30A2\u30F3\u30C8\u540D",
    "client_uri": "https://client.example.com/"
}' \
    "https://openam.example.com:8443/openam/oauth2/register"
```

Among the returned information, the OAuth 2.0/OpenID Connect provider supplied the client with the following data:

```
...
"registration_client_uri":"https://openam.example.com:8443/openam/oauth2/register?
client_id=77f296b3-5293-4219-981d-128322cle173",
"registration_access_token":"o5IlBX0xC7RGPTIe8is_zzz6Yqg",
...
```



To request the information stored in its client profile, clients perform an HTTP GET request to the client registration endpoint. Use the registration token to authenticate to the endpoint by sending the token as an authorization bearer header. For example:

```
$ curl \
--request GET \
--header "Authorization: Bearer o5IlBX0xC7RGPTIe8is zzz6Ygg" \
"https://openam.example.com:8443/openam/oauth2/register?
client_id=77f296b3-5293-4219-981d-128322c1e173"
   "request object encryption alg":"",
   "default max age":1.
   "application_type":"web",
   "client name#en":"Mv Client".
   "userinfo_encrypted_response_enc": "A128CBC_HS256",
   "client type":"Confidential",
   "userinfo_encrypted_response_alg":"",
   "token endpoint auth method": "client secret basic",
   "userinfo signed response alg":""
   "client id": 77f296b3-5293-4219-981d-128322c1e173",
   "public_key_selector":"x509",
   "client secret": "vXxY3HJ7 ...84qfRQHYW3QbZfDSXieAgIVa2tg",
}
```

If the OAuth 2.0/OpenID Connect provider is configured for a subrealm rather than the Top Level Realm, you must specify it in the endpoint. For example, if the provider is configured for the /customers realm, then use /oauth2/realms/root/realms/customers/register.

The server does not return the registration\_client\_uri nor the registration\_access\_token attributes.

### + Client Update Request

Clients can use the update request to modify their client profile while retaining their client ID.

In this example, a client dynamically registered using the following command:

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--data '{
    "redirect_uris": ["https://client.example.com:8443/callback"],
    "client_name#en": "My Client",
    "client_name#ja-Jpan-JP": "\u30AF\u30E9\u30A4\u30A2\u30F3\u30C8\u540D",
    "client_uri": "https://client.example.com/"
} ' \
    "https://openam.example.com:8443/openam/oauth2/register"
```

Among the returned information, the OAuth 2.0/OpenID Connect provider supplied the client with the following data:



```
"registration_client_uri": "https://openam.example.com:8443/openam/oauth2/register?
client_id=77f296b3-5293-4219-981d-128322c1e173",
"registration_access_token": "o5IlBX0xC7RGPTIe8is_zzz6Yqg",
"grant_types": ["authorization_code"],
...
```

The client performs a read request to the OAuth 2.0/OpenID Connect provider to retrieve its current configuration.

When updating the client's metadata, AM:

- Resets to the default value any client setting not sent with the update request.
- Returns a new registration access token to the client.
- Rejects requests where the client secret does not match with the one already registered.
- Rejects requests containing the following metadata (as per RFC 7592):
  - registration access token
  - registration client uri
  - client\_secret\_expires\_at
  - client id issued at

To update the metadata, clients make an HTTP PUT request to the registration endpoint. The request contains all the metadata returned from the read request minus the information that, as specified by the spec, should not be sent. Use the registration token to authenticate to the endpoint by sending the token as an authorization bearer header.

In the following example, the OAuth 2.0 client sends the required metadata to AM, updating its grant types to "authorization code", "implicit":

```
$ curl \
--request PUT \
--header "Authorization: Bearer o5IlBX0xC7RGPTIe8is zzz6Yqg" \
    "request_object_encryption_alg":"",
    "default max age":1,
    "application_type": "web",
    "client_name#en":"My Client",
    "userinfo_encrypted_response_enc":"",
    "client_type":"Confidential",
    "userinfo_encrypted_response_alg":"",
    "token endpoint auth method": "client secret basic",
    "userinfo_signed_response_alg":"",
    "client id": "77f296b3-5293-4219-981d-128322c1e173".
    "public_key_selector":"x509",
    "scope":"write",
    "authorization_code_lifetime":0,
```



```
"client_secret":"vXxY3HJ7_...84qfRQHYW3QbZfDSXieAgIVa2tg",
    "user_info_response_format_selector":"JSON",
    "tls_client_certificate_bound_access_tokens":false,
    "id_token_signed_response_alg": "RS256",
    "default_max_age_enabled":false,
    "subject_type":"public",
"grant_types":["authorization_code","implicit"],
    "jwt_token_lifetime":0,
    "id_token_encryption_enabled":false,
    "redirect_uris":["https://client.example.com:8443/callback"],
    "client_name#ja-jpan-jp":"######",
    "id token encrypted_response_alg":"RSA-OAEP-256"
    "id_token_encrypted_response_enc": "A128CBC-HS256",
    "access_token_lifetime":0,
    "refresh token lifetime":0,
    "scopes":["write"],
    "request_object_signing_alg":"",
    "response_types":["code"]
"https://openam.example.com:8443/openam/realms/root/oauth2/register?
client id=77f296b3-5293-4219-981d-128322c1e173"
    "request object encryption alg":"",
    "default_max_age":1,
    "application_type": "web",
    "client name#en": "My Client",
    "userinfo_encrypted_response_enc":"",
    "client_type":"Confidential",
    "userinfo_encrypted_response_alg":"",
    "registration_access_token":"NrvX2bqydMgr...EGI32YuvyrkxDpD_xJVHtHo6fXQ",
    "client id": "77f296b3-5293-4219-981d-128322cle173",
}
```

If the OAuth 2.0/OpenID Connect provider is configured for a subrealm rather than the Top Level Realm, you must specify it in the endpoint. For example, if the provider is configured for the /customers realm, then use /oauth2/realms/root/realms/customers/register.

When successful, AM returns an HTTP 200 message and the profile data with the changes. Note that the registration access token has changed; the client must store the new token securely.

### + Client Deletion Request

Clients can use the delete request to deprovision themselves from AM.

In this example, a client dynamically registered using the following command:



```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--data '{
  "redirect_uris": ["https://client.example.com:8443/callback"],
  "client_name#en": "My Client",
  "client_name#ja-Jpan-JP": "\u30AF\u30E9\u30A4\u30A2\u30F3\u30C8\u540D",
  "client_uri": "https://client.example.com/"
}' \
  "https://openam.example.com:8443/openam/oauth2/register"
```

Among the returned information, the OAuth 2.0/OpenID Connect provider supplied the client with the following data:

```
...
"registration_client_uri":"https://openam.example.com:8443/openam/oauth2/register?
client_id=77f296b3-5293-4219-981d-128322c1e173",
"registration_access_token":"o5IlBX0xC7RGPTIe8is_zzz6Yqg",
...
```

To deprovision themselves, clients send an HTTP DELETE request to the client registration endpoint. Use the registration token to authenticate to the endpoint by sending the token as an authorization bearer header. For example:

```
$ curl \
--request DELETE \
--header "Authorization: Bearer o5IlBX0xC7RGPTIe8is_zzz6Yqg" \
"https://openam.example.com:8443/openam/oauth2/register?
client_id=77f296b3-5293-4219-981d-128322cle173"
```

If the OAuth 2.0/OpenID Connect provider is configured for a subrealm rather than the Top Level Realm, you must specify it in the endpoint. For example, if the provider is configured for the /customers realm, then use /oauth2/realms/root/realms/customers/register.

If the deprovision is successful, AM returns an HTTP 204 No Content message. AM does not invalidate the authorization grants or active tokens associated with the client, which will expire in time. However, new requests to OAuth 2.0 endpoints will fail since the client no longer exists.



### Chapter 5

## OpenID Connect Client Authentication

OAuth 2.0 and OpenID Connect clients can use the same authentication methods described in "OAuth 2.0 Client Authentication" in the OAuth 2.0 Guide.

However, when using OpenID Connect, you must specify in the client profile the type of authentication the client is using. To configure the authentication method, go to Realms > Realm Name > Applications > OAuth 2.0 > Advanced, and select one of the following options in the Token Endpoint Authentication Method drop down:

- client secret post, if the client sends its credentials as form parameters.
- client secret basic, if the client sends its credentials in a basic authorization header.
- private key jwt, if the client sends its credentials as a JWT.
- tls client auth, if the client uses a CA-signed certificate for mutual TLS authentication.
- self\_signed\_tls\_client\_auth, if the client uses a self-signed certificate for mutual TLS authentication.
- **none**, if the client is public.

AM will not require a public client to authenticate even if the authentication method is set to a value different from none.



# Chapter 6 OpenID Connect Grant Flows

This chapter describes the OpenID Connect flows that AM supports as per OpenID Connect Core 1.0 incorporating errata set 1, and also provides the information required to implement them. All the examples assume the realm is configured for CTS-based tokens, but the examples also apply to client-based tokens.

You should decide which flow is best for your environment based on the application that would be the relying party. The following table provides an overview of the flows AM supports when they should be used:

### Deciding Which Flow to Use Depending on the Relying Party

Relying Party	Which Grant to use?	Description
The relying party is a web application running on a server. For example, a .war application.	Authorization Code	The OpenID Connect provider uses the user-agent, for example, the end user's browser, to transport a code that is later exchanged for an ID token (and/or an access token).  For security purposes, you should use the Authorization Code grant with PKCE when possible.
The relying party is a native application or a single-page application (SPA). For example, a desktop, a mobile application, or a JavaScript application.	Authorization Code with PKCE	Since the relying party does not communicate securely with the OpenID Connect provider, the code may be intercepted by malicious users. The implementation of the Proof Key for Code Exchange (PKCE) standard mitigates against those attacks.
The relying party knows the user's identifier, and wishes to gain consent for an operation from the user by means of a separate authentication device.	Backchannel Request Grant	The relying party does not require that the user interacts directly with it; instead it can initiate a backchannel request to the user's authentication device, such as a mobile phone with an authenticator app installed, to authenticate the user and consent to the operation.
		For example, a smart speaker wants to authenticate and gain consent from its registered user after receiving a voice request to transfer money to a third-party.
The relying party is an SPA. For example, a JavaScript application.	Implicit	The OpenID Connect provider uses the user-agent, for example, the end user's browser, to transport an ID token (and maybe an access token) to the relying party.



<b>Relying Party</b>	Which Grant to use?	Description
		Therefore, the tokens might be exposed to the end user and other applications.  For security purposes, you should use the Authorization Code grant with PKCE when possible.
The relying party is an application that can use the ID token immediately, and then request an access token and/or a refresh token.	Hybrid	AM uses the user-agent, for example, the end user's browser, to transport any combination of ID token, access token, and authorization code to the relying party.  The relying party uses the ID token immediately. Later on, it can use either the access token to request a refresh token, or the authorization code to request an access token.  For security purposes, you should implement the PKCE specification when using the Hybrid flow when possible.

### **Authorization Code Grant**

### **Endpoints**

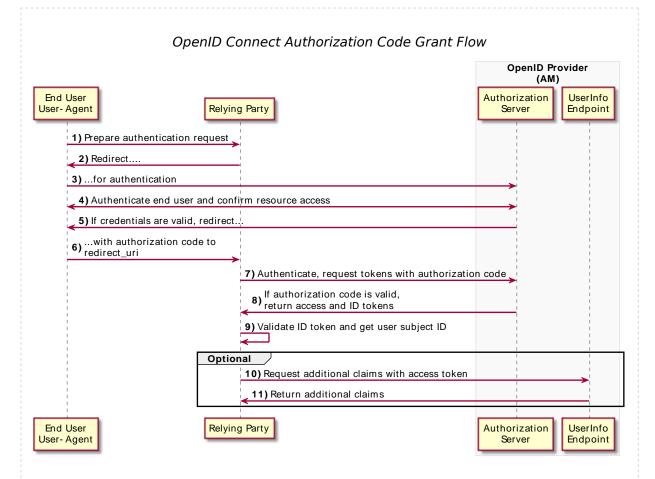
- /oauth2/authorize in the OAuth 2.0 Guide
- /oauth2/access token in the OAuth 2.0 Guide
- "/oauth2/userinfo"

The Authorization Code grant is a two-step interactive process used when the client, for example, a Java application running on a server, requires access to protected resources.

The Authorization Code grant is the most secure of all the OAuth 2.0/OpenID Connect grants for the following reasons:

- It is a two-step process. The user must authenticate and authorize the client to see the resources and the OpenID provider must validate the code again before issuing the access/ID tokens.
- The OpenID provider delivers the tokens directly to the client, usually over HTTPS. The client secret is never exposed publicly, which protects confidential clients.
- + Authorization Code Grant Flow





The steps in the diagram are described below:

- 1. The end user wants to use the services provided by the relying party. The relying party, usually a web-based service, requires an account to provide those services.
  - The end user issues a request to the relying party to access their information, which is stored in an OpenID provider.
- 2. To access the end user's information in the provider, the relying party requires authorization from the end user. Therefore, the relying party redirects the end user's user-agent...
- 3. ... to the OpenID provider.
- 4. The OpenID provider authenticates the end user, confirms resource access, and gathers consent if not previously saved.



- 5. The OpenID provider redirects the end user's user agent to the relying party.
- 6. During the redirection process, the OpenID provider appends an authorization code.
- 7. The relying party receives the authorization code and authenticates to the OpenID provider to exchange the code for an access token and an ID token (and a refresh token, if applicable).
  - Note that this example assumes a confidential client. Public clients are not required to authenticate.
- 8. If the authorization code is valid, the OpenID provider returns an access token and an ID token (and a refresh token, if applicable) to the relying party.
- 9. The relying party validates the ID token and its claims.
  - Now, the relying party can use the ID token subject ID claim as the end user's identity.
- 10. The relying party may require more claims than those included in the ID token. In this case, it makes a request to the OpenID provider's <code>oauth2/userinfo</code> endpoint with the access token.
- 11. If the access token is valid, the oauth2/userinfo endpoint returns additional claims, if any.

Now, the relying party can use the subject ID and the additional retrieved claims as the end user's identity.

Perform the steps in the following procedures to obtain an authorization code and exchange it for an ID token and an access token:

- "To Obtain an Authorization Code Using a Browser in the Authorization Code Grant Flow"
- "To Obtain an Authorization Code Without Using a Browser in the Authorization Code Grant Flow"
- "To Exchange an Authorization Code for an ID/Access Token in the Authorization Code Grant Flow"

### To Obtain an Authorization Code Using a Browser in the Authorization Code Grant Flow

This example shows how to obtain an ID token and an access token. It adds notes on how to obtain an ID token only, as well.

This procedure assumes the following configuration:

- AM is configured as an OAuth 2.0/OpenID provider. Ensure that:
  - The code plugin is configured in the Response Type Plugins field.
  - The Authorization Code grant type is configured in the Grant Types field.
- A confidential client called myClient is registered in AM with the following configuration:



Client secret: forgerock

• Scopes: openid profile

Response Types: code

• Grant Types: Authorization Code

Token Endpoint Authentication Method: client\_secret\_post

Confidential OpenID Connect clients can use several methods to authenticate. For more information, see "OpenID Connect Client Authentication".

For more information, see "Dynamic Client Registration".

Perform the steps in this procedure to obtain an authorization code using a browser:

- 1. The client redirects the end user's user-agent to the authorization server's authorization endpoint specifying, at least, the following form parameters:
  - client id=your client id
  - response type=code
  - redirect\_uri=your\_redirect\_uri
  - scope=openid profile

For information about the parameters supported by the /oauth2/authorize endpoint, see "/oauth2/authorize" in the *OAuth 2.0 Guide*.

If the OAuth 2.0/OpenID provider is configured for a subrealm rather than the Top Level Realm, you must specify it in the endpoint. For example, if the OAuth 2.0/OpenID provider is configured for the /customers realm, then use /oauth2/realms/root/realms/customers/authorize.

### For example:

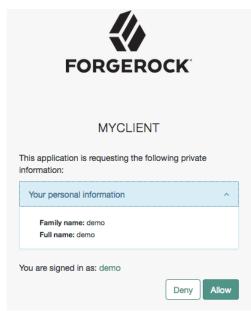
```
https://openam.example.com:8443/openam/oauth2/realms/root/authorize \
?client_id=myClient \
&response_type=code \
&scope=openid%20profile \
&state=abc123 \
&nonce=123abc \
&redirect_uri=https://www.example.com:443/callback
```

Note that the URL is split and spaces have been added for readability purposes. The state and nonce parameters have been included to protect against CSRF and replay attacks.

2. The end user authenticates to AM, for example, using the credentials of the demo user. In this case, they log in using the default chain or tree configured for the realm.



After logging in, AM presents its consent screen:



### OpenID Connect Consent Screen

Note that requesting the profile scope translates into requesting access to several claims. For more information about the special profile scope, see "Claims".

3. The end user selects the Allow button to grant consent for the profile scope.

AM redirects the end user to the URL specified in the redirect uri parameter.

4. Inspect the URL in the browser. It contains a **code** parameter with the authorization code AM has issued. For example:

```
https://www.example.com:443/callback?code=g5B3qZ8rWzKIU2xodV_kkSIk0F4&iss=https://openam.example.com:8443/openam/oauth2&state=abc123&client_id=myClient
```

5. The client performs the steps in "To Exchange an Authorization Code for an ID/Access Token in the Authorization Code Grant Flow" to exchange the authorization code for an access token and an ID token.



To Obtain an Authorization Code Without Using a Browser in the Authorization Code Grant Flow

This example shows how to obtain an ID token and an access token. It adds notes on how to obtain an ID token only, as well.

This procedure assumes the following configuration:

- AM is configured as an OAuth 2.0/OpenID provider. Ensure that:
  - The code plugin is configured in the Response Type Plugins field.
  - The Authorization Code grant type is configured in the Grant Types field.
- A confidential client called myClient is registered in AM with the following configuration:
  - Client secret: forgerock
  - Scopes: openid profile
  - Response Types: code
  - Grant Types: Authorization Code
  - Token Endpoint Authentication Method: <a href="mailto:client\_secret\_post">client\_secret\_post</a>

Confidential OpenID Connect clients can use several methods to authenticate. For more information, see "OpenID Connect Client Authentication".

For more information, see "Dynamic Client Registration".

Perform the steps in this procedure to obtain an authorization code without using a browser:

1. The end user logs in to AM, for example, using the credentials of the demo user. For example:

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "X-OpenAM-Username: demo" \
--header "X-OpenAM-Password: Ch4ng3lt" \
--header "Accept-API-Version: resource=2.0, protocol=1.0" \
'https://openam.example.com:8443/openam/json/realms/root/authenticate'
{
    "tokenId":"AQIC5wM...TU30Q*",
        "successUrl":"/openam/console",
        "realm":"/"
}
```

- 2. The client makes a POST call to AM's authorization endpoint, specifying the SSO token of the demo in a cookie and, at least, the following parameters:
  - client id=your client id



- response type=code
- redirect\_uri=your redirect uri
- scope=openid profile

You can configure the openid scope as a default scope in the client profile or the OAuth 2.0/ OpenID provider to avoid including the scope parameter in your calls, if required.

However, since the openid scope is required in OpenID Connect flows, the example specifies it.

- decision=allow
- csrf=demo user SSO token

For information about the parameters supported by the <a href="/oauth2/authorize">/oauth2/authorize</a> endpoint, see "/oauth2/authorize" in the OAuth 2.0 Guide.

If the OAuth 2.0/OpenID provider is configured for a subrealm rather than the Top Level Realm, you must specify it in the endpoint. For example, if the OAuth 2.0/OpenID provider is configured for the /customers realm, then use /oauth2/realms/root/realms/customers/authorize.

### For example:

```
$ curl --dump-header - \
--request POST \
--Cookie "iPlanetDirectoryPro=AQIC5wM...TU30Q*" \
--data "scope=openid profile" \
--data "response_type=code" \
--data "client_id=myClient" \
--data "csrf=AQIC5wM...TU30Q*" \
--data "redirect_uri=https://www.example.com:443/callback" \
--data "state=abc123" \
--data "nonce=123abc" \
--data "decision=allow" \
"https://openam.example.com:8443/openam/oauth2/realms/root/authorize"
```

Note that the state and nonce parameters have been included to protect against CSRF and replay attacks.

If AM is able to authenticate the user and the client, it returns an HTTP 302 response with the authorization code appended to the redirection URL:



HTTP/1.1 302 Found Server: Apache-Coyote/1.1 X-Frame-Options: SAMEORIGIN

Pragma: no-cache

Cache-Control: no-store
Date: Mon. 30 Jul 2018 11:42:37 GMT

Accept-Ranges: bytes

Location: https://www.example.com:443/callback?code=g5B3qZ8rWzKIU2xodV kkSIk0F4&iss=https%3A%2F

%2Fopenam.example.com%3A8443%2Fopenam%2Foauth2&state=abc123&client id=myClient

Vary: Accept-Charset, Accept-Encoding, Accept-Language, Accept

Content-Length: 0

3. Perform the steps in "To Exchange an Authorization Code for an ID/Access Token in the Authorization Code Grant Flow" to exchange the authorization code for an ID/access token.

# To Exchange an Authorization Code for an ID/Access Token in the Authorization Code Grant Flow

Perform the steps in the following procedure to exchange an authorization code for an ID/access token:

- 1. Ensure the relying party has obtained an authorization code by performing the steps in either "To Obtain an Authorization Code Using a Browser in the Authorization Code Grant Flow" or "To Obtain an Authorization Code Without Using a Browser in the Authorization Code Grant Flow".
- 2. The relying party makes an HTTP POST request to the token endpoint in the OpenID provider specifying, at least, the following parameters:
  - grant type=authorization code
  - code=your authorization code
  - redirect uri=your redirect uri

For information about the parameters supported by the <code>/oauth2/access\_token</code> endpoint, see "/oauth2/access\_token" in the <code>OAuth 2.0 Guide</code>.

Confidential clients can authenticate to the OAuth 2.0 endpoints in several ways. This example uses the following form parameters:

- client\_id=your\_client\_id
- client secret=your client secret

For more information, see "OAuth 2.0 Client Authentication" in the OAuth 2.0 Guide.

If the OAuth 2.0/OpenID provider is configured for a subrealm rather than the Top Level Realm, you must specify it in the endpoint. For example, if the OAuth 2.0/OpenID provider is configured for the /customers realm, then use /oauth2/realms/root/realms/customers/access\_token.

For example:



```
$ curl --request POST \
--data "grant_type=authorization_code" \
--data "code=g5B3qZ8rWzKIU2xodV_kkSIk0F4" \
--data "client_id=myClient" \
--data "client_secret=forgerock" \
--data "redirect_uri=https://www.example.com:443/callback" \
"https://openam.example.com:8443/openam/oauth2/realms/root/access_token"
```

The client\_id and the redirection\_uri parameters specified in this call must match those used as part of the authorization code request, or AM will not validate the code.

AM returns an ID and an access token. For example:

```
{
   "access_token":"cnM3nSpF5ckCFZOaDem2vANUdqQ",
   "scope":"openid profile",
   "id_token":"eyJ0eXAiOiJKV1QiLCJra...7r8soMCk8A7QdQpg",
   "token_type":"Bearer",
   "expires_in":3599
}
```

If the client does not require the access token, revoke it in the OAuth 2.0 Guide.

### Tip

AM can also issue refresh tokens at the same time the access tokens are issued. For more information, see " $Refresh\ Tokens$ " in the  $OAuth\ 2.0\ Guide$ .

3. (Optional) The relying party can request additional claims about the end user from AM.

For more information, see "/oauth2/userinfo".

### Tip

For access to a sample JavaScript-based relying party to test the Authorization Code grant flow, see How do I access and build the sample code provided for AM (All versions)? in the ForgeRock Knowledge Base.

Clone the example project to deploy it in the same web container as AM. Edit the configuration at the outset of the .js files in the project, register a corresponding profile for the example relying party as described in "Dynamic Client Registration", and browse the deployment URL to see the initial page.

The example relying party uses an authorization code to request an access token and an ID token. It shows the response to that request. It also validates the ID token signature using the default (HS256) algorithm,



and decodes the ID token to validate its content and show it in the output. Finally, it uses the access token to request information about the end user who authenticated, and displays the result.

### Authorization Code Grant with PKCE

### **Endpoints**

- /oauth2/authorize in the OAuth 2.0 Guide
- /oauth2/access token in the OAuth 2.0 Guide
- "/oauth2/userinfo"

The Authorization Code grant, when combined with the PKCE standard (*RFC 7636*), is used when the client, usually a mobile or a JavaScript application, requires access to protected resources.

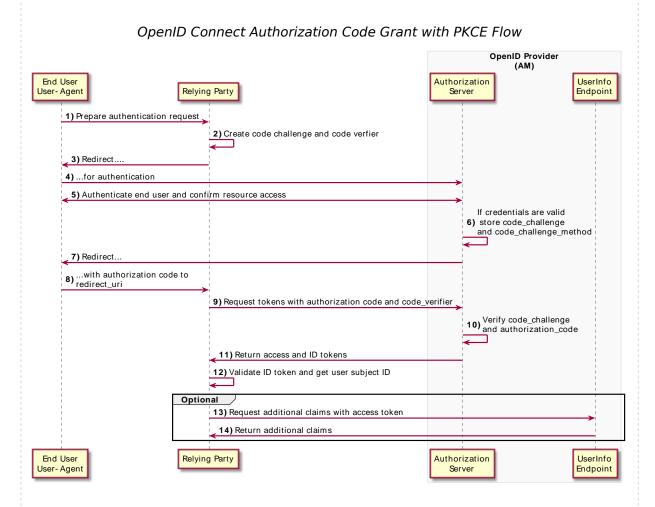
The flow is similar to the regular Authorization Code grant type, but the client must generate a code that will be part of the communication between the client and the OpenID provider. This code mitigates against interception attacks performed by malicious users.

Since communication between the client and the OpenID provider is not secure, clients are usually *public* so their secrets do not get compromised. Also, browser-based clients making OAuth 2.0 requests to different domains must implement Cross-Origin Resource Sharing (CORS) calls to access OAuth 2.0 resources in different domains.

The PKCE flow adds three parameters on top of those used for the Authorization code grant:

- **code\_verifier** (form parameter). Contains a random string that correlates the authorization request to the token request.
- **code\_challenge** (query parameter). Contains a string derived from the code verifier that is sent in the authorization request and that needs to be verified later with the code verifier.
- code\_challenge\_method (query parameter). Contains the method used to derive the code challenge.
- + Authorization Code Grant with PKCE Flow





The steps in the diagram are described below:

- 1. The end user wants to use the services provided by the relying party. The relying party, usually a web-based service, requires an account to provide those services.
  - The end user issues a request to the relying party to access their information which is stored in an OpenID provider.
- 2. To access the end user's information in the provider, the relying party requires authorization from the end user. When using the PKCE standard, the relying party must generate a unique code and a way to verify it, and append the code to the request for the authorization code.



- The relying party redirects the end user's user-agent with code\_challenge and code\_challenge\_method...
- 4. ... to the OpenID provider.
- 5. The OpenID provider authenticates the end user, confirms resource access, and gathers consent if not previously saved.
- 6. If the end user's credentials are valid and they consent to provide their data to the relying party, the OpenID provider stores the code challenge and its method.
- 7. The OpenID provider redirects the end user's user agent to the redirection URI (usually the relying party).
- 8. During the redirection process, the OpenID provider appends an authorization code.
- 9. The relying party receives the authorization code and authenticates to the OpenID provider to exchange the code for an access token and an ID token (and a refresh token, if applicable), appending the verification code to the request.
- 10. The OpenID provider verifies the code challenge stored in memory using the validation code. It also verifies the authorization code.
- 11. If both codes are valid, the OpenID provider returns an access and an ID token (and a refresh token, if applicable) to the relying party.
- 12. The relying party validates the ID token and its claims.
  - Now, the relying party can use the ID token subject ID claim as the end user's identity.
- 13. The relying party may require more claims than those included in the ID token. In this case, it makes a request to AM's <code>oauth2/userinfo</code> endpoint with the access token.
- 14. If the access token is valid, the pauth2/userinfo endpoint returns additional claims, if any,

Now, the relying party can use the subject ID and the additional retrieved claims as the end user's identity.

Perform the steps in the following procedures to obtain an authorization code and exchange it for an access token:

- "To Generate a Code Verifier and a Code Challenge"
- "To Obtain an Authorization Code Using a Browser in the Authorization Code Grant with PKCE Flow"
- "To Obtain an Authorization Code Without Using a Browser in the Authorization Code Grant with PKCE Flow"
- "To Exchange an Authorization Code for an ID/Access Token in the Authorization Code Grant with PKCE Flow"



### To Generate a Code Verifier and a Code Challenge

The relying party (the client) must be able to generate a code verifier and a code challenge. For details, see the PKCE standard (*RFC 7636*). The information contained in this procedure is for example purposes only:

1. The client generates the code challenge and the code verifier. Creating the challenge using a SHA-256 algorithm is mandatory if the client supports it, as per the RFC 7636 standard.

The following is an example of a code verifier and code challenge written in JavaScript:

```
function base64URLEncode(words) {
    return CryptoJS.enc.Base64.stringify(words)
    .replace(/\+/g, '-')
    .replace(/\//g, '_')
    .replace(/=/g, '');
}
var verifier = base64URLEncode(CryptoJS.lib.WordArray.random(50));
var challenge = base64URLEncode(CryptoJS.SHA256(verifier));
```

This example generates values such as <code>ZpJiIM\_GOSE9WlxzS69Cq0mQh8uyFaeEbILlW8tHs62SmEE6n7Nke0XJGx\_F40duTI4</code> for the code verifier and <code>j3wKnK2Fa\_mc2tgdqa6GtUfCYjdWSA5S23JKTTtPF8Y</code> for the code challenge. These values will be used in subsequent procedures.

The relying party is now ready to request an authorization code.

- 2. The relying party performs the steps in one of the following procedures to request an authorization code:
  - "To Obtain an Authorization Code Using a Browser in the Authorization Code Grant with PKCE Flow"
  - "To Obtain an Authorization Code Without Using a Browser in the Authorization Code Grant with PKCF. Flow"

To Obtain an Authorization Code Using a Browser in the Authorization Code Grant with PKCE Flow

This procedure assumes the following configuration:

- AM is configured as an OAuth 2.0/OpenID provider. Ensure that:
  - The code plugin is configured in the Response Type Plugins field.
  - The Authorization Code grant type is configured in the Grant Types field.

The Code Verifier Parameter Required drop-down menu (Realms > Realm Name > Services > OAuth2 Provider > Advanced) specifies whether AM requires clients to include a code verifier in their calls.



However, if a client makes a call to AM with the code\_challenge parameter, AM will honor the code
exchange regardless of the configuration of the Code Verifier Parameter Required drop-down
menu.

• A public client called myClient is registered in AM with the following configuration:

• Scopes: openid profile

Response Types: code

• Grant Types: Authorization Code

• Token Endpoint Authentication Method: none

If you were using a confidential OpenID Connect client, you must specify a method to authenticate. For more information, see "OpenID Connect Client Authentication".

For more information, see "Dynamic Client Registration".

Perform the steps in this procedure to obtain an authorization code using a browser:

- 1. The relying party redirects the end user's user-agent to the AM's authorization endpoint specifying, at least, the following query parameters:
  - client\_id=your\_client\_id
  - response\_type=code
  - redirect uri=your redirect uri
  - code challenge=your code challenge
  - code challenge method=S256
  - scope=openid profile

For information about the parameters supported by the <code>/oauth2/authorize</code> endpoint, see "/oauth2/authorize" in the <code>OAuth 2.0 Guide</code>.

If the OAuth 2.0/OpenID provider is configured for a subrealm rather than the Top Level Realm, you must specify it in the endpoint. For example, if the OAuth 2.0/OpenID provider is configured for the /customers realm, then use /oauth2/realms/root/realms/customers/authorize.

For example:

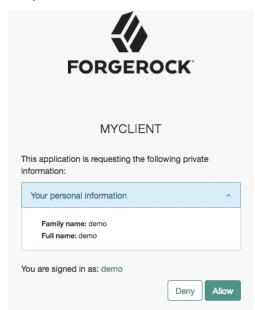


```
https://openam.example.com:8443/openam/oauth2/realms/root/authorize \
?client_id=myClient \
&response_type=code \
&scope=openid%20profile \
&redirect_uri=https://www.example.com:443/callback \
&code_challenge=j3wKnK2Fa_mc2tgdqa6GtUfCYjdWSA5S23JKTTtPF8Y \
&code_challenge_method=S256 \
&nonce=123abc \
&state=abc123
```

Note that the URL is split and spaces have been added for readability purposes. The state and nonce parameters have been included to protect against CSRF and replay attacks.

2. The end user authenticates to AM, for example, using the credentials of the demo user. In this case, they log in using the default chain or tree configured for the realm.

After logging in, AM presents its consent screen:



OpenID Connect Consent Screen

Note that requesting the profile scope translates into requesting access to several claims. For more information about the special profile scope, see "Claims".

3. The end user selects the Allow button to grant consent for the profile scope.

AM redirects the end user to the URL specified in the redirect uri parameter.



4. Inspect the URL in the browser. It contains a code parameter with the authorization code AM has issued. For example:

https://www.example.com:443/callback?code=g5B3qZ8rWzKIU2xodV\_kkSIk0F4&iss=https://openam.example.com:8443/openam/oauth2&state=abc123&client id=myClient

5. The client performs the steps in "To Exchange an Authorization Code for an ID/Access Token in the Authorization Code Grant with PKCE Flow" to exchange the authorization code for an ID/access token.

To Obtain an Authorization Code Without Using a Browser in the Authorization Code Grant with PKCE Flow

This procedure assumes the following configuration:

- AM is configured as an OAuth 2.0/OpenID provider. Ensure that:
  - The code plugin is configured in the Response Type Plugins field.
  - The Authorization Code grant type is configured in the Grant Types field.

The Code Verifier Parameter Required drop-down menu (Realms > Realm Name > Services > OAuth2 Provider > Advanced) specifies whether AM require clients to include a code verifier in their calls.

However, if a client makes a call to AM with the code\_challenge parameter, AM will honor the code exchange regardless of the configuration of the Code Verifier Parameter Required drop-down menu.

- A public client called myClient is registered in AM with the following configuration:
  - Scopes: openid profile
  - Response Types: code
  - Grant Types: Authorization Code
  - Redirection URIs: https://www.example.com:443/callback
  - Token Endpoint Authentication Method: none

Confidential OpenID Connect clients can use several methods to authenticate. For more information, see "OpenID Connect Client Authentication".

For more information, see "Dynamic Client Registration".

Perform the steps in this procedure to obtain an authorization code:

1. The end user logs in to AM, for example, using the credentials of the demo user. For example:



```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "X-OpenAM-Username: demo" \
--header "X-OpenAM-Password: Ch4ng3lt" \
--header "Accept-API-Version: resource=2.0, protocol=1.0" \
'https://openam.example.com:8443/openam/json/realms/root/authenticate'
{
    "tokenId":"AQIC5wM...TU30Q*",
    "successUrl":"/openam/console",
    "realm":"/"
}
```

- 2. The client makes an HTTP POST request to AM's authorization endpoint, specifying in a cookie the SSO token of the demo and, at least, the following parameters:
  - client id=your client id
  - response type=code
  - redirect uri=your redirect uri
  - decision=allow
  - csrf=demo user SSO token
  - code\_challenge=your code challenge
  - code challenge method=S256
  - scope=openid profile

You can configure the openid scope as a default scope in the client profile or the OAuth 2.0/ OpenID provider to avoid including the scope parameter in your calls, if required.

However, since the openid scope is required in OpenID Connect flows, the example specifies it.

For information about the parameters supported by the <code>/oauth2/authorize</code> endpoint, see "/oauth2/authorize" in the <code>OAuth 2.0 Guide</code>.

If the OAuth 2.0/OpenID provider is configured for a subrealm rather than the Top Level Realm, you must specify it in the endpoint. For example, if the OAuth 2.0/OpenID provider is configured for the /customers realm, then use /oauth2/realms/root/realms/customers/authorize.

For example:



```
$ curl --dump-header - \
--request POST \
--Cookie "iPlanetDirectoryPro=AQIC5wM...TU30Q*" \
--data "redirect_uri=https://www.example.com:443/callback" \
--data "scope=openid profile" \
--data "response_type=code" \
--data "client_id=myClient" \
--data "csrf=AQIC5wM...TU30Q*" \
--data "state=abc123" \
--data "state=abc123" \
--data "nonce=123abc" \
--data "decision=allow" \
--data "code_challenge=j3wKnK2Fa_mc2tgdqa6GtUfCYjdwSA5S23JKTTtPF8Y" \
--data "code_challenge_method=S256" \
"https://openam.example.com:8443/openam/oauth2/realms/root/authorize"
```

Note that the state and nonce parameters have been included to protect against CSRF and replay attacks.

If AM is able to authenticate the user and the client, it returns an HTTP 302 response with the authorization code appended to the redirection URL:

```
HTTP/1.1 302 Found
Server: Apache-Coyote/1.1
X-Frame-Options: SAMEORIGIN
Pragma: no-cache
Cache-Control: no-store
Date: Mon, 30 Jul 2018 11:42:37 GMT
Accept-Ranges: bytes
Location: https://www.example.com:443/callback?code=g5B3qZ8rWzKIU2xodV_kkSIk0F4&iss=https%3A%2F%2Fopenam.example.com%3A8443%2Fopenam%2Foauth2&state=abc123&client_id=myClient
Vary: Accept-Charset, Accept-Encoding, Accept-Language, Accept
Content-Length: 0
```

3. Perform the steps in "To Exchange an Authorization Code for an ID/Access Token in the Authorization Code Grant with PKCE Flow" to exchange the authorization code for an ID/access token.

To Exchange an Authorization Code for an ID/Access Token in the Authorization Code Grant with PKCF Flow

Perform the steps in the following procedure to exchange an authorization code for an ID/access token:

- 1. Ensure the client has obtained an authorization code by performing the steps in either "To Obtain an Authorization Code Using a Browser in the Authorization Code Grant with PKCE Flow" or "To Obtain an Authorization Code Without Using a Browser in the Authorization Code Grant with PKCE Flow".
- 2. The client creates a POST request to the token endpoint in the authorization server specifying, at least, the following parameters:
  - grant type=authorization code



- code=your authorization code
- client id=your client id
- redirect uri=your redirect uri
- code\_verifier=your code verifier

For information about the parameters supported by the <code>/oauth2/access\_token</code> endpoint, see "/oauth2/access token" in the <code>OAuth 2.0 Guide</code>.

For example:

```
$ curl --request POST \
--data "grant_type=authorization_code" \
--data "code=g5B3qZ8rWzKIU2xodV_kkSIk0F4" \
--data "client_id=myClient" \
--data "redirect_uri=https://www.example.com:443/callback" \
--data "code_verifier=ZpJiIM_GOSE9WlxzS69Cq0mQh8uyFaeEbILlW8tHs62SmEE6n7Nke0XJGx_F40duTI4" \
"https://openam.example.com:8443/openam/oauth2/realms/root/access_token"
```

The client\_id and the redirection\_uri parameters specified in this call must match those used as part of the authorization code request, or AM will not validate the code.

AM returns an ID and an access token. For example:

```
{
   "access_token":"cnM3nSpF5ckCFZOaDem2vANUdqQ",
   "scope":"openid profile",
   "id_token":"eyJ0eXAiOiJKV1QiLCJra...7r8soMCk8A7QdQpg",
   "token_type":"Bearer",
   "expires_in":3599
}
```

If the client does not require the access token, revoke it in the OAuth 2.0 Guide.

### Tip

AM can also issue refresh tokens at the same time the access tokens are issued. For more information, see "Refresh Tokens" in the OAuth 2.0 Guide.

(Optional) The relying party can request additional claims about the end user from AM.

For more information, see "/oauth2/userinfo".



# **Backchannel Request Grant**

### **Endpoints**

- /oauth2/bc-authorize in the OAuth 2.0 Guide
- /oauth2/access token in the OAuth 2.0 Guide
- "/oauth2/userinfo"

The Backchannel Request grant is used when performing Client Initiated Backchannel Authentication (CIBA).

CIBA allows a client application, known as the *consumption device*, to obtain authentication and consent from a user, without requiring the user to interact with the client directly.

Instead, the user authenticates and consents to the operation using a separate, "decoupled" device, known as the *authentication device*. For example, an authenticator application, or a mobile banking application on their mobile phone.

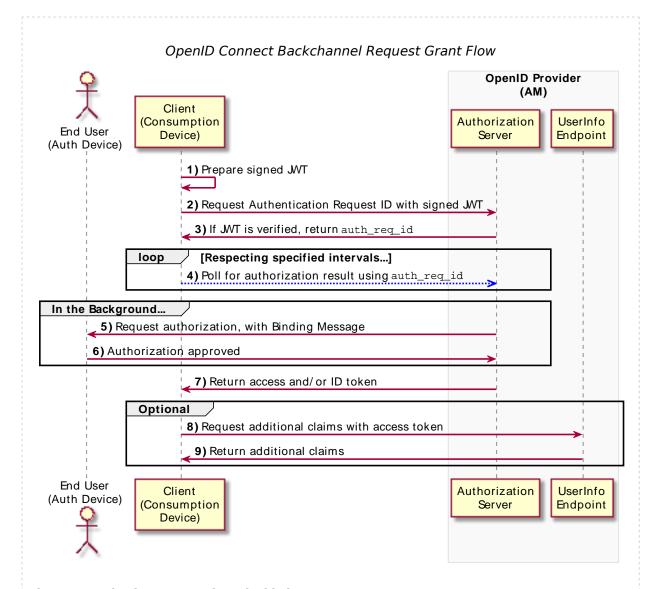
### Note

AM applies the guidelines suggested by the OpenID Financial-grade API (FAPI) Working Group to the implementation of CIBA.

For more information, see OpenID Connect 1.0 in the Reference.

+ Backchannel (CIBA) Request Grant Flow





The steps in the diagram are described below:

- 1. The client has a need to authenticate a user. It has a user identifier, and creates a signed JWT.
- 2. The client creates a POST request containing the signed JWT, and sends it to AM.
- 3. AM validates the signature using the public key, performs validation checks on the JWT contents, and if verified, returns an auth req id, as well as a polling interval.



- 4. The client begins polling AM using the auth\_req\_id to check if the user has authorized the operation. The client must respect the interval returned each time, otherwise an error message is returned.
- 5. AM sends the user a push notification message, including the contents of the <a href="binding\_message">binding\_message</a>, requesting authorization.
- 6. The user authorizes the request by performing the required authorization gesture on their authentication device, usually a mobile phone. For example, it may be swiping a slider, or authenticating using facial recognition or a fingerprint sensor.
- 7. If the authorization is valid, the OpenID provider returns an access token token (and an ID/refresh token, if applicable) to the client.
  - Now, the client can use the ID token subject ID claim as the end user's identity.
- 8. The client may require more claims than those included in the ID token. In this case, it makes a request to the OpenID provider's <code>oauth2/userinfo</code> endpoint with the access token.
- 9. If the access token is valid, the oauth2/userinfo endpoint returns additional claims, if any.
  - Now, the client can use the subject ID and the additional retrieved claims as the end user's identity.

Perform the steps in the following procedures to obtain an authorization request ID and exchange it for an ID token and an access token:

- "To Configure AM to use the Backchannel Request Grant Flow"
- "To Obtain an Authentication Request ID Using the Backchannel Request Grant Flow"
- "To Exchange an Authorization Request ID for an ID/Access Token in the Backchannel Request Grant Flow"

### To Configure AM to use the Backchannel Request Grant Flow

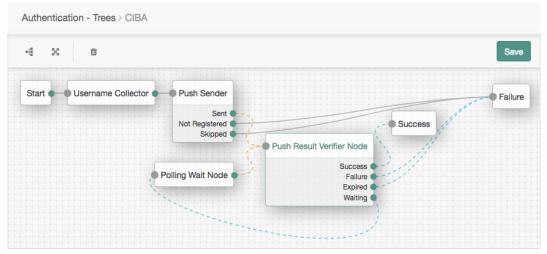
Perform the following steps to prepare AM for the backchannel request grant flow:

- 1. In AM, configure an OAuth 2.0/OpenID provider. Ensure that:
  - The token and the id token plugins are configured in the Response Type Plugins field.
  - The Back Channel Request grant type is configured in the Grant Types field.
- 2. Associate an authentication tree that performs push authentication with the acr\_values property contained in the signed JWT.



The authentication tree must start with a "Username Collector Node", and contain a "Push Sender Node" and "Push Result Verifier Node", and a "Polling Wait Node".

The following is an example of a suitable authentication tree:



For more information on creating authentication trees for push authentication, see "Creating Trees for Push Authentication" in the *Authentication and Single Sign-On Guide*.

To associate a push authentication tree with incoming acr values, perform the following steps:

- a. In the AM console, go to Realms > Realm Name > Services > OAuth2 Provider > Advanced OpenID Connect.
- b. In the OpenID Connect acr\_values to Auth Chain Mapping box, enter the value of the acr\_values property in the Key field, and the name of the push authentication tree to use in the Value field, for example CIBA, and then click Add.
- c. Save your changes.

For more information, see "The Authentication Context Class Reference (acr) Claim".

For more information, see "OpenID Provider Configuration".

3. In AM, create a confidential OAuth 2.0 client with a client ID of myCIBAClient. The client ID must match the value of the iss claim in the signed JWT prepared above.

The client profile should have the following configuration:

• Client secret: forgerock

• Scopes: openid profile



- Response Types: id\_token token
- Grant Types: Back Channel Request
- Token Endpoint Authentication Method: client\_secret\_basic

Confidential OpenID Connect clients can use several methods to authenticate. For more information, see "OpenID Connect Client Authentication".

• The client must be provided with the public key of the keypair that will be used to sign the JWT.

On the Signing and Encryption tab, you must configure *either* the **JWKs URI** or the **JWK Set** fields, as follows:

• JWKs URI: specifies a URI that exposes the public keys AM will use to validate the JWT signature.

For example, http://www.example.com/issuer/jwk uri.

### Note

If you configure this field, ensure the following properties are configured with values suitable for your environment:

- JWKs URI content cache timeout in ms
- IWKs URI content cache miss cache time
- IWK set: Specifies a IWK set containing the public keys used to validate IWT signatures.

The following is an example of a public elliptic curve JWK set:

For more information about the contents of the JWK set, see the JSON Web Key (JWK) specification.



You can store more than one key in the JWK set. However, it is easier to implement key rotation exposing the validation keys on the URI instead.

For more information, see "Dynamic Client Registration".

### To Obtain an Authentication Request ID Using the Backchannel Request Grant Flow

Perform the steps in this procedure to obtain an authentication request ID, using CIBA:

1. On the client, prepare a signed JWT. The JWT must contain, at least, the following claims in the payload:

### aud

Specifies a string or an array of strings that is the intended audience of the JWT. Must be set to the authorization server's OAuth 2.0 endpoint, for example:

```
"aud": "http://openam.example.com:8080/openam/oauth2"
```

### exp

Specifies the expiration time of the JWT in Unix time.

Providing a JWT with an expiry time greater than 30 minutes causes AM to return a JWT expiration time is unreasonable error message.

### iss

Specifies the unique identifier of the JWT issuer.

The identifier must match the client ID of the OAuth 2.0 client in AM, for example myCIBAClient.

### login hint

Specifies the principal who is the subject of the JWT. It should be a string that identifies the resource owner.

### Tip

You can provide a previously obtained ID token in a property named <code>id\_token\_hint</code> as the hint for determining the resource owner, rather than a string.

### scope

Specifies a space-separated list of the requested scopes. Must include the openid scope.



### acr values

Specifies an identifier that maps to the authentication mechanism AM uses to obtain authorization from the end user.

### binding message

Specifies a message delivered to the user when obtaining authorization.

Should be a short (100 characters or fewer), description of the operation the end user is authorizing, and should include an identifier to match the authorization request to the client that initiated the request.

### Note

If the binding message is sent using push notifications, the following additional limitations apply to the value:

- 1. Must begin with a letter, number, or punctuation mark.
- 2. Must **not** include line breaks or control characters.

### For example:

```
Allow ExampleBank to transfer £50 from your 'Main' account to your 'Savings' account? (EB-0246326)
```

The following is an example of the payload of a basic JWT:

```
{
  "login_hint": "demo",
  "scope": "openid profile",
  "acr_values": "push",
  "iss": "myCIBAClient",
  "aud": "http://openam.example.com:8080/openam/oauth2",
  "exp": 1559311511,
  "binding_message": "Allow ExampleBank to transfer £50 from your 'Main' account to your 'Savings'
  account? (EB-0246326)"
}
```

For more information about JWTs, see the RFC 7523 standard.

AM ignores keys specified in JWT headers, such as jku and jwe, and will use the configuration in the client profile to verify the JWT's signature.

2. The client makes a POST request to the authorization server's backchannel authorization endpoint, including the signed JWT, and the client credentials in the authorization header.

For example:



• The basic authorization header is the base64-encoded value of your client ID, a colon character (:), and the client secret. For example myCIBAClient:forgerock.

For more information about authenticating clients, see "OAuth 2.0 Client Authentication" in the OAuth 2.0 Guide.

2 The "request" field should contain the entire signed JWT.

The value in this example has been truncated for display purposes.

AM returns JSON containing the auth req id value:

```
{
  "auth_req_id": "35Evy3bJXJEnhll2ebacgROYfbU",
  "expires_in": 600,
  "interval": 2
}
```

AM will also send the user a push notification message, containing the contents of the binding\_message, to request authorization for the operation.

For more information on interacting with push notifications, see "MFA: Push Authentication" in the Authentication and Single Sign-On Guide.

3. The client performs the steps in "To Exchange an Authorization Request ID for an ID/Access Token in the Backchannel Request Grant Flow" to exchange the authentication request ID for an ID token (and an access/refresh token).

# To Exchange an Authorization Request ID for an ID/Access Token in the Backchannel Request Grant Flow

Perform the steps in the following procedure to exchange an authorization request ID for an ID/ access token:

- 1. The client starts to poll the token endpoint in the OpenID provider with HTTP POST requests, with the client credentials in the authorization header, and specifies the following parameters:
  - grant type=urn:openid:params:grant-type:ciba
  - auth req id=your authorization request id

If the OAuth 2.0/OpenID provider is configured for a subrealm rather than the Top Level Realm, you must specify it in the endpoint. For example, if the OAuth 2.0/OpenID provider is configured for the /customers realm, then use /oauth2/realms/root/realms/customers/access\_token.

For example:



• The basic authorization header is the base64-encoded value of your client ID, a colon character (:), and the client secret. For example myCIBAClient:forgerock.

For more information about authenticating clients, see "OAuth 2.0 Client Authentication" in the OAuth 2.0 Guide.

• If the user has authenticated and authorized the operation, AM returns an ID token and an access token. For example:

```
{
  "access_token": "z4mWG0cxqwPwgjj7srJ2Jdxe9ag",
  "id_token": "eyJ0eXAi0i...YA9Hoqwew",
  "token_type": "Bearer",
  "expires_in": 3599
}
```

### Tip

AM can also issue refresh tokens at the same time the access tokens are issued. For more information, see "Refresh Tokens" in the OAuth 2.0 Guide.

• If the user has not yet authenticated and authorized the operation, AM returns an HTTP 400 response, as follows:

```
{
  "error_description": "End user has not yet been authenticated",
  "error": "authorization_pending"
}
```

The client should wait the number of seconds specified by the interval value that was returned when requesting the auth\_req\_id, and then resend the POST request. The default value for interval is two seconds.

• If the client does not wait for the interval before resending the request, AM returns an HTTP 400 response, as follows:

```
{
  "error_description": "The polling interval has not elapsed since the last request",
  "error": "slow_down"
}
```

2. (Optional) The relying party can request additional claims about the end user from AM.

For more information, see "/oauth2/userinfo".



## **Implicit Grant**

### **Endpoints**

- "/oauth2/authorize" in the OAuth 2.0 Guide
- "/oauth2/userinfo"

The OpenID Connect implicit grant is designed for public clients that run inside the end user's user-agent. For example, JavaScript applications.

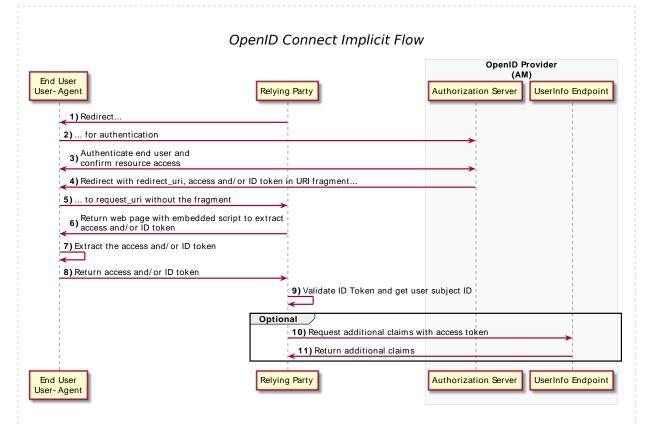
This flow lets the relying party interact directly with the OpenID provider, AM, and receive tokens directly from the authorization endpoint instead of from the token endpoint.

Since applications running in the user-agent are considered less trusted than applications running in servers, the authorization server will never issue refresh tokens in this flow. Also, you must consider the security impact of cross-site scripting (XSS) attacks that could leak the ID and access tokens to other systems, and implement Cross-Origin Resource Sharing (CORS) to make OAuth 2.0/OpenID Connect requests to different domains.

Due to the security implications of this flow, it is recommended to use the Authorization Code grant with PKCE flow whenever possible.

+ Implicit Flow





The steps in the diagram are described below:

- 1. The relying party, usually a single-page application (SPA), receives a request to access user information stored in an OpenID provider. To access this information, the client requires authorization from the end user.
- 2. The relying party redirects the end user's user-agent or opens a new frame to the AM OpenID provider.
  - As part of the OpenID Connect flow, the request contains the openid scope and the nonce parameter.
- 3. The OpenID provider authenticates the end user, confirms resource access, and gathers consent if not previously saved.
- 4. If the end user's credentials are valid, the authorization server returns an ID token (and optionally, an access token) to the user-agent as part of the redirection URI.
- 5. The user-agent must extract the token(s) from the URI. In this example, the user-agent follows the redirection to the relying party without the token(s)...



6. ... And the relying party returns a web page with an embedded script to extract the token(s) from the URL

In another possible scenario, the redirection URI is a dummy URI in the application running in the user-agent which already has the logic in itself to extract the tokens.

- 7. The user-agent executes the script and retrieves the tokens.
- 8. The user-agent returns the tokens to the relying party.
- 9. The relying party validates the ID token and its claims.

Now, the relying party can use the ID token subject ID claim as the end user's identity.

- 10. The relying party may require more claims than those included in the ID token. In this case, it makes a request to the OpenID provider's <code>oauth2/userinfo</code> endpoint with the access token.
- 11. If the access token is valid, the oauth2/userinfo endpoint returns additional claims, if any.

Now, the relying party can use the subject ID and the additional retrieved claims as the end user's identity.

Perform the steps in the following procedures to obtain an ID token and an access token:

- "To Obtain an ID/Access Token Using a Browser in the Implicit Grant"
- "To Obtain an ID/Access Token Without Using a Browser in the Implicit Grant"

### To Obtain an ID/Access Token Using a Browser in the Implicit Grant

This example shows how to obtain an ID token and an access token. It adds notes on how to obtain the ID token only, as well.

The procedure assumes the following configuration:

- AM is configured as an OAuth 2.0/OpenID provider. Ensure that:
  - The token plugin is configured in the Response Type Plugins field.
  - The Implicit Grant grant type is configured in the Grant Types field.

For more information, see "OpenID Provider Configuration".

- A public client called myClient is registered in AM with the following configuration:
  - Scopes: openid profile
  - Response Types: token id token

Configure id token to receive an ID token only.



• Grant Types: Implicit

Authentication Method: none

• Token Endpoint Authentication Method: none

If you were using a confidential OpenID Connect client, you must specify a method to authenticate. For more information, see "OpenID Connect Client Authentication".

For more information, see "Dynamic Client Registration".

Perform the steps in this procedure to obtain an ID token and an access token using the Implicit grant:

- 1. The client makes a GET call to AM's authorization endpoint specifying, at least, the following parameters:
  - client id=your client id
  - response\_type=token id\_token

To obtain only an ID token, use response type=id token instead.

- redirect\_uri=your redirect uri
- **nonce**=your\_nonce
- scope=openid profile

For information about the parameters supported by the <code>/oauth2/authorize</code> endpoint, see "/oauth2/authorize" in the <code>OAuth 2.0 Guide</code>.

If the OAuth 2.0/OpenID provider is configured for a subrealm rather than the Top Level Realm, you must specify it in the endpoint. For example, if the OAuth 2.0/OpenID provider is configured for the /customers realm, then use /oauth2/realms/root/realms/customers/authorize.

### For example:

```
https://openam.example.com:8443/openam/oauth2/realms/root/authorize \
?client_id=myClient \
&response_type=token%20id_token \
&scope=openid%20profile \
&redirect_uri=https://www.example.com:443/callback \
&state=abc123 \
&nonce=123abc
```

Note that the URL is split for readability purposes and that the <a href="state">state</a> parameter has been included to protect against CSRF attacks.

2. The end user logs in to AM, for example, using the credentials of the demo user. In this case, they log in using the default chain or tree configured for the realm.



After logging in, AM presents the AM user interface consent screen:

# FORGEROCK\* MYCLIENT This application is requesting the following private information: Your personal information Family name: demo Full name: demo Full name: demo

### Consent Screen

Note that requesting the profile scope translates into requesting access to several claims. For more information about the special profile scope, see "Claims".

3. The end user selects the Allow button to grant consent for the profile scope.

AM redirects the resource owner to the URL specified in the redirect uri parameter.

You are signed in as: demo

4. Inspect the URL in the browser. It contains an <a href="access\_token">access\_token</a> and an <a href="id\_token">id\_token</a> parameter with the tokens AM has issued. For example:

```
https://www.example.com:443/callback/
#access_token=pRbNamsGPv1T7NfAf5Dbx4AHM2c&id_token=eyJ0eXAi0iJKV1QiLCJra...7r8soMCk8A7QdQpg&state=123&token_typ
```

Allow

Denv

If you only request an ID token, the response would not include the access token parameter.

5. (Optional) The relying party can request additional claims about the end user from AM.

For more information, see "/oauth2/userinfo".



### To Obtain an ID/Access Token Without Using a Browser in the Implicit Grant

This example shows how to obtain an ID token and an access token. It adds notes on how to obtain the ID token only, as well.

The procedure assumes the following configuration:

- AM is configured as an OAuth 2.0/OpenID provider. Ensure that:
  - The token plugin is configured in the Response Type Plugins field.
  - The Implicit Grant grant type is configured in the Grant Types field.

For more information, see "OpenID Provider Configuration".

- A public client called myClient is registered in AM with the following configuration:
  - Scopes: openid profile
  - Response Types: token id\_token
  - Grant Types: Implicit
  - Authentication Method: none

Confidential OpenID Connect clients can use several methods to authenticate. For more information, see "*OpenID Connect Client Authentication*".

For more information, see "Dynamic Client Registration".

Perform the steps in this procedure to obtain an ID token and an access token using the Implicit grant:

1. The end user authenticates to AM, for example, using the credentials of the demo user. For example:

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "X-OpenAM-Username: demo" \
--header "X-OpenAM-Password: Ch4ng31t" \
--header "Accept-API-Version: resource=2.0, protocol=1.0" \
'https://openam.example.com:8443/openam/json/realms/root/authenticate'
{
    "tokenId":"AQIC5wM...TU300*",
    "successUrl":"/openam/console",
    "realm":"/"
}
```

2. The client makes a POST call to the AM's authorization endpoint, specifying the SSO token of the demo in a cookie and, at least, the following parameters:



- client id=your client id
- response\_type=token id\_token

To obtain only an ID token, use response type=id token instead.

- redirect\_uri=your redirect uri
- **nonce**=your nonce
- scope=openid profile
- decision=allow
- csrf=demo user SSO token

For information about the parameters supported by the <code>/oauth2/authorize</code> endpoint, see "/oauth2/authorize" in the <code>OAuth 2.0 Guide</code>.

If the OAuth 2.0/OpenID provider is configured for a subrealm rather than the Top Level Realm, you must specify it in the endpoint. For example, if the OAuth 2.0/OpenID provider is configured for the /customers realm, then use /oauth2/realms/root/realms/customers/authorize.

### For example:

```
curl --dump-header - \
    --Cookie "iPlanetDirectoryPro=AQIC5wM...TU30Q*" \
    --request POST \
    --data "client_id=myClient" \
    --data "response_type=token id_token" \
    --data "scope=openid profile" \
    --data "state=123abc" \
    --data "nonce=abc123" \
    --data "decision=allow" \
    --data "csrf=AQIC5wM...TU30Q*" \
    --data "redirect_uri=https://www.example.com:443/callback" \
    "https://openam.example.com:8443/openam/oauth2/realms/root/authorize"
```

Note that the state parameter has been included to protect against CSRF attacks.

If the authorization server is able to authenticate the user, it returns an HTTP 302 response with the access and ID tokens appended to the redirection URI:



HTTP/1.1 302 Found
Server: Apache-Coyote/1.1
X-Frame-Options: SAMEORIGIN
Pragma: no-cache
Cache-Control: no-store
Date: Mon, 04 Mar 2019 16:56:46 GMT
Accept-Ranges: bytes
Location: https://www.example.com:443/callback#access\_token=az91IvnIQuP3Eqw5QqaXXY\_DCo&id\_token=eyJ0eXAi0iJKV1QiLCJra...7r8soMCk8A7QdQpg&state=123abc&token\_type=Bearer&expi
Vary: Accept-Charset, Accept-Encoding, Accept-Language, Accept
Content-Length: 0

If you only request an ID token, the response would not include the access token parameter.

3. (Optional) The relying party can request additional claims about the end user from AM.

For more information, see "/oauth2/userinfo".

#### Tip

For access to a sample JavaScript-based relying party to test the Implicit grant flow, see How do I access and build the sample code provided for AM (All versions)? in the *ForgeRock Knowledge Base*.

Clone the example project to deploy it in the same web container as AM. Edit the configuration at the outset of the .js files in the project, register a corresponding profile for the example relying party as described in "Dynamic Client Registration", and browse the deployment URL to see the initial page.

The example relying party validates the ID token signature using the default (HS256) algorithm, decodes de ID token to validate its contents and shows it in the output. Finally, the relying party uses the access token to request information about the end user who authenticated, and displays the result.

## **Hybrid Grant**

#### **Endpoints**

- /oauth2/authorize in the OAuth 2.0 Guide
- "/oauth2/userinfo"

The OpenID Connect Hybrid grant is designed for clients that require flexibility when requesting ID, access, and refresh tokens.

Similar to the Authorization Code grant flow, the Hybrid grant flow is a two-step process:

1. The relying party makes a first request for tokens or codes. For example, a request for an ID token and an access code. AM returns them in the redirection fragment, as it does during the Implicit grant flow.

The client relying party usually starts using these tokens immediately.



2. Some time after the first request has happened, the relying party makes a second request for additional tokens. For example, a request for an access token using the access code, or a request for a refresh token.

#### **Important**

Consider the following security tips when implementing this flow:

• Requesting an access token during the first step exposes the token in the redirection fragment, just like during the Implicit grant flow.

Also, you must consider the security impact of cross-site scripting (XSS) attacks that could leak the ID and access tokens to other systems, and implement Cross-Origin Resource Sharing (CORS) to make OAuth 2.0/ OpenID Connect requests to different domains.

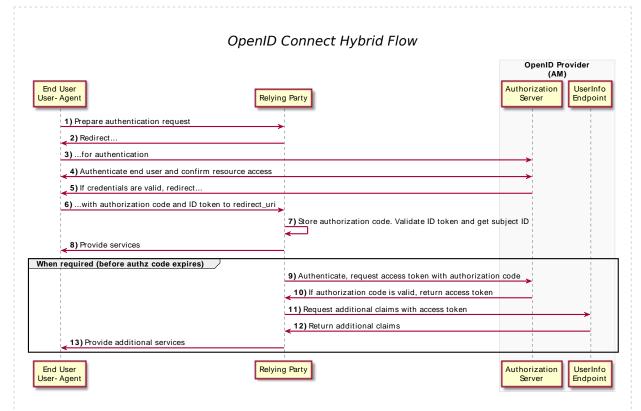
Due to the security implications, ForgeRock recommends not to request access tokens during the first step of this flow.

• If the relying party is a public client, you can use the PKCE specification to mitigate against interception attacks performed by malicious users.

A common use case is the relying party requesting an ID token which can be used to, for example, pre-register the end user so they can start shopping. Only later and, if required, the relying party requests an access token to inquire the OpenID provider about additional claims. For example, during the check out, the relying party requests from AM the end user's address details.

+ Hybrid Flow





The steps in the diagram are described below:

- 1. The end user wants to use the services provided by the relying party. The relying party, usually a web-based service, requires an account to provide those services.
  - The end user issues a request to the relying party to access their information, which is stored in an OpenID provider.
- 2. To access the end user's information in the provider, the relying party requires authorization from the end user. Therefore, the relying party redirects the end user's user agent...
- 3. ... to the OpenID provider.
- 4. The OpenID provider authenticates the end user, confirms resource access, and gathers consent if not previously saved.
- 5. If the end user's credentials are valid, the OpenID provider redirects the end user to the relying party.
- 6. During the redirection process, the OpenID provider appends an authorization code and an ID token to the URL.



Note that AM can return any combination of access token, ID token, and authorization code depending on the request. In this example, the access token is not requested at this time due to security concerns.

- 7. The relying party stores the authorization code for future use. It also validates the ID token and gets the subject ID.
- 8. With the ID token, the relying party starts providing services to the end user.
- 9. Later, but always before the authorization code has expired, the relying party requests an access token from the OpenID provider so it can access more information about the end user.

A use case would be the end user requiring services from the relying party that requires additional (usually more sensitive) information. For example, the end user requests the relying party to compare their electricity usage and supplier information against offers in the market.

If required, the relying party could also request a refresh token.

- 10. If the relying party credentials and the authorization code are valid, AM returns an access token.
- 11. The relying party makes a request to AM's /oauth2/userinfo endpoint with the access token to access the end user's additional claims.
- 12. If the access token is valid, the /oauth2/userinfo endpoint returns additional claims, if any.
- 13. The relying party can now use the subject ID in the ID token and the additional claims as the end user's identity to provide them with more services.

Perform the steps in the following procedure to obtain an authorization code and an ID token, and later an access token:

To Obtain an Authorization Code and an ID Token Using a Browser in the Hybrid Flow

This procedure assumes the following configuration:

- AM is configured as an OAuth 2.0/OpenID provider. Ensure that:
  - The token, code, and id token plugins are configured in the Response Type Plugins field.
  - The Authorization Code grant type is configured in the Grant Types field.

For more information, see "OpenID Provider Configuration".

- A confidential client called myClient is registered in AM with the following configuration:
  - Client secret: forgerock



• Scopes: openid profile

• Response Types: code id\_token token

• Grant Types: Authorization Code

• Token Endpoint Authentication Method: client\_secret\_post

Confidential OpenID Connect clients can use several methods to authenticate. For more information, see "*OpenID Connect Client Authentication*".

For more information, see "Dynamic Client Registration".

Perform the steps in the following procedure to obtain an ID token and an authorization code that will later be exchanged for an access token:

- 1. The client redirects the end user's user-agent to the authorization server's authorization endpoint specifying, at least, the following form parameters:
  - client\_id=your client id
  - response\_type=code id token

As per the specification, you can request the following response types:

- code id token
- code token
- code id token token

Since AM returns the tokens in the redirection URL, requesting access tokens in this way poses a security risk.

- redirect uri=your redirect uri
- scope=openid profile

For information about the parameters supported by the <code>/oauth2/authorize</code> endpoint, see "/oauth2/authorize" in the <code>OAuth 2.0 Guide</code>.

If the OAuth 2.0/OpenID provider is configured for a subrealm rather than the Top Level Realm, you must specify it in the endpoint. For example, if the OAuth 2.0/OpenID provider is configured for the /customers realm, then use /oauth2/realms/root/realms/customers/authorize.

For example:



https://openam.example.com:8443/openam/oauth2/realms/root/authorize \
?client\_id=myClient \
&response\_type=code%20id\_token \
&scope=openid%20profile \
&state=abc123 \
&nonce=123abc \
&redirect\_uri=https://www.example.com:443/callback

Note that the URL is split and spaces have been added for readability purposes. The state and nonce parameters have been included to protect against CSRF and replay attacks.

#### Tip

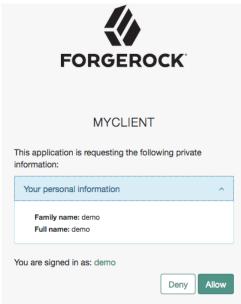
Implement the PKCE specification to mitigate against interception attacks performed by malicious users.

For more information about the required additional parameters and an example, see "Authorization Code Grant with PKCE".

2. The end user authenticates to AM, for example, using the credentials of the demo user. In this case, they log in using the default chain or tree configured for the realm.

After logging in, AM presents its consent screen:

## OpenID Connect Consent Screen





Note that requesting the profile scope translates into requesting access to several claims. For more information about the special profile scope, see "Claims".

3. The end user selects the Allow button to grant consent for the profile scope.

AM redirects the end user to the URL specified in the redirect uri parameter.

4. Inspect the URL in the browser. It contains a code parameter with the authorization code and an id\_token parameter with the ID token AM has issued. For example:

```
https://www.example.com:443/callback#code=b0rAijEerd_YdNCUClpiL5VfN04&id_token=eyJ0eXAi0iJKV1QiLCJra...7r8soMCk8A7QdQpg
```

The client relying party can now use the ID token as the end user's identity and store the access code for later use.

- 5. (Optional) The client exchanges the authorization code for an access token (and maybe, an refresh token). Perform the steps in one of the following procedures:
  - "To Exchange an Authorization Code for an ID/Access Token in the Authorization Code Grant Flow"
  - "To Exchange an Authorization Code for an ID/Access Token in the Authorization Code Grant with PKCE Flow"

To Obtain an Authorization Code and an ID Token Without Using a Browser in the Hybrid Flow

This procedure assumes the following configuration:

• AM is configured as an OAuth 2.0/OpenID provider in the Top Level Realm.

For more information, see "OpenID Provider Configuration".

- A *confidential* client called myClient is registered in AM with the following configuration:
  - Client secret: forgerock
  - Scopes: openid profile
  - Response Types: code id token token
  - Grant Types: Authorization Code
  - Token Endpoint Authentication Method: client secret post

Confidential OpenID Connect clients can use several methods to authenticate. For more information, see "OpenID Connect Client Authentication".

For more information, see "Dynamic Client Registration".



Perform the steps in the following procedure to obtain an ID token and an authorization code that will later be exchanged for an access token:

1. The end user logs in to AM, for example, using the credentials of the demo user. For example:

```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "X-OpenAM-Username: demo" \
--header "X-OpenAM-Password: Ch4ng31t" \
--header "Accept-API-Version: resource=2.0, protocol=1.0" \
'https://openam.example.com:8443/openam/json/realms/root/authenticate'
{
    "tokenId":"AQIC5wM...TU30Q*",
    "successUrl":"/openam/console",
    "realm":"/"
}
```

- 2. The client makes a POST call to AM's authorization endpoint, specifying the SSO token of the demo in a cookie and, at least, the following parameters:
  - client id=your client id
  - response type=code id token

As per the specification, you can request the following response types:

- code id token
- code token
- code id\_token token

Since AM returns the tokens in the redirection URL, requesting access tokens in this way poses a security risk.

- redirect uri=your redirect uri
- scope=openid profile
- decision=allow
- csrf=demo user SSO token

For information about the parameters supported by the /oauth2/authorize endpoint, see "/oauth2/authorize" in the *OAuth 2.0 Guide*.

If the OAuth 2.0/OpenID provider is configured for a subrealm rather than the Top Level Realm, you must specify it in the endpoint. For example, if the OAuth 2.0/OpenID provider is configured for the /customers realm, then use /oauth2/realms/root/realms/customers/authorize.

For example:



```
$ curl --dump-header - \
--request POST \
--Cookie "iPlanetDirectoryPro=AQIC5wM...TU30Q*" \
--data "scope=openid profile" \
--data "response_type=code id_token" \
--data "client_id=myClient" \
--data "csrf=AQIC5wM...TU30Q*" \
--data "redirect_uri=https://www.example.com:443/callback" \
--data "state=abc123" \
--data "nonce=123abc" \
--data "decision=allow" \
"https://openam.example.com:8443/openam/oauth2/realms/root/authorize"
```

Note that the state and nonce parameters have been included to protect against CSRF and replay attacks.

#### Tip

Implement the PKCE specification to mitigate against interception attacks performed by malicious users.

For more information about the required additional parameters and an example, see "Authorization Code Grant with PKCE".

If AM is able to authenticate the user and the client, it returns an HTTP 302 response with the authorization code appended to the redirection URL:

```
HTTP/1.1 302 Found
Server: Apache-Coyote/1.1
X-Frame-Options: SAMEORIGIN
Pragma: no-cache
Cache-Control: no-store
Date: Mon, 30 Jul 2018 11:42:37 GMT
Accept-Ranges: bytes
Location: https://www.example.com:443/
calback#code=b0rAijEerd_YdNCUC1piL5VfN04&id_token=eyJ0eXAi0iJKV1QiLCJra...7r8soMCk8A7QdQpg
Vary: Accept-Charset, Accept-Encoding, Accept-Language, Accept
Content-Length: 0
```

The client relying party can now use the ID token as the end user's identity and store the access code for later use.

- 3. (Optional) The client exchanges the authorization code for an access token (and maybe, a refresh token). Perform the steps in one of the following procedures:
  - "To Exchange an Authorization Code for an ID/Access Token in the Authorization Code Grant Flow"
  - "To Exchange an Authorization Code for an ID/Access Token in the Authorization Code Grant with PKCE Flow"



## Chapter 7 Managing OpenID Connect User Sessions

Logging in to the OpenID provider and obtaining tokens are well-stabilised processes in the OpenID spec. However, keeping the client relying party informed of the session's validity is not as straightforward. The end user's session in AM is unavailable to the relying party, and therefore the only information the client has is the expiration time of the ID token, which may be undesirable.

OpenID Connect Session Management 1.0 - draft -10 defines a mechanism for relying parties to:

- Request AM to confirm if a specific OpenID Connect session is still valid or not.
- Terminate end user sessions in AM.

When Session Management is enabled, AM links ID tokens to sessions. This ensures relying parties can track end users' sessions and request that end users log again if their sessions have expired.

To keep the process transparent to the end user, relying parties use hidden *iframes* to pass messages between AM and themselves. By embedding iframes in the relying party, they can periodically request session status from AM.

Session Management is enabled by default, and sessions are always stored in the CTS token store. To disable Session Management, go to Realms > Realm Name > Services > OAuth2 Provider > Advanced OpenID Connect, and disable the Store Ops Tokens switch.

Draft 05 of the of the Session Management spec defined two endpoints for managing OpenID sessions. These endpoints have been removed from version 10 of the draft, but AM still supports them:

• /oauth2/connect/checkSession. It lets clients retrieve session state. This endpoint serves the <a href="mailto:check\_session\_iframe">check\_session\_iframe</a> URL that allows the relying party to interact with the endpoint.

When checking session state with the check session endpoint, you must configure the Client Session URI field in the client profile as the iframe URL in the relying party.

For an alternative method of checking session state compliant with version 10 of the session management draft, see "Retrieving Session State without the Check Session Endpoint".

• /oauth2/connect/endSession. It lets clients terminate end user sessions and redirect end users to a particular page after logout.

For more information about the endpoints, see "OpenID Connect 1.0 Endpoints".



#### Retrieving Session State without the Check Session Endpoint

You can retrieve session state by sending a request to the authorization endpoint that contains the following parameters:

- prompt=none. Specifies that the request is a repeated authentication request for a specific end user. AM will not display any user interaction pages to the end user.
- <u>id\_token\_hint=your\_ID\_token</u>. Specifies the ID token associated to an end user. AM validates the ID token against the user's session.
- response type=none. Specifies that AM should not issue any token as response.

To use this response type, ensure that the none response type plugin is configured in the OAuth 2.0 provider. See "Authorization Server Configuration" in the OAuth 2.0 Guide.

The simplest strategy to check session state using the authorization endpoint is to create an iframe whose src attribute is AM's /oauth2/authorize endpoint with the required parameters. Note that you must also include any other parameter required in your environment, such as client authentication methods.

For AM to validate an end user session against an ID token, the user-agent must provide the SSO token of the end user's session as the <code>iplanetDirectoryPro</code> cookie. Therefore, the flow would resemble the following:

- The relying party obtains an ID token related to an end user. This may have happened at any point in time. For example, when the end user registered with the relying party to use its services.
- The end user requests additional services from the relying party.
- The relying party, still holding the end user's ID token, requests that AM checks if the user has a valid session.
- AM returns session state.
- The relying party makes a decision based on AM's response; either provide the services, or request the end user to log in again.

The following is an example of a public client requesting session state:

```
https://openam.example.com:8443/openam/oauth2/realms/root/authorize \
?client_id=myClient \
&response_type=none \
&id_token_hint=eyJ0eXAi0iJKV1QiLCJra...7r8soMCk8A7QdQpg \
&redirect_uri=https://www.example.com:443/callback \
&prompt=none
```

Note that the URL is split for readability purposes and the end user's SSO token must be set in a cookie in order to validate the session.



If the session is valid and the request contains a redirection URI, AM redirects to the specified URI with no content. If the request does not contain a redirection URI, AM returns an HTTP 204 no content message.

If the session is invalid and the request contains a redirection URI, AM redirects to the specified URI with no content and appends an error description parameter to the URL. For example:

 $\label{localiback} $$ $$ https://www.example.com: 443/callback?error_description=The $20 request $20 requires $20 login. \\ $error=login required $$$ 

If the request does not contain a redirection URI, AM returns an HTTP 400 error message and redirects to an AM console page showing a message, such as login required. The request requires login.

Your iframe, or the redirection page, should be able to retrieve the error messages and act in consequence. For example, redirecting the end user to a login page.

#### Tip

To retrieve session state using this mechanism, the OAuth 2.0/OpenID provider must be configured for Session Management and the client relying party must have the none value configured as a valid response type.



## **Chapter 8**

# Adding Authentication Requirements to ID Tokens

Relying parties may require end users to satisfy different rules or conditions when authenticating to the provider. Consider the case of a financial services provider. While authenticating with username and password may be acceptable to create an account, accessing the end user's bank account details may require multi-factor authentication.

By specifying an authentication context reference (acr) or an authentication method reference (amr) claim in the request, relying parties can require that AM authenticate users using specific chains, modules, or trees.

The following sections show how AM implements both claims, and how to configure AM to honor them:

- "The Authentication Context Class Reference (acr) Claim"
- "The Authentication Method Reference (amr) Claim"

## The Authentication Context Class Reference (acr) Claim

In the OpenID Connect specification, the acr claim identifies a set of rules the user must satisfy when authenticating to the OpenID provider. For example, a chain or tree configured in AM.

To avoid exposing the name of authentication trees or chains, AM implements a map that consists of a key (the value that is included in the acr claim) and the name of the authentication tree or chain.

The specification indicates that the acr claim is a list of authentication contexts; AM honors the first value in the list for which it has a valid mapping. For example, if the relying party requests a list of acr values such as acr-1 acr-2 acr-3 and only acr-2 and acr-3 are mapped, AM will always choose acr-2 to authenticate the end user.

The acr claim is optional and therefore is not added to ID tokens by default, but you can request AM to include it by specifying it as a voluntary or essential claim:

+ Voluntary Claim

Request voluntary acr claims when the fact that the user has authenticated to a specific chain or tree would improve the user experience in the OpenID Connect flow, but it is not a requisite.



You can request voluntary acr claims in the following ways:

- Specifying the authentication chains or trees in the acr\_values parameter when requesting an ID token to the /oauth2/authorize endpoint.
- Specifying the authentication chains or trees in JSON format in the claims parameter when requesting an ID token to the /oauth2/authorize endpoint.

If the end user is already authenticated to the first value on the list for which AM has a mapping, AM does not force the user to reauthenticate. If they are not already authenticated, or if they are authenticated to any other tree or chain on the list, AM uses the first value for which it has a valid mapping to authenticate them.

Consider an example where the relying party requests a list of acr values, such as acr-1 acr-2 acr-3, and AM only has acr-2 and acr-3 mapped:

- AM will not force the end user to reauthenticate if they are already authenticated to acr-2 (which is the first value in the list for which AM has a mapping).
- AM will force the end user to reauthenticate to acr-2 in the following cases:
  - If the end user has authenticated to acr-3.
  - If the end user has authenticated to any other tree or chain.
  - If the end user has not yet authenticated.

If the user reauthenticates to a tree, AM destroys the original session and provides them with a new one that reflects the new authentication journey.

If the user reauthenticates to a chain, AM updates the original session to reflect the new authentication journey.

If the relying party requests authentication chains or trees that are not mapped in AM as valid acr values, AM continues the grant flow. The resulting ID token will contain an acr claim with the following values:

- 0 (zero), if the client authenticated to AM using a chain or tree that is not mapped to an acr value.
- acr\_key\_string, if the client authenticated to AM using a chain or tree that is mapped to an acr value.

If the end user authenticated to more than one chain or tree, AM will use the last chain or tree, provided it is mapped to an acr value.

+ Essential Claim



Request essential acr claims when the user must authenticate to a specific chain or tree to complete an OpenID Connect flow.

To request essential acr claims, specify the required authentication chains or trees in JSON format in the claims parameter when requesting an ID token to the /oauth2/authorize endpoint.

AM will always force the end user to authenticate to the first value in the list for which AM has a mapping, even if the end user already authenticated using the same chain or tree.

Consider an example where the relying party requests a list of acr values, such as acr-1 acr-2 acr-3, and AM only has acr-2 and acr-3 mapped:

AM will force the end user to authenticate to acr-2 in the following cases:

- If the end user has authenticated to either acr-2 or acr-3.
- If the end user has authenticated to any other chain or tree.
- If the end user is not authenticated.

If the user reauthenticates to a tree, AM destroys the original session and provides them with a new one that reflects the new authentication journey.

If the user reauthenticates to a chain, AM updates the original session to reflect the new authentication journey.

This mechanism can be used to perform step-up authentication, but AM does not consider if, for example, the authentication level of the current session is higher than the one achievable with the requested tree or chain.

If the relying party requests authentication chains or trees that are not mapped in AM as valid acr values, AM returns an error and redirects to the redirect uri value, if available.

Perform the steps in the following procedure to configure AM to honor acr claims:

#### To Configure AM for the acr Claim

- 1. In the AM console, go to Realms > Realm Name > Services > OAuth2 Provider > Advanced OpenID Connect.
- (Optional) To request acr claims using the claims parameter, enable "claims parameter supported".
- 3. In the OpenID Connect acr\_values to Auth Chain Mapping box, map an identifier (the key in the map) to an authentication chain or tree. For example:





The identifier is the string that AM will add in the acr claim.

- 4. Save your changes.
- 5. (Optional) In the Default ACR values field, specify the identifiers of the authentication trees or chains AM should use to authenticate end users when acr values are not specified in the request. AM treats acr values specified in this field as voluntary claims.

Acr values specified in the request will override the default values.

If a request does not specify acr values and the Default ACR values field is empty, AM authenticates the end user with the default authentication chain or tree defined for the realm where the OAuth 2.0 provider is configured.

#### Tip

Query the /oauth2/.well-known/openid-configuration endpoint to determine the acr values supported by the OpenID provider. Mapped acr values are returned in the acr\_values\_supported object.

- 6. Save your changes.
- 7. (Optional) Review the "Requesting acr Claims Example".

#### Requesting acr Claims Example

This example assumes the following configuration:

- An authentication tree called Example is configured in AM. For more information, see "Configuring Authentication Trees" in the *Authentication and Single Sign-On Guide*.
- The Example tree is mapped to the example\_tree identifier in the acr\_value map of the OAuth 2.0 provider. For more information, see "To Configure AM for the acr Claim".
- A public client called myClient is registered in AM with the following configuration:

• Scopes: openid profile

• Response Types: token id token

• Grant Types: Implicit



• AM is configured as an OAuth 2.0/OpenID Provider in the Top Level Realm.

Perform the following steps to request acr claims:

- 1. Log in to the AM console, for example, as the demo user. Ensure you are not using the Example tree to log in, and note the value of the iplanetDirectoryPro cookie.
- 2. In a new tab of the same browser, request an ID token using, for example, the "Implicit Grant" flow. Perform one of the following steps:
  - a. Request voluntary claims with the acr values parameter. For example:

```
https://openam.example.com:8443/openam/oauth2/realms/root/authorize? \
client_id=myClient \
&response_type=id_token \
&scope=openid%20profile \
&redirect_uri=https://www.example.com/callback \
&acr_values=example_chain%20example_tree \
&nonce=abc123 \
&prompt=login \
&state=123abc
```

Note that the URL is split for readability purposes and that the prompt=login parameter has been added. In most cases, this parameter is not required with the current implementation of acr claims, but it is recommended to add it for compliance with the specification when you need to force the user to re-authenticate.

For information, see the prompt parameter in the *OAuth 2.0 Guide*.

b. Request **voluntary claims** with the **claims** parameter.

The claims parameter expects a JSON object, such as:

```
{"id_token":{"acr":{"values":["example_chain","example_tree"]}}}
```

#### For example:

```
https://openam.example.com:8443/openam/oauth2/realms/root/authorize? \
client_id=myClient \
&response_type=id_token \
&scope=openid%20profile \
&redirect_uri=https://www.example.com/callback \
&nonce=abc123 \
&state=123abc \
&prompt=login \
&claims=%7B%22id_token%22%3A%7B%22acr%22%3A%7B%22values%22%3A%5B%22example_chain%22%2C
%22example_tree%22%5D%7D%7D%7D
```

Note that the URL is split for readability purposes, and that the JSON value of the claims parameter is URL encoded.

c. Request **essential claims** with the claims parameter.

The claims parameter expects a JSON object, such as:



```
{"id_token":{"acr":{"essential":true,"values":["example_chain","example_tree"]}}}
```

If "essential":true is not included in the JSON, AM assumes the acr request is voluntary.

#### For example:

```
https://openam.example.com:8443/openam/oauth2/realms/root/authorize? \
client_id=myClient \
&response_type=id_token \
&scope=openid%20profile \
&redirect_uri=https://www.example.com/callback \
&nonce=abc123 \
&state=123abc \
&prompt=login \
&claims=%7B%22id_token%22%3A%7B%22acr%22%3A%7B%22essential%22%3Atrue%2C%22values%22%3A%5B
%22example_chain%22%2C%22example_tree%22%5D%7D%7D
```

Note that the URL is split for readability purposes, and that the JSON value of the claims parameter is URL encoded.

AM redirects to the Example tree. Note that the new URL contains the following parameters:

- authIndexValue, the value of which is the Example tree.
- goto, the value of which is the URL the UI will use to return to the authorization endpoint once the authentication flow has finished.
- acr sig, the value of which is a unique string that identifies this particular acr request.
- 3. Log in again as the demo user. Note that the value of the iplanetDirectoryPro cookie changes to reflect the new session.

AM redirects back to the authorization endpoint, and shows you the OAuth 2.0 consent page. Grant consent by selecting Allow. AM redirects you now to the URI specified by the redirect\_uri parameter with an ID token in the fragment.

4. Decode the ID token. It contains the acr claim with the value of example\_tree, which the identifier mapped to the Example tree in the acr value map of the OAuth 2.0 provider:



```
"at hash": "3WHa52upb5ihwWVDC8a-Tw",
   "sub": "demo",
   "auditTrackingId": "fe330f16-2115-45fe-ae04-f68a9fc2ef92-65191",
   "iss": "https://openam.example.com:8443/openam/oauth2",
   "tokenName": "id token",
   "nonce": "abc123"
   "aud": "myClient",
   "acr": "example_tree",
   "org.forgerock.openidconnect.ops": "7r1RiXbjWp1QBjJ8Uys40b8cwxY",
   "azp": "myClient",
   "auth time": 1554724614,
   "realm": "/"
   "exp": 1554728218,
   "tokenType": "JWTToken",
   "iat": 1554724618
}
```

## The Authentication Method Reference (amr) Claim

In the OpenID Connect specification, the arr claim identifies a family of authentication methods, such as a one-time password or multi-factor authentication.

In AM, you can map authentication modules to specific values that the relying party understands. For example, you could map an amr value called PWD to the LDAP module.

Unlike acr claims, relying parties do not request amr claims. As long as authentication modules are mapped to amr values, and provided that end users log in using one of the mapped modules, AM will return the amr claim in the ID token.

Since authentication nodes are not used on their own but as part of a tree context, you cannot map amr values to specific authentication nodes. However, you can map an <code>AuthType</code> session property to an amr value using the "Set Session Properties Node" in the <code>Authentication</code> and <code>Single Sign-On Guide</code>. AM will add the configured amr claim to the ID token, provided the user's journey on the tree goes through the node.

The following is an example of a decoded ID token that contains both acr and amr claims:



```
"at hash": "kP7U-po4xla00YqJ60p72Q",
   "sub": "demo",
   "auditTrackingId": "ac8ecadc-140f-48a0-b3ec-ccd02d6f9c3d-183361",
   "amr": [
      "PWD"
   "iss": "https://openam.example.com:8443/openam/oauth2",
   "tokenName": "id_token",
   "nonce": "abc123",
   "aud": "myClient",
   "acr": "0",
   "org.forgerock.openidconnect.ops": "hM7F00xw0kzb7os S9KdmDphosY",
   "azp": "myClient",
   "auth time": 1554889732,
   "realm": "/"
   "exp": 1554893403,
   "tokenType": "JWTToken",
   "iat": 1554889803
}
```

In this example, the end user logged in with an authentication chain or tree that is not mapped to an acr value. Therefore, AM returned "acr": "0". However, the relying party at least knows the user logged in with an authentication method of the family PWD. The relying party can use this knowledge to take additional actions, such as request the end user to reauthenticate using a particular chain or tree.

See the following procedures to map amr values:

- "To Use a Set Session Properties Node to Map an amr Value"
- "To Map an Authentication Module to an amr Value"

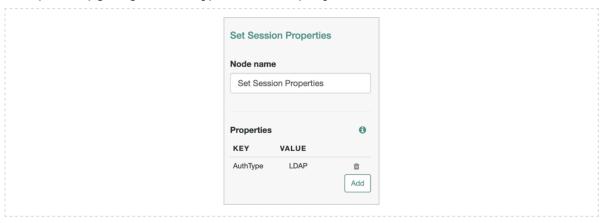
#### To Use a Set Session Properties Node to Map an amr Value

- 1. In the AM console, go to Realms > Realm Name > Services > OAuth2 Provider > Advanced OpenID Connect.
- 2. In the OpenID Connect id\_token amr Values to Auth Module Mappings box, map an identifier (the key in the map) to any authentication module. The authentication module you use is not important; AM will only use its name to map the amr, and it will not show in the ID token.
  - + Example: Mapping Authentication Modules to amr Identifiers



OpenID Connect id_token a Values to Auth Modi Mappin	ule		•
	WD LDAP	•	*
Key	Value		+ Add

- 3. Save your changes.
- 4. Create an authentication tree containing the "Set Session Properties Node" in the *Authentication and Single Sign-On Guide*.
- 5. On the Set Session Properties node, configure a key called AuthType. As its value, set the name of the authentication module you configured with the amr mapping. For example, LDAP.
  - + Example: Configuring the AuthType Session Property



#### Tip

To reference multiple authentication modules, separate amr values with |. For example, if both the LDAP and the DataStore modules are mapped to amr values, set the AuthType key to the value LDAP|DataStore.

- 6. Go to Realms > Realm Name > Services, and add a Session Property Whitelist service if one is not already available.
- 7. On the Whitelisted Session Property Names field, add the AuthType key. This will ensure that the property can be read, edited, or deleted, from a session.

Save your changes.



#### To Map an Authentication Module to an amr Value

- 1. In the AM console, go to Realms > Realm Name > Services > OAuth2 Provider > Advanced OpenID Connect.
- 2. In the OpenID Connect id\_token amr Values to Auth Module Mappings box, map an identifier (the key in the map) to an authentication module.
  - + Example: Mapping Authentication Modules to amr Identifiers



3. Save your changes.



## Chapter 9 GSMA Mobile Connect

GSMA Mobile Connect is an application of OpenID Connect that facilitates the use of mobile phones as authentication devices independent of the service provided, and independent of the device used to consume the service.

Mobile Connect thus offers a standard way for Mobile Network Operators to act as general-purpose identity providers, providing a range of levels of assurance and profile data to Mobile Connect-compliant Service Providers.

In a Mobile Connect deployment, AM can play the following roles:

• The OpenID provider role, implementing the Mobile Connect Profile as part of the Service Provider (Identity Gateway interface).

As OpenID provider, AM responds to a successful authorization request with a response containing all the required fields, and also the optional <a href="mailto:expires\_in">expires\_in</a> field. AM supports the mandatory ID Token properties, though the relying party is expected to use the <a href="mailto:expires\_in">expires\_in</a> value, rather than specifying <a href="mailto:max.age">max.age</a> as a request parameter:

In addition to the standard user information returned with userinfo, AM as OpenID provider for Mobile Connect returns the updated\_at property, representing the time last updated as seconds since the epoch.

• The Authenticator role, implementing the Mobile Connect Profile as part of the Identity Gateway (Authenticators interface).

In the Authenticator role, AM ensures that users authenticate at the appropriate Level of Assurance (LoA). A Service Provider can request LoAs without regard to the implementation, and the Identity Gateway includes a claim in the ID Token that indicates the LoA achieved.

+ LoA Support

In AM, LoAs map to an authentication mechanism. Service Providers acting as relying parties request an LoA by using the acr values field in an authentication request.

AM returns the corresponding acr claim in the ID token.

LoA support:

• 1 (low - little or no confidence)



- 2 (medium some confidence, as in single-factor authentication)
- 3 (high high confidence, as in multi-factor authentication)

LoA support does not include support for 4, which involves digital signatures. Therefore, the <a href="https://dtbs.authorization.org/dtbs">dtbs</a> authorization parameter is not supported when requesting tokens to the authorization endpoint.

Perform the steps in this procedure to set up the OAuth2 provider service:

#### To Configure AM for Mobile Connect

1. Configure an OAuth2 provider service in the realm. See "To Configure the OAuth 2.0 Provider Service" in the *OAuth 2.0 Guide*.

Mobile Connect is an extension of OpenID Connect. Therefore, review the additional configuration options shown in "OpenID Provider Configuration".

- 2. Go to Realms > Realm Name > Services > OAuth2 Provider.
- 3. Configure OpenID Connect authentication context settings for AM to return acr and amr claims in the ID tokens.

For information and examples, see "Adding Authentication Requirements to ID Tokens".

4. Go to Realms > Realm Name > Identity Stores > Identity Store Name > User Configuration.

The user info endpoint returns updated\_at values in the ID Token. If the user profile has never been updated updated at reflects creation time.

When using DS as an identity store, the value is read from the modifyTimestamp attribute, or the createTimestamp attribute for a profile that has never been modified.

5. Add the relevant attributes to the LDAP User Attributes list, and save your changes.

You can now use OpenID Connect with Mobile Connect. As per the specification, you must use the Authorization Code flow to request ID tokens.

+ Supported Authorization Parameters

Request Parameter	Support	Description
response_type	Supported	OAuth 2.0 grant type to use. Set this to code for the authorization grant.
client_id	Supported	Set this to the client identifier.
scope	Supported	Space delimited OAuth 2.0 scope values.
		Required: openid



Request Parameter	Support	Description
		Optional: profile, email, address, phone, offline_access
redirect_uri	Supported	OAuth 2.0 URI where the authorization request callback should go. Must match the redirect_uri in the client profile that you registered with AM.
state	Supported	Value to maintain state between the request and the callback. Required for Mobile Connect.
nonce	Supported	String value to associate the client session with the ID Token. Optional in OIDC, but required for Mobile Connect.
display	Supported	String value to specify the user interface display.
login_hint	Supported	String value that can be set to the ID the user uses to log in. For example, Bob or bob@example.com, depending on how the authentication node or module is configured to search for users.  When provided as part of the OIDC Authentication Request, the login_hint is set as the value of a cookie named oidcloginHint, which is an HttpOnly cookie (only sent over HTTPS).
acr_values	Supported	Authentication Context class Reference values used to communicate acceptable LoAs that users must satisfy when authenticating to the OpenID provider.  For more information, see "The Authentication Context Class Reference (acr) Claim".
dtbs	Not supported	Data To Be Signed  At present AM does not support LoA 4.

For access to a simple, non-secure GSMA Mobile Connect relying party sample, see How do I access and build the sample code provided for AM (All versions)? in the  $ForgeRock\ Knowledge\ Base$ .



## Additional Use Cases for ID Tokens

In addition to using the ID tokens in OpenID Connect flows, AM supports using ID tokens in place of session tokens when calling REST endpoints and using ID tokens in policy evaluation.

## Using ID Tokens as Session Tokens

You can authorize trusted clients to use ID tokens as the value of the <code>iPlanetDirectoryPro</code> cookie. This is useful when clients need to make calls to AM endpoints, such as the authorization endpoints, without requesting the end user to log in again.

The ID token *must* be issued using the Authorization Code Grant flow.

#### To Configure the OAuth 2.0 Service for Authorized Clients

Perform the following steps to let clients use ID tokens in the place of session tokens:

- 1. Go to Realms > Realm Name > Services > OAuth2 Provider > Advanced OpenID Connect.
- 2. Add the names to the clients that will be able to use ID tokens in place of session tokens in the Authorized OIDC SSO Clients field.
  - Since these clients will act with the full authority of the end user, grant this permission to trusted clients only.
- 3. Ensure that Save Ops Token is enabled.
- 4. Save your changes.

The following is an example of a call to the policies endpoint using an ID token instead of a session token:



```
$ curl \
--request POST \
--header "Content-Type: application/json" \
--header "Accept-API-Version: protocol=1.0, resource=2.0" \
--header "iPlanetDirectoryPro: ID_TOKEN_VALUE" \
--data '{
    "resources":[
        "https://www.france-site.com:8443/index.html"
],
    "subject":{
        "ssoToken": "ID_TOKEN_VALUE"
},
    "application":"iPlanetAMWebAgentService"
}' \
"https://openam.example.com:8443/openam/json/realms/root/policies?_action=evaluate"
```

#### Note

To access the policies endpoint, a user must have the Entitlement REST Access privilege.

## Using ID Tokens as Subjects in Policy Decision

You can use the ID token as a subject condition during policy evaluation to validate claims within an ID token.

For example, you can validate that the aud claim has a value of myApplication, which identifies a particular application or group of applications within your environment.

Note that policy evaluation does not validate the ID token, but the claims within. Your applications should validate the ID token before requesting policy evaluation from AM.

For more information about configuring policy evaluation using the OpenID Connect/JWT Claim type, refer to "To Configure a Policy (console)" in the *Authorization Guide*.



## Chapter 11 OpenID Connect 1.0 Endpoints

When acting as an OpenID Connect provider, AM exposes the following endpoints:

#### OpenID Connect 1.0 Endpoints

Endpoint	Description
"/oauth2/userinfo"	Retrieve information about an authenticated user. It requires a valid token issued with, at least, the openid scope (OpenID Connect userinfo endpoint).
"/oauth2/idtokeninfo"	Validates unencrypted ID tokens (AM-specific endpoint).
"/oauth2/connect/checkSession"	Retrieves OpenID Connect session information (OpenID Connect Session Management endpoint).
"/oauth2/connect/endSession"	Invalidates OpenID Connect sessions (OpenID Connect Session Management endpoint).
"/oauth2/register"	Register, read, and delete OAuth 2.0 clients (RFC7592 and RFC7591)
"/.well-known/webfinger"	Retrieve the URL of the OpenID provider during OpenID Connect discovery.
"/oauth2/.well-known/openid- configuration"	Retrieve provider configuration during OpenID Connect discovery.
"/oauth2/connect/jwk_uri"	Expose the public keys that clients can use to verify the signature of client-based tokens and to encrypt OpenID Connect requests sent as a JWT.

#### Tip

When AM acts as an OpenID Connect provider, the OAuth 2.0 endpoints support OpenID Connect specific parameters, such as prompt and ui locales.

For a complete list of the endpoints and parameters AM supports as an OAuth 2.0/OpenID Connect provider, see "OAuth 2.0 Endpoints" and "OAuth 2.0 Administration and Supporting REST Endpoints" in the OAuth 2.0 Guide.

### /oauth2/userinfo

Endpoint that returns claims about the authenticated end user, as defined in OpenID Connect Core 1.0 incorporating errata set 1.



When requesting claims, provide an access token granted in an OpenID Connect flow as an authorization bearer header. The endpoint will return the claims associated with the scopes granted when the access token was requested.

You must compose the path to the user information endpoint addressing the specific realm where AM logged in the user. For example, <a href="https://openam.example.com:8443/openam/oauth2/realms/root/realms/subrealm1/userinfo.">https://openam.example.com:8443/openam/oauth2/realms/root/realms/subrealm1/userinfo.</a>

The following example shows AM returning claims about a user:

```
$ curl \
--request GET \
--header "Authorization: Bearer U-Wjlv-wljtpuBVWUGFV6PwI_nE" \
"https://openam.example.com:8443/openam/oauth2/realms/root/userinfo"
{
    "given_name":"Demo First Name",
    "family_name":"Demo Last Name",
    "name":"demo",
    "sub":"demo"
}
```

If the access token validates successfully, the endpoint returns the claims as JSON.

The user information endpoint can return claims as JSON (the default) or as a signed, encrypted, or signed and encrypted JWT. To configure the response type, perform the following steps:

- 1. Go to Realms > Realm Name > Applications > OAuth 2.0 > Clients > Client Name > Signing and Encryption.
- 2. In the User info response format drop-down menu, select the type of response required by the client.
- 3. Configure the signing and/or encryption algorithms AM should use when returning claims to this particular client in the following properties:
  - User info signed response algorithm
  - User info encrypted response algorithm
  - User info encrypted response encryption algorithm

For more information about these properties, see Signing and Encryption Properties in the *OAuth* 2.0 Guide.

Note that you can configure the algorithms the OAuth 2.0/OpenID Connect provider service supports by navigating to > Realm Name > Services > OAuth2 Provider > Advanced OpenID Connect.

For more information about the secret IDs mapped to the OAuth 2.0/OpenID Connect provider signing and encrypting algorithms, see "Secret ID Default Mappings" in the Security Guide.



## /oauth2/idtokeninfo

AM-specific endpoint that lets relying parties validate *unencrypted* ID tokens and to retrieve claims within the token.

AM validates the tokens based on rules 1-10 in section 3.1.3.7 of the OpenID Connect Core. During token validation, AM performs the following steps:

- 1. Extracts the first aud (audience) claim from the ID token. The client\_id, which is passed in as an authentication of the request, will be used as the client and validated against the aud claim.
- 2. Extracts the realm claim, if present, defaults to the root realm if the token was not issued by AM.
- 3. Looks up the client in the given realm, producing an error if it does not exist.
- 4. Verifies the signature of the ID token, according to the ID Token Signing Algorithm and Public key selector settings in the client profile.
- 5. Verifies the issuer, audience, expiry, not-before, and issued-at claims as per the specification.

#### Tip

By default, the ID token information endpoint requires client authentication. You can configure it by navigating to Realms > Realm Name Services > OAuth2 Provider > Advanced OpenID Connect and disabling the Idtokeninfo Endpoint Requires Client Authentication switch.

The ID token information endpoint supports the following parameters:

#### id\_token

Specifies the ID token to validate.

Required: Yes.

#### client id

Specifies the client ID unique to the application making the request.

Required: Yes, when client authentication is enabled.

#### client assertion

Specifies the signed JWT that the client uses as a credential when using the JWT bearer client authentication method.

Required: A form of password or credentials is required for confidential clients when client authentication is enabled. However, the use of the client\_assertion parameter depends on the client authentication method used. For more information, see "OAuth 2.0 Client Authentication" in the OAuth 2.0 Guide



#### client\_assertion\_type

Specifies the type of assertion when the client is authenticating to the authorization server using JWT bearer client authentication.

Set it to urn%3Aietf%3Aparams%3Aoauth%3Aclient-assertion-type%3Ajwt-bearer.

Required: A form of password or credentials is required for confidential clients when client authentication is enabled. However, the use of the client\_assertion\_type parameter depends on the client authentication method used. For more information, see "OAuth 2.0 Client Authentication" in the OAuth 2.0 Guide

#### client secret

Specifies the secret of the client making the request.

Required: A form of password or credentials is required for confidential clients when client authentication is enabled. However, the use of the client\_secret parameter depends on the client authentication method used. For more information, see "OAuth 2.0 Client Authentication" in the OAuth 2.0 Guide

#### claims

Comma-separated list of claims to return from the ID token.

Required: No.

The endpoint is always accessed from the root realm. For example, https://openam.example.com:8443/openam/oauth2/idtokeninfo.

The following example shows AM returning ID token information:

```
$ curl \
--request POST \
--data "client id=myClient" \
--data "client_secret=forgerock" \
--data "id token=eyJ0eXAiOiJKV1QiLCJra...7r8soMCk8A7QdQpg" \
"https://openam.example.com:8443/openam/oauth2/idtokeninfo"
   "at hash": "AvJ0dXLQqFxHn-qnqP9xmQ",
   "sub": "demo",
   "auditTrackingId": "b3f48c69-de7f-4afc-ab78-582733e5f025-156621",
   "iss": "https://openam.example.com:8443/openam/oauth2",
   "tokenName": "id token",
   "nonce": "123abc",
   "aud": "myClient",
   "c hash": "jMXGi-FCjUad2VQukJRcLQ",
   "acr":"0",
   "s hash": "bKE9UspwyIPg8LsQHkJaiQ",
   "azp":"myClient",
   "auth time":1553077105,
   "realm":"/myRealm",
   "exp":1553080707,
   "tokenType": "JWTToken",
   "iat":1553077107
}
```



If the ID token validates successfully, the endpoint unpacks the claims from the ID token and returns them as JSON. You can also use an optional claims parameter in the request to return specific claims.

For example, you can run the following command to retrieve specific claims:

```
$ curl \
--request POST \
--data "client_id=myClient" \
--data "client_secret=forgerock" \
--data "id_token=eyJ0eXAi0iJKVlQiLCJra...7r8soMCk8A7QdQpg" \
--data "claims=sub,exp,realm" \
"https://openam.example.com:8443/openam/oauth2/idtokeninfo"
{
    "sub":"demo",
    "exp":1553080707,
    "realm":"/myRealm"
}
```

If a requested claim does not exist, no error occurs; AM will simply not present it in the response.

#### Note

The ID token information endpoint does not check if a token has been revoked using the <code>/oauth2/endSession</code> endpoint.

### /oauth2/connect/checkSession

Endpoint to check session state as per OpenID Connect Session Management 1.0 - draft 5.

The relying party client creates an invisible iframe that embeds the URL to the endpoint (by setting it as the src attribute of the iframe tag).

The endpoint accepts postMessage API requests from the iframe, and it postMessages back with the login status of the user in AM.

The endpoint is always accessed from the root realm. For example, <a href="https://openam.example.com:8443/openam/oauth2/connect/checkSession">https://openam.example.com:8443/openam/oauth2/connect/checkSession</a>.

#### Tip

Note that this endpoint has been removed in later versions of the OpenID Connect Session Management draft. For an alternative method of checking session state, see "Retrieving Session State without the Check Session Endpoint".

### /oauth2/connect/endSession

Endpoint to terminate authenticated end-user sessions, as per OpenID Connect Session Management 1.0 - draft 5.



Query the well-known configuration endpoint for the realm to determine the URL of the end session endpoint. For example, https://openam.example.com:8443/openam/oauth2/realms/root/realms/subrealm1/.well-known/openid-configuration.

The endpoint supports the following query parameters:

#### id\_token\_hint

The ID token corresponding to the identity of the end user the relying party is requesting to be logged out by AM.

Required: Yes

#### client\_id

To support ending sessions when ID tokens are encrypted, AM requires that the request to the end session endpoint includes the client ID to which AM issued the ID token.

Failure to include the client ID will result in error; AM needs the information in the client profile to decrypt the token.

This parameter is **not** compliant with the specification.

Required: Yes, if the ID token is encrypted.

#### post\_logout\_redirect\_uri

The URL AM will redirect to after logout.

For security reasons, the value of this parameter must match one of the values configured in the Post Logout Redirect URIs field of the client profile.

If a logout redirection URL is specified, AM redirects the end user to it after they have been logged out.

If a logout redirection URL is not specified, AM returns an HTTP 204 message to indicate the user has been logged out, and does not perform more actions.

Required: No

To log out an end user from AM, perform a call to the end session endpoint and provide the access token granted in an OpenID Connect flow as an authorization bearer header.

The following example shows AM deleting a session when an encrypted ID token is provided:

```
$ curl --dump-header - \
--request GET \
--header "Authorization: Bearer U-Wjlv-wljtpuBVWUGFV6PwI_nE" \
"https://openam.example.com:8443/openam/oauth2/connect/endSession?
id_token_hint=eyJ0eXAi0iJKV1QiLCJra...&post_logout_redirect_uri=https://www.example.com:443/
logout_callback&client_id=myClient"
HTTP/1.1 204 No Content
Server: Apache-Coyote/1.1
X-Frame-Options: SAMEORIGIN
Date: Wed, 20 Mar 2019 15:47:13 GMT
```



## /oauth2/register

Endpoint that lets OAuth 2.0/OpenID Connect clients register dynamically as per RFC7591 and OpenID Connect Dynamic Client Registration 1.0 incorporating errata set 1. The endpoint also lets clients read and update their metadata, as well as deprovision themselves as per RFC7592.

You must compose the path to the register endpoint, addressing the specific realm where the client is or should be registered/deprovisioned. For example, https://openam.example.com:8443/openam/oauth2/realms/root/realms/subrealm1/register.

By default, AM requires clients to present an access token to register themselves. This behavior is controlled by the Allow Open Dynamic Client Registration switch, under the Dynamic Registration tab of the OAuth 2.0 provider.

Read, update, and delete operations require an authorization bearer header that includes the registration access token, provided to the client during registration.

The endpoint supports the following methods:

- POST. Register clients
- **GET**. Read client information
- PUT. Update client information
- **DELETE**. Deprovision a client

For usage information and examples, see the following links:

- "Dynamic Client Registration"
- "Dynamic Client Registration Management"

## /.well-known/webfinger

Lets clients determine the provider URL for an end user, as described in the OpenID Connect Discovery 1.0 incorporating errata set 1 specification.

The endpoint is disabled by default; to enable it, see "OpenID Connect Discovery".

The discovery endpoint supports the following parameters:

#### realm

Specifies the AM realm that must be queried for user information. Unlike other AM endpoints, the discovery endpoint does not support specifying the realm in the path, because it is always located after the deployment URI. For example, https://openam.example.com:8443/openam/.well-known/webfinger.

Required: No.



#### resource

Identifies the URL-encoded subject of the request. This parameter can take the following formats, as defined in the specification:

- acct:user email. For example, acct%3Ademo%40example.com.
- acct:user email@host. For example, acct%3Ademo%2540example.com%40server.example.com
- http or https://host/username. For example, http%3A%2F%2Fserver.example.com%2Fdemo.
- http or https://host:port. For example, http%3A%2F%2Fserver.example.com%3A8080.

The value of host is related to the discovery URL exposed to the clients. In the examples, the exposed discovery endpoint would be something similar to http://server.example.com/.well-known/webfinger. For more information about exposing the endpoint through a proxy or load balancer, see "OpenID Connect Discovery".

Wildcard (\*) characters are not supported.

Required: Yes.

#### rel

Specifies the URL-encoded URI identifying the type of service whose location is requested. The only valid value is <a href="http://openid.net/specs/connect/1.0/issuer">http://openid.net/specs/connect/1.0/issuer</a>.

Required: Yes.

The following command requests information for the demo user in the example.com domain to the OAuth 2.0 provider service in the Engineering realm:

## /oauth2/.well-known/openid-configuration

Lets relying parties retrieve the OpenID Provider configuration by HTTP GET as specified by OpenID Connect Discovery 1.0.



When the OpenID Connect provider is configured in a subrealm, relying parties can get the configuration by passing in the full path to the realm in the URL. For example, if the OpenID Connect provider is configured in a subrealm named subrealm1, which is a child of the Top Level Realm, the URL would resemble the following: <a href="https://openam.example.com:8443/openam/oauth2/realms/root/realms/subrealm1/">https://openam.example.com:8443/openam/oauth2/realms/root/realms/subrealm1/</a>. <a href="https://openam.example.com:8443/openam/oauth2/realms/root/realms/subrealm1/">https://openam.example.com:8443/openam/oauth2/realms/root/realms/subrealm1/</a>. <a href="https://openam.example.com:8443/openam/oauth2/realms/root/realms/">https://openam.example.com:8443/openam/oauth2/realms/root/realms/</a>

```
Tip
```

For more information about OpenID Connect discovery, see "OpenID Connect Discovery".

After the relying party has discovered who the provider for the end user is, they can discover the provider's configuration:

## /oauth2/connect/jwk uri

Each realm configured for OAuth 2.0 exposes a JSON web key (JWK) URI endpoint that contains public keys that clients can use to:

- Verify the signature of client-based access tokens and OpenID Connect ID tokens.
- Encrypt OpenID Connect ID requests to AM sent as a JWT.
- + Where Do the Keys Come From?

By default, the endpoint exposes an internal URI relative to the AM deployment. For example, openam/oauth2/realms/root/connect/jwk\_uri.

The keys appearing in that URI are those configured in the AM secret stores in the *Security Guide*, regardless of the algorithms configured in the OAuth 2.0 provider. This is to support the process of deprecating keys/algorithms.



Secrets are configured by default; delete the ones you are not planning to use so that they are not exposed on the endpoint.

In environments where secrets are centralized, you may want AM to share the URI of your secrets API instead of the local AM endpoint. To configure it, go to Realms > Realm name > Services > OAuth2 Provider, and add the new URI to the Remote JSON Web Key URL field.

Note that HMAC-based algorithms, direct encryption, and AES key wrapping encryption algorithms use the client secret instead of a public key. Therefore, clients do not need to check the JWK URI endpoint for those algorithms.

+ The Endpoint is Exposed, But I Haven't Configured an OAuth 2.0 Provider Yet

Web and Java agents use an internal OAuth 2.0 provider to connect to AM. This provider exposes the endpoint so that agents can access the key configured for the <a href="mailto:am.global.services.oauth2.oidc.agent.idtoken.signing">am.global.services.oauth2.oidc.agent.idtoken.signing</a> secret ID.

#### Tip

Configure the base URL source service in the *Security Guide* to change the URL used in the .well-known endpoints used in OpenID Connect 1.0 and UMA.

The following table summarizes the high-level tasks you need to complete to manage the JWK URI endpoint in your environment:

Task	Resources
Learn where to find and how to query the JWK URI endpoint.	"To Access the Keys Exposed by the JWK URI Endpoint"
Clients need to find the endpoint to, for example, validate tokens signed by AM.	Old Enapolity
Control which keys are displayed.	"Configuring ID Token Signatures"
The JWK URI endpoint returns keys based on the secret mappings configured for the relevant OAuth 2.0/OpenID connect functionality. Therefore, to control which keys are displayed, ensure that you only map the secrets required in your environment.	
Learn how to deprecate algorithms and how to rotate public keys.	"Deprecating Algorithms and Rotating Public Keys"
You may need to perform these tasks to replace algorithms with more secure ones.	
Customize the key ID (kid) of the exposed keys.	"Customizing Public Key IDs".
By default, AM generates a kid for each public key exposed in the jwk_uri endpoint when AM is configured as an OAuth 2.0 authorization server.	



Task	Resources
You need to customize AM if any exposed keys in your environment need a specific kid.	
Decide if the JWK URI endpoint should display duplicated key IDs  By default, each kid exposed by the jwk_uri endpoint matches a unique secret, as required by the RFC7517 specification.	"Displaying Every Algorithm and Key Type Associated to a Key ID".
If you have several algorithms and key types associated with one kid, configure AM to display them individually.	

#### To Access the Keys Exposed by the JWK URI Endpoint

Perform the following steps to access the public keys:

1. To find the JWK URI that AM exposes, perform an HTTP GET at /oauth2/realms/root/.well-known/openid-configuration. For example:

```
$ curl https://openam.example.com:8443/openam/oauth2/realms/root/.well-known/openid-configuration
  "request_parameter_supported": true,
  "claims parameter supported": false,
  "introspection endpoint": "https://openam.example.com:8443/openam/oauth2/introspect",
  "check session iframe": "https://openam.example.com:8443/openam/oauth2/connect/checkSession",
  "scopes supported": [],
  "issuer": "https://openam.example.com:8443/openam/oauth2",
  "id token encryption enc values supported": [
    "A256GCM",
    "A192GCM"
    "A128GCM"
    "A128CBC-HS256"
    "A192CBC-HS384"
    "A256CBC-HS512"
  "jwks uri": "https://openam.example.com:8443/openam/oauth2/connect/jwk uri",
  "subject_types_supported": [
    "public'
  ],
}
```

By default, AM exposes the JWK URI as an endpoint relative to the deployment URI. For example, https://openam.example.com:8443/openam/oauth2/realms/root/connect/jwk\_uri.

In environments where secrets are centralized, you may want AM to share the URI of your secrets API instead of the local AM endpoint.

To configure it, go to Realms > Realm name > Services > OAuth2 Provider, and add the new URI to the Remote JSON Web Key URL field.

2. Perform an HTTP GET at the JWK URI to get the relevant public keys. For example:



# Configuring ID Token Signatures

ID tokens are signed by default with a test key configured during installation. Change this key on production-like and production environments.

### To Configure ID Token Digital Signatures

Perform the steps in this procedure to configure the signing algorithm AM should use to sign OpenID Connect tokens:

- 1. Go to Realms > Realm Name > Services > OAuth2 Provider.
- 2. In the OpenID Connect tab, ensure that the ID Token Signing Algorithms supported list has the signing algorithms your environment requires.

AM supports the signing algorithms listed in JSON Web Algorithms (JWA): "alg" (Algorithm) Header Parameter Values for JWS.

Note that the alias mapped to the algorithms are defined in the secret stores, as shown in the table below:

+ Secret ID Mappings for Signing OpenID Connect Tokens

The following table shows the secret ID mapping used to sign OpenID Connect ID tokens:

Secret ID	Default Alias	Algorithms <sup>a</sup>
am.services.oauth2.oidc.signing.ES256	es256test	ES256
am.services.oauth2.oidc.signing.ES384	es384test	ES384
am.services.oauth2.oidc.signing.ES512	es512test	ES512



Secret ID	Default Alias	Algorithms <sup>a</sup>
am.services.oauth2.oidc.signing.RSA	rsajwtsigningkey	PS256 PS384 PS512 RS256 RS384 RS512
am.services.oauth2.oidc.signing.EDDSA	-	EdDSA with SHA-512

<sup>&</sup>lt;sup>a</sup> The following applies to confidential clients only:

If you select an HMAC algorithm for signing ID tokens (HS256, HS384, or HS512), the Client Secret property value in the OAuth 2.0 Client is used as the HMAC secret instead of an entry from the secret stores.

Since the HMAC secret is shared between AM and the client, a malicious user compromising the client could potentially create tokens that AM would trust. Therefore, to protect against misuse, AM also signs the token using a non-shared signing key configured in the <a href="mailto:am.services.oauth2.jwt.authenticity.signing">am.services.oauth2.jwt.authenticity.signing</a> secret ID.

By default, secret IDs are mapped to demo keys contained in the default keystore provided with AM and mapped to the default-keystore keystore secret store. Use these keys for demo and test purposes only. For production environments, replace the secrets as required and create mappings for them in a secret store configured in AM.

For more information about managing secret stores and mapping secret IDs to aliases, see "Configuring Secrets, Certificates, and Keys" in the Security Guide.

3. (Optional) If the client is configured in AM, go to Realms > Realm Name > Applications > OAuth 2.0 > Client Name.

Clients registering dynamically can see the algorithms that the provider supports by making a call to the /oauth2/.well-known/openid-configuration endpoint.

4. In the ID Token Signing Algorithm field, enter the signing algorithm that AM will use to sign the ID token for this client.

Note that the OAuth 2.0 provider must support signing with the chosen algorithm.

5. Save your changes.

AM is ready to sign ID tokens with the algorithm you configured.



#### Tip

If you chose a non-HMAC-based algorithm, the client will need to make a request to AM's JWK URI endpoint for the realm to recover the signing public key they can use to validate the ID tokens.

# Deprecating Algorithms and Rotating Public Keys

With signing and encryption methods changing so rapidly, during the lifecycle of your OAuth 2.0 environment you will need to deprecate older, less secure signing and/or encrypting algorithms in favor of new ones.

In the same way, you will rotate the keys AM uses for signing and encryption if you suspect they may have been leaked or just due to security policies, such as deprecating algorithms or because they have reached the end of their lifetime.

The keys you expose in the JWK URI endpoint should reflect the algorithms currently supported by AM as well as the deprecated ones. Otherwise, clients still using tokens signed with deprecated keys would not be able to validate them.

This is why deprecating supported algorithms in the OAuth 2.0/OpenID Connect provider is a twostep process:

- 1. Remove the old algorithm from the OAuth 2.0 provider supported algorithm list. This stops new clients from registering with that algorithm.
- 2. After a grace period, remove the secret mapping associated to that algorithm. This removes the associated public keys from the IWK URI endpoint.

## To Deprecate Supported Algorithms and their Keys

Perform the steps in this procedure to deprecate an algorithm and its related keys. If you only want to deprecate keys or rotate them as part of your environment's security policies, see "Mapping and Rotating Secrets" in the *Security Guide* instead.

- 1. (Optional) Configure the new algorithm, if required.
  - a. Go to Realms > Realm Name > Services > OAuth2 Provider > OpenID Connect.
  - b. (Optional) In the ID Token Signing Algorithm supported field, add the new signing algorithm, if not already present.
  - c. (Optional) In the ID Token Encryption Algorithms supported field, add the new encryption algorithm, if not already present.
  - d. Save your changes.
- 2. (Optional) Configure secret ID mappings for the keys using the new algorithm, if required.



For more information, see "Configuring Secret Stores" in the Security Guide.

- 3. Remove the algorithm to be deprecated from the relevant OAuth 2.0 provider algorithm list:
  - a. Go to Realms > Realm Name > Services > OAuth2 Provider > OpenID Connect.
  - b. (Optional) In the ID Token Signing Algorithm supported field, remove the deprecated signing algorithm.
  - c. (Optional) In the ID Token Encryption Algorithms supported field, remove the deprecated encryption algorithm.
  - d. Save your changes.
- 4. Decide on a grace period. For example, a month. During this period both the deprecated and the new algorithms/keys are supported.

New clients cannot register with the deprecated algorithms and are forced to use a supported algorithm. However, since the deprecated keys are still mapped to secret IDs, existing clients still can use them to validate active tokens and encrypt requests.

- Existing clients must change their configuration during the grace period to use one of the supported algorithms.
- 5. After the grace period, remove the secret ID mappings relevant to the deprecated algorithm.

For more information about secret mappings, see "Mapping and Rotating Secrets" in the *Security Guide*.

# Customizing Public Key IDs

By default, AM generates a key ID (kid) for each public key exposed in the jwk\_uri URI when AM is configured as an OAuth 2.0 authorization server.

For keys stored in a keystore or HSM secret store, you can customize how key ID values are determined by writing an implementation of the <a href="KeyStoreKeyIdProvider">KeyStoreKeyIdProvider</a> interface and configuring it in AM:

### To Customize Public Key IDs

Perform the following steps:

- 1. Write your own implementation of the <a href="KeyStoreKeyIdProvider">KeyStoreKeyIdProvider</a> interface that provides a specific key ID for a provided public key. For more information, see the <a href="KeyStoreKeyIdProvider">KeyStoreKeyIdProvider</a> interface in the Access Management 7.0.2 Java API Specification.
- 2. Log in to the AM console on the service provider as a top-level administrative user, such as amAdmin.



- 3. Configure AM as an OAuth 2.0/OpenID Connect Provider, if not done already. For more information, see "Authorization Server Configuration" in the OAuth 2.0 Guide.
- 4. Go to Configure > Server Defaults > Advanced.
- 5. Add an advanced server property called org.forgerock.openam.secrets.keystore.keyid.provider, whose value is the fully qualified name of the class you wrote in previous steps. For example:

org.forgerock.openam.secrets.keystore.keyid.provider =
com.mycompany.am.secrets.CustomKeyStoreKeyIdProvider

- 6. Restart the AM instance or the container in which it runs.
- 7. Verify that the customized key IDs are displayed by navigating to the OAuth 2.0 authorization server's jwk uri URI. For example, https://openam.example.com:8443/openam/oauth2/connect/jwk uri.

## Displaying Every Algorithm and Key Type Associated to a Key ID

By default, each key ID (kid) exposed by the jwk\_uri endpoint matches a unique secret, as recommended by the RFC7517 specification. This means that each kid is of a particular key type, and uses a particular algorithm.

If you have several algorithms and key types associated with one kid, configure the JWK URI endpoint to display them as different keys in the JWK. Note that when including all combinations associated with a kid, that kid does not uniquely identify a particular secret.

- 1. Go to Realms > Realm Name > Services > OAuth2 Provider > Advanced OpenID Connect.
- 2. Enable Include all kty and alg combinations in jwk uri.
- 3. Save your changes.
- 4. Verify that you can now see duplicate kid entries for different combinations of algorithms and key types.

For more information, see "To Access the Keys Exposed by the JWK URI Endpoint".



# **Glossary**

Access control Control to grant or to deny access to a resource.

Account lockout The act of making an account temporarily or permanently inactive

after successive authentication failures.

Actions Defined as part of policies, these verbs indicate what authorized

identities can do to resources.

Advice In the context of a policy decision denying access, a hint to the policy

enforcement point about remedial action to take that could result in a

decision allowing access.

Agent administrator User having privileges only to read and write agent profile

configuration information, typically created to delegate agent profile

creation to the user installing a web or Java agent.

Agent authenticator Entity with read-only access to multiple agent profiles defined in the

same realm; allows an agent to read web service profiles.

Application In general terms, a service exposing protected resources.

In the context of AM policies, the application is a template that constrains the policies that govern access to protected resources. An

application can have zero or more policies.

Application type Application types act as templates for creating policy applications.

Application types define a preset list of actions and functional logic,

such as policy lookup and resource comparator logic.



Client-based sessions

Application types also define the internal normalization, indexing logic, and comparator logic for applications. Attribute-based access Access control that is based on attributes of a user, such as how old a control (ABAC) user is or whether the user is a paying customer. Authentication The act of confirming the identity of a principal. Authentication chaining A series of authentication modules configured together which a principal must negotiate as configured in order to authenticate successfully. Authentication level Positive integer associated with an authentication module, usually used to require success with more stringent authentication measures when requesting resources requiring special protection. Authentication module AM authentication unit that handles one way of obtaining and verifying credentials. Authorization The act of determining whether to grant or to deny a principal access to a resource. Authorization Server In OAuth 2.0, issues access tokens to the client after authenticating a resource owner and confirming that the owner authorizes the client to access the protected resource. AM can play this role in the OAuth 2.0 authorization framework. Auto-federation Arrangement to federate a principal's identity automatically based on a common attribute value shared across the principal's profiles at different providers. Bulk federation Batch job permanently federating user profiles between a service provider and an identity provider based on a list of matched user identifiers that exist on both providers. Circle of trust Group of providers, including at least one identity provider, who have agreed to trust each other to participate in a SAML v2.0 provider federation. Client In OAuth 2.0, requests protected web resources on behalf of the resource owner given the owner's authorization. AM can play this role in the OAuth 2.0 authorization framework. Client-based OAuth 2.0 After a successful OAuth 2.0 grant flow, AM returns a token to the client. This differs from CTS-based OAuth 2.0 tokens, where AM tokens returns a reference to token to the client.

AM sessions for which AM returns session state to the client after each request, and require it to be passed in with the subsequent



request. For browser-based clients, AM sets a cookie in the browser that contains the session information.

For browser-based clients, AM sets a cookie in the browser that contains the session state. When the browser transmits the cookie back to AM. AM decodes the session state from the cookie.

Conditions

Defined as part of policies, these determine the circumstances under which which a policy applies.

Environmental conditions reflect circumstances like the client IP address, time of day, how the subject authenticated, or the authentication level achieved.

Subject conditions reflect characteristics of the subject like whether the subject authenticated, the identity of the subject, or claims in the subject's IWT.

Configuration datastore

LDAP directory service holding AM configuration data.

Cross-domain single signon (CDSSO)

AM capability allowing single sign-on across different DNS domains.

OTTO 1 1 0 4 11 0 0

CTS-based OAuth 2.0 tokens

After a successful OAuth 2.0 grant flow, AM returns a *reference* to the token to the client, rather than the token itself. This differs from client-based OAuth 2.0 tokens, where AM returns the entire token to the client.

CTS-based sessions

AM sessions that reside in the Core Token Service's token store. CTS-based sessions might also be cached in memory on one or more AM servers. AM tracks these sessions in order to handle events like logout and timeout, to permit session constraints, and to notify applications involved in SSO when a session ends.

Delegation

Granting users administrative privileges with AM.

Entitlement

Decision that defines which resource names can and cannot be accessed for a given identity in the context of a particular application, which actions are allowed and which are denied, and any related advice and attributes.

Extended metadata

Federation configuration information specific to AM.

Extensible Access Control Markup Language

(XACML)

Standard, XML-based access control policy language, including a processing model for making authorization decisions based on policies.

Federation

Standardized means for aggregating identities, sharing authentication and authorization data information between trusted providers, and



allowing principals to access services across different providers

without authenticating repeatedly.

Fedlet Service provider application capable of participating in a circle of

trust and allowing federation without installing all of AM on the

service provider side; AM lets you create Java Fedlets.

Hot swappable Refers to configuration properties for which changes can take effect

without restarting the container where AM runs.

Identity Set of data that uniquely describes a person or a thing such as a

device or an application.

Identity federation Linking of a principal's identity across multiple providers.

Identity provider (IDP) Entity that produces assertions about a principal (such as how and

when a principal authenticated, or that the principal's profile has a

specified attribute value).

Identity repository Data store holding user profiles and group information; different

identity repositories can be defined for different realms.

Java agent Java web application installed in a web container that acts as a policy

enforcement point, filtering requests to other applications in the container with policies based on application resource URLs.

Metadata Federation configuration information for a provider.

Policy Set of rules that define who is granted access to a protected resource

when, how, and under what conditions.

Policy agent Java, web, or custom agent that intercepts requests for resources,

directs principals to AM for authentication, and enforces policy

decisions from AM.

Policy Administration Point

(PAP)

Entity that manages and stores policy definitions.

Policy Decision Point (PDP) Entity that evaluates access rights and then issues authorization

decisions.

Policy Enforcement Point

(PEP)

Entity that intercepts a request for a resource and then enforces

policy decisions from a PDP.

Policy Information Point

(PIP)

Entity that provides extra information, such as user profile attributes

that a PDP needs in order to make a decision.

Principal Represents an entity that has been authenticated (such as a user,

a device, or an application), and thus is distinguished from other

entities.



When a Subject successfully authenticates, AM associates the Subject with the Principal. Privilege In the context of delegated administration, a set of administrative tasks that can be performed by specified identities in a given realm. Provider federation Agreement among providers to participate in a circle of trust. Realm AM unit for organizing configuration and identity information. Realms can be used for example when different parts of an organization have different applications and identity stores, and when different organizations use the same AM deployment. Administrators can delegate realm administration. The administrator assigns administrative privileges to users, allowing them to perform administrative tasks within the realm. Resource Something a user can access over the network such as a web page. Defined as part of policies, these can include wildcards in order to match multiple actual resources. Resource owner In OAuth 2.0, entity who can authorize access to protected web resources, such as an end user. In OAuth 2.0, server hosting protected web resources, capable of Resource server handling access tokens to respond to requests for such resources. Defined as part of policies, these allow AM to return additional Response attributes information in the form of "attributes" with the response to a policy decision. Role based access control Access control that is based on whether a user has been granted a set (RBAC) of permissions (a role). Security Assertion Markup Standard, XML-based language for exchanging authentication and Language (SAML) authorization data between identity providers and service providers. Service provider (SP) Entity that consumes assertions about a principal (and provides a service that the principal is trying to access). Authentication Session The interval while the user or entity is authenticating to AM. The interval that starts after the user has authenticated and ends Session when the user logs out, or when their session is terminated. For browser-based clients, AM manages user sessions across one or more applications by setting a session cookie. See also CTS-based sessions

and Client-based sessions.



Session high availability Capability that lets any AM server in a clustered deployment access

shared, persistent information about users' sessions from the CTS token store. The user does not need to log in again unless the entire

deployment goes down.

Session token Unique identifier issued by AM after successful authentication. For

a CTS-based sessions, the session token is used to track a principal's

session.

Single log out (SLO) Capability allowing a principal to end a session once, thereby ending

her session across multiple applications.

Single sign-on (SSO) Capability allowing a principal to authenticate once and gain access to

multiple applications without authenticating again.

Site Group of AM servers configured the same way, accessed through a

load balancer layer. The load balancer handles failover to provide

service-level availability.

The load balancer can also be used to protect AM services.

Standard metadata Standard federation configuration information that you can share with

other access management software.

Stateless Service Stateless services do not store any data locally to the service. When

the service requires data to perform any action, it requests it from a data store. For example, a stateless authentication service stores session state for logged-in users in a database. This way, any server in the deployment can recover the session from the database and service

requests for any user.

All AM services are stateless unless otherwise specified. See also

Client-based sessions and CTS-based sessions.

Subject Entity that requests access to a resource

When an identity successfully authenticates, AM associates the identity with the Principal that distinguishes it from other identities.

An identity can be associated with multiple principals.

Identity store Data storage service holding principals' profiles; underlying storage

can be an LDAP directory service or a custom IdRepo implementation.

Web Agent Native library installed in a web server that acts as a policy

enforcement point with policies based on web page URLs.